A COMPARISON OF PENNSYLVANIA'S PERSONAL CARE HOME AND ASSISTED LIVING RESIDENCE REGULATIONS

(55 Pa. Code Chapter 2600 and 55 Pa. Code Chapter 2800)



The Pennsylvania Health Care Association (PHCA), in response to innumerable requests from our colleagues in the Assisted Living and Personal Care professions, has reprinted this **PCH/ALR Regulatory Comparison Guide**. Over the last six years, we have been told how useful this Guide has been. Now, with many more facilities considering whether to become licensed as Assisted Living, the demand for this Guide has increased dramatically.

For almost 65 years, PHCA has advocated for Pennsylvania's most vulnerable older and disabled residents and their providers of care, which include assisted living residences, personal care homes and nursing facilities. We are proud to be recognized as the largest and most effective association representing these sectors.

In 2007, the Commonwealth of Pennsylvania defined and established Assisted Living, which is for individuals typically needing more care than is usually provided by personal care homes but less than the 24-hour care provided in nursing homes. The assisted living residence regulations (55 Pa. Code Chapter 2800) were published on July 17, 2010, and became effective on January 18, 2011. These regulations contain many provisions that are different from the personal care home regulations (55 Pa. Code Chapter 2600) that have been in effect since 2005. It is important that both providers and consumers understand the differences in the regulations governing both sectors.

This publication delivers to both providers and consumers an easy to understand guide to navigate the similarities and differences between the regulations for assisted living residences (2800) and personal care homes (2600). To assist the user: the first column provides the regulatory language from the personal care home regulations; the second column provides the regulatory language from the assisted living residence regulations with the regulatory differences noted in bold, italics and underlined; and the third column provides a brief summary of the differences between the two regulations, as well as space for your own notes. This comparison document is also posted on the PHCA website at www.phca.org under the "AL/PC Members Only" section.

The PHCA AL/PC Board and staff hope you find this Comparison Guide insightful. For more information, please call 717-221-1800 or visit www.phca.org.



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Questions to Consider Before Pursuing an ALR License

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- 1. What resident population does the provider want to serve based on the care and financial needs of the consumers in the surrounding community?
 - a. Does the provider want to participate as a network provider in the PA Dept. of Human Services Medicaid Managed Long-Term Services and Supports program called Community HealthChoices?
- 2. What potential business and resident benefits could be achieved by transitioning from Personal Care Home (PCH) to Assisted Living Residence (ALR) licensure?
- 3. Is the provider prepared to meet the staffing requirements related to the following:
 - a. Administrator. See §2800.53; §2800.56; §2800.64
 - b. Licensed nurse and dietician on staff or under contract. See §2800.60
 - c. Staff training.
 - i. First aid and CPR training ratio. See §2800.63
 - ii. Direct care staff orientation and annual training. See §2800.65
 - iii. Dementia training. See §2800.69
- 4. Is the provider committed and prepared to allow residents to age in place up to needing 24/7 skilled nursing care?
- 5. Does the provider have the in-house resources or the provider network needed to fulfill the supplemental health care services requirements? See §2800.220(e)
- 6. Does the provider need to add services not currently provided and/or staff to meet the required core service packages (independent core package and enhanced service package)? See §2800.220(b)&(c)
- 7. Is the provider prepared to establish an informed consent process to allow the residents to direct their own care? See §2800.30
- 8. Does the provider meet the following physical plant requirements:
 - a. Living units including kitchen capacity. See §2800.101
 - b. Bathrooms. See §2800.102
 - c. Indoor activity space. See §2800.98
 - d. Dining room. See §2800.104
- 9. If the provider does not meet the above physical plant requirements, can the provider demonstrate that a waiver of the provision will not negatively impact a resident's quality of life? See §2800.19
- 10. What additional measures (staffing, physical plant, operational), if any, will be needed to ensure safe evacuation of all residents as they age in place?

For further help in navigating the similarities and differences between the PCH regulations (Chapter 2600) and the ALR regulations (Chapter 2800), please refer to the PHCA 'Green Book'. For further assistance in determining whether to transition from a PCH license to an ALR license, please contact Gail Weidman, PHCA Director of Policy and Regulatory Affairs, or Brandon Smeltzer, PHCA Director of Assisted Living and Personal Care, with the Pennsylvania Health Care Association at 717-221-1800.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	General Provisions	
§ 2600.1. Purpose.	§ 2800.1. Purpose.	
(a) The purpose of this chapter is to protect the health, safety and well-being of personal care home residents. (b) Personal care homes are designed to provide safe, humane, comfortable and supportive residential settings for adults who do not require the services in or of a licensed long-term care facility, but who do require assistance or supervision with activities of daily living, instrumental activities of daily living, or both. Residents who live in personal care homes that meet the requirements in this chapter will receive the encouragement and assistance they need to develop and maintain maximum independence and self-determination.	 (a) The purpose of this chapter is to protect the health, safety and well-being of assisted living residents. (b) Assisted living residences are a significant long-term care alternative to allow individuals to age in place. Residents who live in assisted living residences that meet the requirements in this chapter will receive the assistance they need to age in place and develop and maintain maximum independence, exercise decision-making and personal choice. 	
§ 2600.2. Scope.	§ 2800.2. Scope.	
(a) This chapter applies to personal care homes as defined in this chapter, and contains the minimum requirements that	(a) This chapter applies to assisted living residences as defined in this chapter, and contains the minimum requirements that	

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
shall be met to obtain a license to operate a personal care home.	shall be met to obtain a license to operate an assisted living residence.	
(b) This chapter does not apply to commercial boarding homes or to facilities operated by a religious organization exclusively for the care of clergy or other individuals in a religious profession.	(b) This chapter does not apply to personal care homes, domiciliary care homes, independent living communities or commercial boarding homes.	
§ 2600.3. Inspections and licenses.	§ 2800.3. Inspections and licenses.	
(a) The Department will annually conduct at least one onsite unannounced inspection of each personal care home.	(a) The Department will annually conduct at least one onsite unannounced inspection of each assisted living residence.	
	(b) Additional announced or unannounced inspections may be conducted at the Department's discretion.	In the ALR setting the Department added provisions that allow for additional announced and unannounced inspections.
(b) A license will be issued to the legal entity by the Department if, after an investigation by an authorized agent of the Department, the requirements for a license are met.	(c) A license will be issued to the legal entity by the Department if, after an investigation by an authorized agent of the Department, the requirements for a license are met.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(c) The personal care home shall post the current license, a copy of the current license inspection summary issued by the Department and a copy of this chapter in a conspicuous and public place in the personal care home.	(d) The assisted living residence shall post the current license, a copy of the current license inspection summary issued by the Department and a copy of this chapter in a conspicuous and public place in the assisted living residence.	
§ 2600.4. Definitions.	§ 2800.4. Definitions.	
The following words and terms, when used in this chapter, have the following meanings, unless the context clearly indicates otherwise:	The following words and terms, when used in this chapter, have the following meanings, unless the context clearly indicates otherwise:	
ADL—Activities of daily living—The term includes eating, drinking, ambulating, transferring in and out of a bed or chair, toileting, bladder and bowel management, personal hygiene, securing health care, managing health care, self-administering medication and proper turning and positioning in a bed or chair.	ADL—Activities of daily living —The term includes eating, drinking, ambulating, transferring in and out of a bed or chair, toileting, bladder and bowel management, personal hygiene, securing health care, managing health care, self-administering medication and proper turning and positioning in a bed or chair.	
Abuse—The occurrence of one or more of the following acts:(i) The infliction of injury, unreasonable confinement, intimidation or punishment	Abuse—The occurrence of one or more of the following acts: (i) The infliction of injury, unreasonable confinement, intimidation or punishment	

