

PHCA Fall 2017

Department of Health Update

Presented by:
Susan Williamson, Director
Division of Nursing Care Facilities



Facility and Survey Data 01/01/17-06/30/17

Facilities

- ▀ 702 Facilities
- ▀ 88,143 Beds

Surveys Completed

- ▀ 2,777 Total surveys
- ▀ 362 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 857 Revisits (to all types of surveys)
- ▀ 2,230 On-site visits (includes complaint visits)



Facility and Survey Data 2016

Facilities

- ▀ 704 Facilities
- ▀ 88,184 Beds

Surveys Completed

- ▀ 5,320 Total surveys
- ▀ 712 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 1,706 Revisits (to all types of surveys)
- ▀ 4,239 On-site visits (includes complaint visits)



Facility and Survey Data 2015

Facilities

- ▀ 702 Facilities
- ▀ 88,233 Beds

Surveys Completed

- ▀ 4,277 Total surveys
- ▀ 711 Re-licensure/recertification surveys (Full Health Surveys)
- ▀ 1,316 Revisits (to all types of surveys)
- ▀ 3,327 On-site visits (includes complaint visits)



Statewide Deficiency Free Surveys

2014

- ▀ 68 Full Health Surveys were deficiency free

2015

- ▀ 53 Full Health Surveys were deficiency free

2016

- ▀ 38 Full Health Surveys were deficiency free

01/01/17 – 06/30/17

- ▀ 14 Full Health Surveys were deficiency free



Average Number of Deficiencies per Survey

| | <u>2015</u> | <u>2016</u> | <u>1st Half</u> <u>2017</u> |
|---------------------------------------|-------------|-------------|--------------------------------|
| Full Health | 5.71 | 7.00 | 6.74 |
| Complaint | 0.65 | 0.70 | 0.71 |
| Combined Full Health and Complaint | 8.18 | 10.63 | 10.22 |



Surveys with Scope & Severity D & Above

| | <u>2014</u> | <u>2015</u> | <u>2016</u> | <u>1st Half 2017</u> |
|-----------------------------|-------------|-------------|-------------|-------------------------------------|
| Standard Surveys | 629 | 650 | 665 | 342 |
| Complaint Surveys | 455 | 703 | 996 | 533 |
| Substandard Quality of Care | 1 | 3 | 6 | 0 |
| Immediate Jeopardy Tags | 11 | 12 | 39 | 15 |



Frequency of DNCF Visits 01/01/17-6/30/17

| Number of Visits | % of PA facilities |
|-------------------------|---------------------------|
| 20 + | 0.14% |
| 10 to 19 | 2.56% |
| 6 to 9 | 13.96% |
| <i>2 to 5</i> | <i>53.42%</i> |
| 1 | 19.80% |
| 0 | 10.11% |



Frequency of DNCF Visits 2016

| Number of Visits | % of PA facilities |
|------------------|--------------------|
| 20 + | 0.85% |
| 10 to 19 | 17.90% |
| 6 to 9 | 25.43% |
| <i>2 to 5</i> | <i>45.31%</i> |
| 1 | 9.80% |
| 0 | 0.71% |




Frequency of DNCF Visits 2015

| Number of Visits | % of PA facilities |
|------------------|--------------------|
| 20 + | 1.00% |
| 10 to 19 | 8.83% |
| 6 to 9 | 21.51% |
| <i>2 to 5</i> | <i>53.85%</i> |
| 1 | 14.10% |



Provisional Licenses Issued


- 2009 29
- 2010 10
- 2011 18
- 2012 2
- 2013 2
- 2014 9
- 2015 19
- 2016 39
- 1st Half
2017 23