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
with resulting physical harm, pain or mental anguish. (ii) The willful deprivation by the personal care home or its staff persons of goods or services which are necessary to maintain physical or mental health. (iii) Sexual harassment, rape or abuse, as defined in 23 Pa.C.S. Chapter 61 (relating to protection from abuse). (iv) Exploitation by an act or a course of conduct, including misrepresentation or failure to obtain informed consent which results in monetary, personal or other benefit, gain or profit for the perpetrator, or monetary or personal loss to the resident. (v) Neglect of the resident, which results in physical harm, pain or mental anguish. (vi) Abandonment or desertion by the personal care home or its staff persons.	with resulting physical harm, pain or mental anguish. (ii) The willful deprivation by the assisted living residence or its staff persons of goods or services which are necessary to maintain physical or mental health. (iii) Sexual harassment, rape or abuse, as defined in 23 Pa.C.S. Chapter 61 (relating to protection from abuse). (iv) Exploitation by an act or a course of conduct, including misrepresentation or failure to obtain informed consent which results in monetary, personal or other benefit, gain or profit for the perpetrator, or monetary or personal loss to the resident. (v) Neglect of the resident, which results in physical harm, pain or mental anguish. (vi) Abandonment or desertion by the assisted living residence or its staff	
Adult—An individual who is 18 years of age or older.	persons. Adult—An individual who is 18 years of age or older. Age in place or aging in place— Receiving care and services at a licensed	New definition applicable to the ALR setting. Adding this definition and the

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

isted Living Residence Regulation	Differences/Notes
living residence to accommodate ng needs and preferences in order in in the assisted living residence.	addition of assisted living to the current law, allows individuals who are found to be nursing facility clinically eligible to remain in an ALR as their needs change.
-An individual authorized by the ment to enter, visit, inspect or an investigation of an assisted esidence.	Tentani in an Fizik as their needs entange.
ry staff person—An individual ovides services for the residents an direct assistance with activities living. Ancillary staff may staff who do not provide direct t who conduct assessment, care ag or care management activities, to meet the direct care staff sations and training requirements. The ry staff may also include RNs, dieticians, or skilled professionals set the requirements of their ional licensure and the direct care quirements, if they also provide ssistance with activities of daily Other ancillary staff may include the professionals of their ancillary staff may include the professionals of their spirituals.	Additions made to the definition of Ancillary staff person in the ALR setting. This addition was made to accommodate other changes in the ALR regulation in subsequent Sections.
t t	vides services for the residents an direct assistance with activities living. Ancillary staff may staff who do not provide direct who conduct assessment, care g or care management activities, o meet the direct care staff ations and training requirements. The staff may also include RNs, ieticians, or skilled professionals et the requirements of their conal licensure and the direct care wirements, if they also provide esistance with activities of daily

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Appropriate assessment agency—An organization serving adults who are older or adults with disabilities, such as a county mental health/mental retardation agency, a drug and alcohol agency, an area agency on aging or another human service agency or an individual in an occupation maintaining contact with adults who are older and adults with disabilities, such as medicine, nursing or rehabilitative therapies.	Appropriate assessment agency—An organization serving adults who are older or adults with disabilities, such as a county mental health/mental retardation agency, a drug and alcohol agency, an area agency on aging or another human service agency or an individual in an occupation maintaining contact with adults who are older and adults with disabilities, such as medicine, nursing or rehabilitative therapies. Area agency on aging—The local agency designated by the Department of Aging as defined in section 2202-A of The	This definition was added because the AAAs are the agency to determine whether an ALR resident is Nursing
	Administrative Code of 1929 (71 P.S. § 581-2). Assessment—An instrument that includes screening of a resident or potential resident to determine whether the resident or potential resident requires the services of an assisted living residence. Assisted living residence or residence—Any premises in which food, shelter,	Facility Clinically Eligible (NFCE) under the Medical Assistance Program. New definition applicable to the ALR setting. New definition applicable to the ALR setting.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	assisted living services, assistance or supervision and supplemental health care services are provided for a period exceeding 24-hours for four or more adults who are not relatives of the operator, who require assistance or supervision in matters such as dressing, bathing, diet, financial management, evacuation from the residence in the event of an emergency or medication prescribed for self-administration. Assisted living residence administrator—An individual who is charged with the general administration of an assisted living residence, whether or not the individual has an ownership interest in the residence or his function and duties are shared with other individuals.	New definition applicable to the ALR setting.
	Assisted living services—Services as defined in § 2800.220(b) (relating to service provision). Basic cognitive support services—These	New definition applicable to the ALR setting. New definition applicable to the ALR
	services include the following: (i) Intermittent cueing.	setting.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(ii) Redirecting. (iii) Environmental cues. (iv) Measures to address wandering. (v) Dementia-specific activity programming. (vi) Specialized communication techniques.	
CAM—Complementary and alternative medications—Practices, substances and ideas used to prevent or treat illness or promote health and well-being outside the realm of modern conventional medicine. Alternative medicine is used alone or instead of conventional medicine. Complementary medicine is used along with or in addition to conventional medicine.	CAM—Complementary and alternative medications—Practices, substances and ideas used to prevent or treat illness or promote health and well-being outside the realm of modern conventional medicine. Alternative medicine is used alone or instead of conventional medicine. Complementary medicine is used along with or in addition to conventional medicine.	
CPR—Cardiopulmonary resuscitation.	CPB—Cognitive, physical, behavioral. CPR—Cardiopulmonary resuscitation.	New definition applicable to the ALR setting.
	Cognitive support services— (i) Services provided to an individual who has memory impairments and other cognitive problems which significantly	New definition applicable to the ALR setting.

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	interfere with his ability to carry out ADLs without assistance and who requires that supervision, monitoring and programming be available 24 hours per day, 7 days per week, in order to reside safely in the setting of his choice. (ii) The term includes assessment, health support services and a full range of dementia-capable activity programming and crisis management.	
Commercial boarding home—A type of residential living facility providing only food and shelter, or other services normally provided by a hotel, for payment, for individuals who require no services beyond food, shelter and other services usually found in hotel or apartment rental.	Commercial boarding home—A type of residential living facility providing only food and shelter, or other services normally provided by a hotel, for payment, for individuals who require no services beyond food, shelter and other services usually found in hotel or apartment rental.	
	Common living area—Includes any of the following: (i) Dining room. (ii) Indoor activity space. (iii) Recreational space. (iv) Swimming area, if located in the residence.	New definition applicable to the ALR setting.

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Complaint—A written or oral criticism, dispute or objection presented by or on behalf of a resident to the Department regarding the care, operations or management of a personal care home.	Complaint—A written or oral criticism, dispute or objection presented by or on behalf of a resident to the Department regarding the care, operations or management of an assisted living residence.	
Day—Calendar day.	Day—Calendar day.	
Dementia—A clinical syndrome characterized by a decline of long duration in mental function in an alert individual. Symptoms of dementia may include memory loss, personality change, chronic wandering and the loss or diminishing of other cognitive abilities, such as learning ability, judgment, comprehension, attention and orientation to time and place and to oneself.	Dementia—A clinical syndrome characterized by a decline of long duration in mental function in an alert individual. Symptoms of dementia may include memory loss, personality change, chronic wandering and the loss or diminishing of other cognitive abilities, such as learning ability, judgment, comprehension, attention and orientation to time and place and to oneself.	
Department—The Department of Public Welfare of the Commonwealth.	Department—The Department of Public Welfare of the Commonwealth.	
Designated person—An individual who may be chosen by the resident and documented in the resident's record, to be notified in case of an emergency,	Designated person—An individual who may be chosen by the resident and documented in the resident's record, to be notified in case of an emergency,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
termination of service, personal care home closure or other situations as indicated by the resident or as required by this chapter. A designated person may be the resident's legal representative or an advocate.	termination of service, assisted living residence closure or other situations as indicated by the resident or as required by this chapter. A designated person may be the resident's legal representative or an advocate.	
Designee—A staff person authorized in writing to act in the administrator's absence.	Designee—A staff person authorized in writing to act in the administrator's absence.	
Direct care staff person—A staff person who directly assists residents with activities of daily living, and instrumental activities of daily living and provides services or is otherwise responsible for the health, safety and well-being of the residents.	Direct care staff person—A staff person who directly assists residents with activities of daily living, and instrumental activities of daily living and provides services or is otherwise responsible for the health, safety and well-being of the residents.	
	Discharge—Termination of an individual's residency in an assisted living residence.	New definition applicable to the ALR setting.
	Distinct part—A portion of a building that is visually separated such as a wing or floor, or sections or parts of floors.	New definition applicable to the ALR setting, which will allow a building to hold both a PCH and an ALR license.

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Emergency medical plan—A plan that ensures immediate and direct access to medical care and treatment for serious injury or illness, or both.	Emergency medical plan—A plan that ensures immediate and direct access to medical care and treatment for serious injury or illness, or both.	
Financial management— (i) A personal care service provided whenever the administrator serves as representative payee or as a guardian or power of attorney assigned prior to December 21, 1988, for a resident, or when a resident requests and receives assistance in budgeting and spending of the personal needs allowance. (ii) The term does not include solely storing funds in a safe place as a convenience for a resident.	Financial management— (i) An assisted living service requested or required by the resident in accordance with his support plan, which includes taking responsibility for or assisting with paying bills, budgeting, maintaining accurate records of income and disbursements, safekeeping funds and making funds available to the resident upon request. (ii) The term does not include solely storing funds in a safe place as a convenience for a resident.	Definition expanded in the ALR setting. Financial management is an assisted living service that the ALR must provide to a resident if requested or required by the resident based on his/her support plan.
Fire safety expert—A member of a local fire department, fire protection engineer, Commonwealth-certified fire protection instructor, college instructor in fire science, county or Commonwealth fire school, volunteer trained and certified by a county or Commonwealth fire school, an insurance company loss control	Fire safety expert—A member of a local fire department, fire protection engineer, Commonwealth certified fire protection instructor, college instructor in fire science, county or Commonwealth fire school, volunteer trained and certified by a county or Commonwealth fire school, an insurance company loss control	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
representative, Department of Labor and Industry building code inspector or construction code official.	representative, Department of Labor and Industry building code inspector or construction code official.	
	Health care or human services field— Includes the following: (i) Child welfare services. (ii) Adult services. (iii) Older adult services. (iv) Mental health/mental retardation services. (v) Drug and alcohol services. (vi) Services for individuals with disabilities. (vii) Medicine. (viii) Nursing. (ix) Rehabilitative services. (x) Any other human service or occupation that maintains contact with adults who are older or adults and children with disabilities.	New definition applicable to the ALR setting as a result of the enhanced administrator qualification requirements. See § 2800.53 (related to qualifications and responsibilities of administrators).
	Housekeeping—The cleaning of the living unit and common living areas. Cleaning of the living unit includes at least weekly dusting, sweeping, vacuuming, mopping, emptying trash,	New definition applicable to the ALR setting. Housekeeping is an assisted living service that the ALR must provide to residents unless the resident opts-out. See § 2800.220