State Actions


| Total state actions for 2014 | Total state actions for 2015 | Total state actions for 2016 | Total state actions for 01/01/17-06/30/17 |
|------------------------------|------------------------------|------------------------------|---|
| PI/CP= 1 | PI/CP= 6 | PI/CP= 3 | PI/CP= 1 |
| PII/CP= 2 | PII/CP= 2 | PII/CP= 0 | PII/CP= 3 |
| PIII/CP= 0 | PIII/CP= 0 | PIII/CP= 0 | PIII/CP= 0 |
| PIV/CP = 0 | PIV/CP = 0 | PIV/CP = 0 | PIV/CP = 0 |
| PI only= 4 | PI only= 7 | PI only= 32 | PI only= 10 |
| PII only= 2 | PII only= 2 | PII only= 4 | PII only= 7 |
| PIII only = 0 | PIII only = 1 | PIII only = 0 | PIII only = 2 |
| PIV only= 0 | PIV only= 1 | PIV only= 0 | PIV only= 0 |
| CP only= 8 | CP only= 24 | CP only= 53 | CP only= 90 |
| Total = 17 | Total = 43 | Total = 92 | Total = 113 |

PI=Provisional I license
 PII=Provisional II license
 PIII=Provisional III license
 PIV = Provisional IV license
 CMP=Civil Monetary Penalty




01/01/2017-06/30/17 Complaint Data

| | |
|--|--|
| <h3><u>Complaint Data</u></h3> <ul style="list-style-type: none">• Total received=1,982• Total substantiated= 699 (35.27%)• Onsite investigations conducted= 1,858 (93.74%)• Substantiated complaints with citations issued at "G" or above= 86 (4.34%) | <h3><u>Most Frequently Filed</u></h3> <ul style="list-style-type: none">• Care or Services 66.73%• Resident Rights 15.76%• Environment 10.45% <h3><u>Complaint Tags</u></h3> <ul style="list-style-type: none">• Total tags cited related to complaints = 706• Highest S/S cited during complaint surveys = K |
|--|--|



2016 Complaint Data

| | |
|--|--|
| <h3><u>Complaint Data</u></h3> <ul style="list-style-type: none">• Total received= 3,485• Total substantiated= 1,208 (34.66%)• Onsite investigations conducted= 3,174 (91.08%)• Substantiated complaints with citations issued at "G" or above= 139 (3.99%) | <h3><u>Most Frequently Filed</u></h3> <ul style="list-style-type: none">• Care or Services 64.45%• Resident Rights 17.18%• Environment 11.31% <h3><u>Complaint Tags</u></h3> <ul style="list-style-type: none">• Total tags cited related to complaints = 685• Highest S/S cited during complaint surveys = L |
|--|--|



▶ 2015 Complaint Data

Complaint Data


- Total received= 2,591
- Total substantiated= 863 (33.31%)
- Onsite investigations conducted= 2,330 (89.93%)
- Substantiated complaints with citations issued at "G" or above= 73 (2.82%)

Most Frequently Filed

- Care or Services 66.77%
- Resident Rights 14.73%
- Environment 9.32%

Complaint Tags

- Total tags cited related to complaints = 642
- Highest S/S cited during complaint surveys = L



▶ 2014 Complaint Data

Complaint Data


- Total received= 1,930
- Total substantiated= 483 (25.03%)
- Onsite investigations conducted= 1,725 (89.38%)
- Substantiated complaints with citations issued at "G" or above= 29 (1.50%)

Most Frequently Filed

- Care or Services 65.99%
- Resident Rights 16.98%
- Environment 8.79%

Complaint Tags

- Total tags cited related to complaints = 388
- Highest S/S cited during complaint surveys = L



Frequently Cited Tags

Listed below are the top 5 most frequently cited tags in order from most cited.

| <u>2013</u> | <u>2014</u> | <u>2015</u> | <u>2016</u> | <u>Q1 2017</u> |
|-------------|-------------|-------------|-------------|----------------|
| F309 | F309 | F309 | F309 | F309 |
| F441 | F441 | F441 | F323 | F323 |
| F323 | F514 | F514 | F441 | F441 |
| F514 | F323 | F323 | F514 | F514 |
| F371 | F371 | F371 | F371 | F371 |

- 0309 =PROVIDE CARE/SERVICES FOR HIGHEST WELL BEING
- 0441 =INFECTION CONTROL, PREVENT SPREAD, LINENS
- 0514 =RES RECORDS-COMPLETE/ACCURATE/ACCESSIBLE
- 0323 =FREE OF ACCIDENT HAZARDS/SUPERVISION/DEVICES
- 0371 =FOOD PROCURE, STORE/PREPARE/SERVE - SANITARY