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
IADL—Instrumental activities of daily	and cleaning of bathroom, counters, refrigerator and microwave oven, if these appliances are in the resident's living area. Housekeeping for common living areas means keeping them in clean sanitary condition. IADL—Instrumental activities of daily	
<i>living</i> —The term includes the following	living—The term includes the following	
activities when done on behalf of a resident:	activities when done on behalf of a resident:	
(i) Doing laundry.	(i) Doing laundry.	Financial management in the ALR setting
(ii) Shopping.	(ii) Shopping.	has replaced managing finances in the
(iii) Securing and using transportation.	(iii) Securing and using transportation.	PCH setting. See definition of Financial
(iv) Managing finances.	(iv) Financial management.	management above in § 2800.4.
(v) Using a telephone.	(v) Using a telephone.	
(vi) Making and keeping appointments.	(vi) Making and keeping appointments.	
(vii) Caring for personal possessions.	(vii) Caring for personal possessions.	
(viii) Writing correspondence.	(viii) Writing correspondence.	
(ix) Engaging in social and leisure	(ix) Engaging in social and leisure	
activities.	activities.	
(x) Using a prosthetic device.	(x) Using a prosthetic device.	
(xi) Obtaining and keeping clean, seasonal clothing.	(xi) Obtaining and keeping clean, seasonal clothing.	
Clouding.	cioning.	New term and definition applicable to the
	INRBI—Intense neurobehavioral	ALR setting. See Special Care Unit
	rehabilitation after brain injury.	provisions §§2800.231-239.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Legal entity—A person, society, corporation, governing authority or partnership legally responsible for the administration and operation of a personal care home.	Informed consent agreement—A formal, mutually agreed upon, written understanding which: (i) Results after thorough discussion among the assisted living residence staff, the resident and any individuals the resident wants to be involved. (ii) Identifies how to balance the assisted living residence's responsibilities to the individuals it serves with a resident's choices and capabilities with the possibility that those choices will place the resident or other residents at risk of harm. LPN—Licensed practical nurse. Legal entity—A person, society, corporation, governing authority or partnership legally responsible for the administration and operation of an assisted living residence.	New term and concept applicable to the ALR setting. See § 2800.30 for the specific provisions related to informed consent agreements. Additional definition in the ALR regulation.
	Legal representative—An individual who holds a power of attorney, a courtappointed guardian or other person legally authorized to act for the resident.	New definition applicable to the ALR setting.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
License—A certificate of compliance issued by the Department permitting the operation of a personal care home, at a given location, for a specific period of time, for a specified capacity, according to Chapter 20 (relating to licensure or approval of facilities and agencies).	License—A certificate of compliance issued by the Department permitting the operation of an assisted living residence, at a given location, for a specific period of time, for a specified capacity, according to Chapter 20 (relating to licensure or approval of facilities and agencies). Licensee—A person legally responsible	New definition applicable to the ALR
	for the operations of an assisted living residence licensed in accordance with this chapter.	setting.
Long-term care ombudsman—A representative of the Office of the State Long-Term Care Ombudsman in the Department of Aging who investigates and seeks to resolve complaints made by or on behalf of individuals who are 60 years of age or older who are consumers of long-term care services. These complaints may relate to action, inaction or decisions of providers of long-term care services, of public agencies, of social service agencies or their representatives, which may adversely affect the health, safety, well-being or rights of these	Long-term care ombudsman—A representative of the Office of the State Long-Term Care Ombudsman in the Department of Aging who investigates and seeks to resolve complaints made by or on behalf of individuals who are 60 years of age or older who are consumers of long-term care services. These complaints may relate to action, inaction or decisions of providers of long-term care services, of public agencies, of social service agencies or their representatives, which may adversely affect the health, safety, well-being or rights of these	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
consumers.	consumers.	
Mobile resident— (i) A resident who is physically and mentally capable of vacating the personal care home on the resident's own power or with limited physical or oral assistance in the case of an emergency, including the capability to ascend or descend stairs if present on the exit path. (A) Physical assistance means assistance in getting to one's feet or into a wheelchair, walker or prosthetic device. (B) Oral assistance means giving instructions to assist the resident in vacating the personal care home. (ii) The term includes an individual who is able to effectively operate an ambulation device required for moving from one place to another, and able to understand and carry out instructions for vacating the personal care home.	Mobile resident— (i) A resident who is physically and mentally capable of vacating the assisted living residence on the resident's own power or with limited physical or oral assistance in the case of an emergency, including the capability to ascend or descend stairs if present on the exit path. (A) Physical assistance means assistance in getting to one's feet or into a wheelchair, walker or prosthetic device. (B) Oral assistance means giving instructions to assist the resident in vacating the assisted living residence. (ii) The term includes an individual who is able to effectively operate an ambulation device required for moving from one place to another, and able to understand and carry out instructions for vacating the assisted living residence.	
Neglect—The failure of a personal care home or its staff persons to provide goods or services essential to avoid a clear and serious threat to the physical or mental	Neglect—The failure of an assisted living residence or its staff persons to provide goods or services essential to avoid a clear and serious threat to the physical or	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
health of a resident. The failure or omission to provide the care, supervision and services that the personal care home has voluntarily, or by contract, agreed to provide and that are necessary to maintain the resident's health, safety and well-being, including personal care services, food, clothing, medicine, shelter, supervision and medical services. Neglect may be repeated conduct or a single incident.	mental health of a resident. The failure or omission to provide the care, supervision and services that the assisted living residence has voluntarily, or by contract, agreed to provide and that are necessary to maintain the resident's health, safety and wellbeing, including assisted living services, food, clothing, medicine, shelter, supervision and medical services. Neglect may be repeated conduct or a single incident.	
OTC—Over the counter or nonprescription. Personal care home or home—	OTC—Over-the-counter or nonprescription.	
(i) A premise in which food, shelter and personal assistance or supervision are provided for a period exceeding 24 hours, for four or more adults who are not relatives of the operator, who do not		
require the services in or of a licensed long-term care facility, but who do require assistance or supervision in activities of daily living or instrumental activities of daily living. (ii) The term includes a premise that has		

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
held or presently holds itself out as a personal care home and provides food and shelter to four or more adults who need personal care services, but who are not receiving the services.		
Personal care home administrator or administrator—An individual who is charged with the general administration of a personal care home, whether the individual has an ownership interest in the personal care home, and whether functions and duties are shared with other individuals.		
Personal care services—Assistance or supervision in ADL or IADL, or both.	Personal care services is included in the definition of assisted living services.	
Premises—The grounds and buildings on the same grounds, used for providing personal care services.	Premises—The grounds and buildings on the same grounds, used for providing services required by residents.	
Protective services unit—The local area agency on aging unit designated by the Department of Aging to investigate allegations of abuse of adults who are 60 years of age or older and assess the need	Protective services unit—The local area agency on aging unit designated by the Department of Aging to investigate allegations of abuse of adults who are 60 years of age or older and assess the need	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
for protective interventions.	for protective interventions.	
	RN—Registered nurse.	Additional definition in the ALR
		regulation.
Referral agent—An agency or individual	Referral agent—An agency or individual	
who arranges for or assists, or both, with	who arranges for or assists, or both, with	
placement of a resident into a personal care home.	placement of a resident into an assisted	
care nome.	living residence.	
Relative—A spouse, parent, child,	Relative—A spouse, parent, child,	
stepparent, stepchild, grandparent,	stepparent, stepchild, grandparent,	
grandchild, brother, sister, half-brother,	grandchild, brother, sister, half-brother,	
half-sister, aunt, uncle, niece or nephew.	half-sister, aunt, uncle, niece or nephew.	
Resident—An individual, unrelated to the	<u>Resident</u> —An adult, unrelated to the legal	The definition of <i>Resident</i> in the ALR
legal entity, who resides in a personal care	entity, who resides in an assisted living	setting was amended to remove the
home, and who requires personal care	residence, and who may require assisted	language "but who does not require the
services, but who does not require the	living services or supplemental health	level of care provided by a hospital or
level of care provided by a hospital or	care services, or both.	long term care facility", to allow a
long-term care facility.		resident in the ALR setting to age in
Resident with mobility needs—An	Resident with mobility needs—An adult	place.
individual who is unable to move from	who is unable to move from one location	
one location to another, has difficulty in	to another, has difficulty in understanding	
understanding and carrying out	and carrying out instructions without the	
instructions without the continued full	continued full assistance of other	
assistance of other individuals or is	individuals or is incapable of	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
incapable of independently operating an ambulation device, such as a wheelchair, prosthesis, walker or cane to exit a building.	independently operating an ambulation device, such as a wheelchair, prosthesis, walker or cane to exit a building.	
Restraint—A manual, chemical or mechanical device used to limit or restrict the movement or normal function of an individual or a portion of the individual's body.	Restraint—A manual, chemical or mechanical device used to limit or restrict the movement or normal function of an individual or a portion of the individual's body.	
SSI—Supplemental Security Income.	SSI—Supplemental Security Income.	
Secretary—The Secretary of the Department.	Secretary—The Secretary of the Department.	
	Special care designation—A licensed assisted living residence or a distinct part of the residence which is specifically designated by the Department as capable of providing cognitive support services to residents with severe cognitive impairments, including dementia or Alzheimer's disease, in the least restrictive manner to ensure the safety of the resident and others in the residence while maintaining the resident's ability to	New definition applicable to the ALR setting. See special care unit provisions contained in §§ 2800.231-239.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Staff person—An individual who works for the personal care home for compensation either on payroll or under contract.	Specialized cognitive support services— These services include the following: (i) Nonpharmacological interventions. (ii) Dining with dignity. (iii) Routines and roles. (iv) Close of day programming. (v) Pain management and personcentered care planning. (vi) Implementation and management. Staff person—An individual who works for the assisted living residence for compensation either on payroll or under contract.	New definition applicable to the ALR setting. Specialized cognitive support services is considered a supplemental health care service in the ALR setting-the ALR must either provide or arrange for the provision of this service. See §2800.220(e)(8).
	Supplemental health care services—The provision by an assisted living residence of any type of health care service, either directly or through contractors, subcontractors, agents or designated providers, except for any service that is required by law to be provided by a health care facility under the Health Care Facilities Act (35 P.?S. §§ 448.101—448.901).	New definition applicable to the ALR setting. An ALR must either provide or arrange for supplemental health care services. See §2800.220(e)

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes	
Support plan—A written document that describes for each resident the resident's care, service or treatment needs based on the assessment of the resident, and when the care, service or treatment will be provided, and by whom.	Support plan—A written document that describes for each resident the resident's care, service or treatment needs based on the assessment of the resident, and when the care, service or treatment will be provided, and by whom.		
	Third-party provider—Any contractor, subcontractor, agents or designated providers under contract with the resident or residence to provide services to any resident.	New definition applicable to the ALR setting.	
	Transfer—Movement of a resident within the assisted living residence or to a temporary placement outside the assisted living residence.	New definition applicable to the ALR setting.	
Volunteer— (i) An individual who, of his own free will, and without monetary compensation, provides direct care services for residents in the personal care home. (ii) The term does not include visitors or individuals who provide nondirect services or entertainment on an occasional basis.	Volunteer— (i) An individual who, of his own free will, and without monetary compensation, provides direct care services for residents in the assisted living residence. (ii) The term does not include visitors or individuals who provide nondirect services or entertainment on an occasional basis.		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal	Cara	Llama	Dogu	lation
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Assisted Living Residence Regulation

Differences/Notes

§ 2600.5. Access.

- (a) The administrator or a designee shall provide, upon request, immediate access to the home, the residents and records to:
- (1) Agents of the Department.
- (2) Representatives of the area agency on aging.
- (3) Representatives of the Long-Term Care Ombudsman Program.
- (4) Representatives of the protection and advocacy system for individuals with disabilities designated under the Protection and Advocacy for Individual Rights Program of the Vocational Rehabilitation and Rehabilitation Services Act (29 U.S.C.A. § 794e), the Protection and Advocacy for Individuals with Mental Illness Act (42 U.S.C.A. § § 10801—10851) and the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C.A. § § 15041—15043).

§ 2800.5. Access.

- (a) The administrator, <u>administrator</u> <u>designee or staff person designated</u> <u>under § 2800.56(c) (relating to administrator staffing)</u> shall provide, upon request, immediate access to the residence, the residents and records to:
- (1) Agents of the Department.
- (2) Representatives of the area agency on aging.
- (3) Representatives of the Long-Term Care Ombudsman Program.
- (4) Representatives of the protection and advocacy system for individuals with disabilities designated under the Protection and Advocacy for Individual Rights Program of the Vocational Rehabilitation and Rehabilitation Services Act (29 U.S.C.A. § 794e), the Protection and Advocacy for Individuals with Mental Illness Act (42 U.S.C.A. §§ 10801—10851) and the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C.A. §§ 15041—15043).
- (5) The resident's designated person, if so requested by the resident. The access

In the ALR setting it is clarified that the administrator designee or the staff person designee must provide access to the residence, the residents and records. Provision is also added that the resident's designated person must be provided access to the resident's records if requested by the resident.

In the ALR setting, the resident's designated person may also have access to the home, resident and that resident's

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) The administrator or a designee shall permit community service organizations and representatives of community legal services programs to have access to the home during visitation hours or by appointment for the purpose of assisting or informing the residents of the availability of services and assistance. A resident or a resident's designated person may decline the services of the community service organization or the community legal service program.	to records under this paragraph is limited to the records of the resident. (b) The administrator, administrator designee or staff person designated under § 2800.56(c) shall permit community service organizations and representatives of legal services programs to have access to the residence during visitation hours or by appointment for the purpose of assisting or informing the residents of the availability of services and assistance. A resident or a resident's designated person if so authorized may decline the services of the community service organization or	medical records.
	the legal service program. General Requirements	
§ 2600.11. Procedural requirements for	§ 2800.11. Procedural requirements for	
licensure or approval of personal care homes.	licensure or approval of assisted living residences; special care designation and dual licensure.	
(a) Except for § 20.32 (relating to announced inspections), the requirements in Chapter 20 (relating to licensure or approval of facilities and agencies) apply	(a) Except for § 20.32 (relating to announced inspections), the requirements in Chapter 20 (relating to licensure or approval of facilities and agencies) apply	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
to personal care homes.	to assisted living residences.	
(b) Before a home is initially licensed and permitted to open, operate or admit residents, it will be inspected by the Department and found to be in compliance with applicable laws and regulations. The Department will reinspect newly licensed homes within 3 months of the date of initial licensure.	(b) Before a residence is initially licensed and permitted to open, operate or admit residents, it will be inspected by the Department and found to be in compliance with applicable laws and regulations <i>including this chapter</i> . The Department will reinspect newly licensed residences within 3 months of the date of initial licensure.	
(c) After the Department determines that a home meets the requirements for a license, the Department's issuance or renewal of a license to a home is contingent upon receipt by the Department of an application fee based on the number of beds in the home, as follows: (1) 0-20 beds—\$15. (2) 21-50 beds—\$20. (3) 51-100 beds—\$30. (4) 101 beds and over—\$50.	(c) After the Department determines that a residence meets the requirements for a license, the Department's issuance or renewal of a license to a residence is contingent upon receipt by the Department of the following fees based on the number of beds in the residence, as follows: (1) A \$300 license application or renewal fee. (2) A \$75 per bed fee that may be adjusted by the Department annually at a rate not to exceed the Consumer Price Index. The Department will publish a	In the ALR setting, ALRs are required to pay an application fee or renewal fee as well as a per bed fee. The per bed fee for ALRs is significantly higher than the PCH per bed fees. The regulation includes language to allow for increases in the per
	notice in the Pennsylvania Bulletin when	bed fees for ALRs by notice in the