01/01/2017-06/30/17 Incidents

- Total number of incident reports received: **13,134**
- Most Frequently reported events
 - ▀ Transfer to Hospital – **5,371**
 - ▀ Abuse – **2,053**
 - ▀ Other – **1,968**



2016 Incidents

- Total number of incident reports received:
23,398
- Most Frequently reported events
 - ▾ Transfer to Hospital – **10,489**
 - ▾ Abuse – **3,647**
 - ▾ Other – **3,154**




2015 Incidents

- Total number of incident reports received:
21,788
- Most Frequently reported events
 - ▾ Transfer to Hospital – **10,432**
 - ▾ Abuse – **2,941**
 - ▾ Other – **2,547**




IDR

| | |
|---|--|
| <p><u>2013</u> 69 Tags disputed 19% deleted (13) 7% revised (5) 72% upheld (50) 0% withdrawn (0)</p> | <p><u>2014</u> 60 Tags disputed 15% deleted (9) 20% revised (12) 63% upheld (38) 2% withdrawn (1)</p> |
| <p><u>2015</u> 131 Tags disputed 25% deleted (33) 11% revised (15) 63% upheld (82) 1% withdrawn (1)</p> | <p><u>2017 1/1/17-7/31/17</u> 91 Tags disputed 14% deleted (13) 7% revised (7) 77% upheld (70) 0% withdrawn (0) 2% rejected (2)</p> |




State IDR

| | |
|--|--|
| <p><u>2014</u> 24 Tags disputed 25% deleted (6) 0% revised (0) 75% upheld (18) 0% withdrawn (0)</p> | <p><u>2015</u> 30 Tags disputed 20% deleted (6) 10% revised (3) 70% upheld (21) 0% withdrawn (0)</p> |
| <p><u>2016</u> 78 Tags disputed 13% deleted (10) 10% revised (8) 73% upheld (57) 4 % withdrawn (3)</p> | <p><u>2017 1/1/17-7/31/17</u> 25 Tags disputed 4% deleted (1) 4% revised (1) 84% upheld (21) 8% under review (2)</p> |




Federal IIDR

| | |
|---|--|
| <u>2014</u> 1 Tag disputed 100% upheld (1) | <u>2015</u> 2 Tags disputed 100% upheld (2) |
| <u>2016</u> 9 Tags disputed 67% upheld (6) 33% modified (3) | <u>2017 1/1/17-7/31/17</u> 6 Tags disputed 50% upheld (3) 50% modified (3) |




IDR for 01/01/17 to 07/31/17

| | |
|---|--|
| <u>IDR</u> 91 Tags disputed 14% deleted(13) 7% revised (2) 0% withdrawn (0) 2% rejected (2) | <u>State IIDR</u> 25 Tags disputed 4% deleted (1) 4% revised (1) 84% upheld (21) 8% under review (2) |
|---|--|




Trends



The slide features a dark blue header bar with the word "Trends" in white. Below the header is a thin light blue horizontal line. The main content area is empty. In the bottom right corner, there is a logo for the Pennsylvania Department of Health, consisting of a blue stylized 'P' with a white caduceus symbol inside, followed by the text "pennsylvania" in a bold, lowercase sans-serif font and "DEPARTMENT OF HEALTH" in a smaller, all-caps sans-serif font below it.

Additional Updates

Long Term Care Regulation updates



The slide features a dark blue header bar with the words "Additional Updates" in white. Below the header is a thin light blue horizontal line. The main content area contains the text "Long Term Care Regulation updates" in a black sans-serif font. In the bottom right corner, there is a logo for the Pennsylvania Department of Health, identical to the one in the slide above.

Resources

- DNCF **717-787-1816**
- DSI **717-787-1911**
- Message Board
 - www.health.state.pa.us
- CMS Website
 - www.cms.hhs.gov
- State Operations Manual
 - http://cms.hhs.gov/manuals/Downloads/som107ap_pp_guidelines_ltcf.pdf