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	the per bed fee is increased.	Pennsylvania Bulletin.
	(d) A person, organization or program may not use the term "assisted living" in any name or written material, except as a licensee in accordance with this chapter. Corporate entities which own subsidiaries that are licensed as assisted living residences may not use the term "assisted living" in any written material to market programs that are not licensed in accordance with this chapter.	An entity that is not licensed by the Department as an ALR may not use the term "assisted living" in any marketing materials.
	(e) Multiple buildings located on the same premises may apply for a single assisted living residence license.	ALRs on the same campus may be licensed under a single ALR license.
	(f) A licensed assisted living residence may submit an application and a \$150 application fee to the Department requesting special care designation. If the Department determines that the residence meets the requirements for special care designation, the residence will be issued a license indicating special care designation.	An ALR requesting special care designation must pay an additional application fee of \$150.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation Assisted Living Residence	e Regulation Differences/Notes
(g) A licensed personal carsubmit an application to the requesting dual licensure if personal care home and the living residence are collocal same building and are each distinct part of the building Department determines that facility meets all of the requesting the facility will dual license. (1) A facility that is dually if not segregate residents or the residents from one licensed another based on payment (2) A facility that is dually if request approval from the I share the administrator for licensed facilities by request of the administrator hourly requirements contained in (relating to administrator singualifications for a shared must be as set forth in this signal.	the personal care home and the assisted living residences are located in distinct parts of the same building. See § 2800.4 (relating to definitions) for the definition of distinct part. See § 2800.4 (relating to definitions) for the definition of distinct part. A dually licensed residence may not segregate residents or transfer them from one licensed facility to another based on payment source. Source. Sicensed may Department to the two string a waiver estaffing § 2800.56 taffing). The administrator

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.12. Appeals. Appeals related to the licensure or approval of the personal care home shall be made in accordance with 1 Pa. Code Part II (relating to General Rules of Administrative Practice and Procedure).	§ 2800.12. Appeals. (a) Appeals related to the licensure or approval of the assisted living residence shall be made in accordance with 1 Pa.Code Part II (relating to General Rules of Administrative Practice and Procedure (GRAPP)). (b) Appeals related to the licensure or approval of the assisted living residence shall be made by filing a petition within 30 days after service of notice of the action. (c) Subsection (b) supersedes the appeal period of 1 Pa.Code § 35.20 (relating to appeals from actions of the staff).	The ALR regulation provides specific language related to the timing of appeals.
§ 2600.13. Maximum capacity.	§ 2800.13. Maximum capacity.	
(a) The maximum capacity is the total number of residents who are permitted to reside in the home at any time. A request to increase the capacity shall be submitted to the Department and other applicable authorities and approved prior to the	(a) The maximum capacity is the total number of residents who are permitted to reside in the residence at any time. A request to increase the capacity shall be submitted to the Department and other applicable authorities and approved prior	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
admission of additional residents. The maximum capacity is limited by physical plant space and other applicable laws and regulations.	to the admission of additional residents. The maximum capacity is limited by physical plant space and other applicable laws and regulations.	
(b) The maximum capacity specified on the license may not be exceeded.	(b) The maximum capacity specified on the license may not be exceeded.	
§ 2600.14. Fire safety approval.	§ 2800.14. Fire safety approval.	
(a) Prior to issuance of a license, a written fire safety approval from the Department of Labor and Industry, the Department of Health or the appropriate local building authority under the Pennsylvania Construction Code Act (35 P. S. §§ 7210.101—7210.1103) is required.	(a) Prior to issuance of a license under this chapter, a written fire safety approval from the Department of Labor and Industry, the Department of Health or the appropriate local building authority under the Pennsylvania Construction Code Act (35 P.S. §§ 7210.101—7210.1103) is required.	
(b) If the fire safety approval is withdrawn or restricted, the home shall notify the Department orally immediately, and in writing, within 48 hours of the withdrawal or restriction.	(b) If the fire safety approval is withdrawn or restricted, the residence shall notify the Department orally immediately, and in writing, within 48 hours of the withdrawal or restriction.	
(c) If a building is structurally renovated or altered after the initial fire safety	(c) If a building is structurally renovated or altered after the initial fire safety	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
approval is issued, the home shall submit the new fire safety approval, or written certification that a new fire safety approval is not required, from the appropriate fire safety authority. This documentation shall be submitted to the Department within 15 days of the completion of the renovation or alteration. (d) The Department will request additional fire safety inspections by the appropriate agency if possible fire safety violations are observed during an inspection by the Department.	approval is issued, the residence shall submit the new fire safety approval, or written certification that a new fire safety approval is not required, from the appropriate fire safety authority. This documentation shall be submitted to the Department within 15 days of the completion of the renovation or alteration. (d) The Department will request additional fire safety inspections by the appropriate agency if possible fire safety violations are observed during an inspection by the Department.	
	(e) Fire safety approval must be renewed at least every 3 years, or more frequently, if requested by the Department.	In the ALR setting fire safety approval must be renewed at least every 3 years.
§ 2600.15. Abuse reporting covered by law.	§ 2800.15. Abuse reporting covered by law.	
(a) The home shall immediately report suspected abuse of a resident served in the home in accordance with the Older Adult Protective Services Act (35 P. S. § \$ 10225.701—10225.707) and 6	(a) The residence shall immediately report suspected abuse of a resident served in the residence in accordance with the Older Adult Protective Services Act (35 P.S. §§ 10225.701—10225.707) and 6 Pa.Code	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Pa.Code § 15.21—15.27 (relating to reporting suspected abuse) and comply with the requirements regarding restrictions on staff persons.	§§ 15.21—15.27 (relating to reporting suspected abuse, <i>neglect, abandonment or exploitation</i>) and comply with the requirements regarding restrictions on staff persons.	
(b) If there is an allegation of abuse of a resident involving a home's staff person, the home shall immediately develop and implement a plan of supervision or suspend the staff person involved in the alleged incident.	(b) If there is an allegation of abuse of a resident involving a residence's staff person, the residence shall immediately develop and implement a plan of supervision or suspend the staff person involved in the alleged incident.	
(c) The home shall immediately submit to the Department's personal care home regional office a plan of supervision or notice of suspension of the affected staff person.	(c) The residence shall immediately submit to the Department's assisted living residence office a plan of supervision or notice of suspension of the affected staff person.	
(d) The home shall immediately notify the resident and the resident's designated person of a report of suspected abuse or neglect involving the resident.	(d) The residence shall immediately notify the resident and the resident's designated person of a report of suspected abuse or neglect involving the resident.	
resident and the resident's designated person of a report of suspected abuse or	the resident and the resident's designated person of a report of suspected abuse or	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.16. Reportable incidents and conditions.	§ 2800.16. Reportable incidents and conditions.	
 (a) A reportable incident or condition includes the following: (1) The death of a resident. (2) A physical act by a resident to commit suicide. (3) A serious bodily injury or trauma 	 (a) A reportable incident or condition includes the following: (1) The death of a resident. (2) A physical act by a resident to commit suicide. (3) An injury, illness or trauma requiring treatment at a hospital or medical facility. 	In the ALR setting, a reportable incident
requiring treatment at a hospital or medical facility. This does not include minor injuries such as sprains or minor cuts.	treatment at a hospital or medical facility. This does not include minor injuries such as sprains or minor cuts.	includes any injury or illness that requires treatment at a hospital or medical facility.
(4) A violation of a resident's rights in § § 2600.41—2600.44 (relating to resident rights).	(4) A violation of a resident's rights in §§ 2800.41—2800.44 (relating to resident rights).	
(5) An unexplained absence of a resident for 24 hours or more, or when the support plan so provides, a period of less than 24	(5) An unexplained absence of a resident for 24 hours or more, or when the support plan so provides, a period of less than 24	
hours, or an absence of a resident from a secured dementia care unit. (6) Misuse of a resident's funds by the	hours, or an absence of a resident from a special care unit. (6) Misuse of a resident's funds by the	
home's staff persons or legal entity. (7) An outbreak of a serious communicable disease as defined in 28	residence's staff persons or legal entity. (7) An outbreak of a serious communicable disease as defined in 28	
Pa. Code § 27.2 (relating to specific identified reportable diseases, infections	Pa. Code § 27.2 (relating to specific identified reportable diseases, infections	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
and conditions).	and conditions).	
(8) Food poisoning of residents.	(8) Food poisoning of residents.	
(9) A physical or sexual assault by or	(9) A physical or sexual assault by or	
against a resident.	against a resident.	
(10) Fire or structural damage to the home.	(10) Fire or structural damage to the residence.	
(11) An incident requiring the services of an emergency management agency, fire	(11) An incident requiring the services of an emergency management agency, fire	
department or law enforcement agency,	department or law enforcement agency,	
except for false alarms.	except for false alarms.	
(12) A complaint of resident abuse,	(12) A complaint of resident abuse,	
suspected resident abuse or referral of a	suspected resident abuse or referral of a	
complaint of resident abuse to a local	complaint of resident abuse to a local	
authority.	authority.	
(13) A prescription medication error as	(13) A prescription medication error as	
defined in § 2600.188 (relating to	defined in § 2800.188 (relating to	
medication errors.)	medication errors).	
(14) An emergency in which the	(14) An emergency in which the	
procedures under § 2600.107 (relating to	procedures under § 2800.107 (relating to	
emergency preparedness) are	emergency preparedness) are	
implemented.	implemented.	
(15) An unscheduled closure of the home	(15) An unscheduled closure of the	
or the relocation of the residents.	residence or the relocation of the	
	residents.	
(16) Bankruptcy filed by the legal entity.	(16) Bankruptcy filed by the legal entity.	
(17) A criminal conviction against the	(17) A criminal conviction against the	
legal entity, administrator or staff that are	legal entity, administrator or staff that is	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
subsequent to the reporting on the criminal history checks under § 2600.51 (relating to criminal history checks). (18) A termination notice from a utility. (19) A violation of the health and safety laws listed in § 2600.18 (relating to applicable health and safety laws).	subsequent to the reporting on the criminal history checks under § 2800.51 (relating to criminal history checks). (18) A termination notice from a utility. (19) A violation of the health and safety laws under § 2800.18 (relating to applicable laws). (20) An absence of staff so that residents receive inadequate care as defined by the respective resident's support plan.	In the ALR setting a reportable incident includes the absence of adequate staff to provide care to the residents based on their support plans.
(b) The home shall develop and implement written policies and procedures on the prevention, reporting, notification, investigation and management of reportable incidents and conditions.	(b) The residence shall develop and implement written policies and procedures on the prevention, reporting, notification, investigation and management of reportable incidents and conditions.	
(c) The home shall report the incident or condition to the Department's personal care home regional office or the personal care home complaint hotline within 24 hours in a manner designated by the Department. Abuse reporting shall also follow the guidelines in § 2600.15 (relating to abuse reporting covered by law).	(c) The residence shall report the incident or condition to the Department's assisted living residence office or the assisted living residence complaint hotline within 24 hours in a manner designated by the Department. The residence shall immediately report the incident or condition to the resident's family and the resident's designated person. Abuse reporting must also follow the guidelines	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	in § 2800.15 (relating to abuse reporting covered by law).	
(d) The home shall submit a final report, on a form prescribed by the Department, to the Department's personal care home regional office immediately following the conclusion of the investigation.	(d) The residence shall submit a final report, on a form prescribed by the Department, to the <i>Department's assisted living residence office</i> immediately following the conclusion of the investigation.	
(e) If the home's final report validates the occurrence of the alleged incident or condition, the affected resident and other residents who could potentially be harmed or his designated person shall also be informed immediately following the conclusion of the investigation.	(e) If the residence's final report validates the occurrence of the alleged incident or condition, the affected resident and other residents who could potentially be harmed or his designated person shall also be informed immediately following the conclusion of the investigation.	
(f) The home shall keep a copy of the report of the reportable incident or condition.	(f) The residence shall keep a copy of the report of the reportable incident or condition.	
§ 2600.17. Confidentiality of records.	§ 2800.17. Confidentiality of records.	
Resident records shall be confidential, and, except in emergencies, may not be accessible to anyone other than the	Resident records shall be confidential, and, except in emergencies, may not be accessible to anyone other than the	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
resident, the resident's designated person if any, staff persons for the purpose of providing services to the resident, agents of the Department and the long-term care ombudsman without the written consent of the resident, an individual holding the resident's power of attorney for health care or health care proxy or a resident's designated person, or if a court orders disclosure.	resident, the resident's designated person if any, staff persons for the purpose of providing services to the resident, agents of the Department and the long-term care ombudsman without the written consent of the resident, an individual holding the resident's power of attorney for health care or health care proxy or a resident's designated person, or if a court orders disclosure.	
§ 2600.18. Applicable health and safety laws.	§ 2800.18. Applicable laws.	
A home shall comply with applicable Federal, State and local laws, ordinances and regulations.	A residence shall comply with applicable Federal, State and local laws, ordinances and regulations.	
§ 2600.19. Waivers.	§ 2800.19. Waivers.	
(a) A home may submit a written request for a waiver of a specific requirement contained in this chapter. The waiver request must be on a form prescribed by the Department. The Secretary, or the Secretary's appointee, may grant a waiver of a specific requirement of this chapter if	(a) A residence may submit a written request for a waiver of a specific requirement contained in this chapter. The waiver request must be on a form prescribed by the Department. The Secretary, or the Secretary's appointee, may grant a waiver of a specific	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
the following conditions are met: (1) There is no jeopardy to the residents. (2) There is an alternative for providing an equivalent level of health, safety and wellbeing protection of the residents. (3) Residents will benefit from the waiver of the requirement.	requirement of this chapter if the following conditions are met: (1) There is no jeopardy to the residents. (2) There is an alternative for providing an equivalent level of health, safety and wellbeing protection of the residents. (3) Residents will benefit from the waiver of the requirement.	
	(b) Following receipt of a waiver request, the Department will post the waiver request on the Department's website with a 30-day public comment period prior to final review and decision on the requested waiver.	Provisions included in the ALR regulation require the Department to post waiver requests and allow for a public comment period prior to rendering a decision on the waiver request.
(b) The scope, definitions, applicability or residents' rights under this chapter may not be waived.	(c) The scope, definitions, applicability or residents' rights, assisted living service delivery requirements, special care designation requirements, staff training requirements, disclosure requirements, complaint rights or procedures, notice requirements to residents or the resident's family, contract requirements, reporting requirements, fire safety requirements, assessment, support plan or service delivery requirements under	In the ALR setting the provisions that CANNOT be waived by a licensed ALR are expanded.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	this chapter may not be waived.	
(c) At least 30 days prior to the submission of the completed written waiver request to the Department, the home shall provide a copy of the completed written waiver request to the affected resident and designated person to provide the opportunity to submit comments to the Department. The home shall provide the affected resident and designated person with the name, address and telephone number of the Department staff person to submit comments.	(d) At least 30 days prior to the submission of the completed written waiver request to the Department, the residence shall provide a copy of the completed written waiver request to the affected resident and designated person to provide the opportunity to submit comments to the Department. The residence shall provide the affected resident and designated person with the name, address and telephone number of the Department staff person to submit comments.	
(d) The home shall discuss the waiver request with the affected resident and designated person upon the request of the resident or designated person.	(e) The residence shall discuss the waiver request with the affected resident and designated person upon the request of the resident or designated person.	
(e) The home shall notify the affected resident and designated person of the approval or denial of the waiver. A copy of the waiver request and the Department's written decision shall be posted in a conspicuous and public place	(f) The residence shall notify the affected resident and designated person of the approval or denial of the waiver. A copy of the waiver request and the Department's written decision shall be posted in a conspicuous and public place	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
within the home.	within the residence.	
(f) The Department will review waivers annually to determine compliance with the conditions required by the waiver. The Department may revoke the waiver if the conditions required by the waiver are not met. (g) A waiver granted prior to October 24, 2005, is no longer in effect as of October 24, 2006.	(g) The Department will review waivers annually to determine compliance with the conditions required by the waiver. The Department may revoke the waiver if the conditions required by the waiver are not met. When the Department revokes a standing waiver from a residence that residence may appeal the revocation consistent with § 2800.12 (relating to appeals).	The ALR regulation includes provisions to allow the residence to appeal the revocation of a waiver by the Department.
§ 2600.20. Financial management.	§ 2800.20. Financial management.	
(a) A resident may manage his personal finances unless he has a guardian of his estate.	(a) A resident may manage his personal finances unless the resident has a guardian of his estate.	
(b) If the home provides assistance with financial management or holds resident funds, the following requirements apply:(1) The home shall keep a record of	(b) If the residence provides assistance with financial management or holds resident funds, the following requirements apply:(1) The residence shall keep a record of	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
financial transactions with the resident,	financial transactions with the resident,	
including the dates, amounts of deposits,	including the dates, amounts of deposits,	
amounts of withdrawals and the current	amounts of withdrawals and the current	
balance.	balance.	
(2) Resident funds shall be disbursed	(2) Resident funds shall be disbursed	
during normal business hours within 24	during normal business hours within 24	
hours of the resident's request.	hours of the resident's request.	
(3) The home shall obtain a written receipt	(3) The residence shall obtain a written	
from the resident for cash disbursements	receipt from the resident for cash	
at the time of disbursement.	disbursements at the time of	
	disbursement.	
(4) Resident funds and property shall only	(4) Resident funds and property shall only	
be used for the resident's benefit.	be used for the resident's benefit.	
(5) Commingling of resident funds and	(5) Commingling of resident funds and	
home funds is prohibited.	residence funds is prohibited.	
(6) If a home is holding more than \$200	(6) If a residence is holding more than	
for a resident for more than 2 consecutive	\$200 for a resident for more than 2	
months, the administrator shall notify the	consecutive months, the administrator	
resident and offer assistance in	shall notify the resident and offer	
establishing an interest-bearing account in	assistance in establishing an interest-	
the resident's name at a local Federally-	bearing account in the resident's name at a	
insured financial institution. This does not	local Federally-insured financial	
include security deposits.	institution. This does not include security	
	deposits.	
(7) The legal entity, administrator and	(7) The legal entity, administrator and	
staff persons of the home are prohibited	staff persons of the residence are	
from being assigned power of attorney or	prohibited from being assigned power of	

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
guardianship of a resident or a resident's estate. (8) The home shall give the resident and the resident's designated person, an itemized account of financial transactions made on the resident's behalf on a quarterly basis. (9) A copy of the itemized account shall be kept in the resident's record. (10) The home shall provide the resident the opportunity to review his own financial record upon request during normal business hours.	attorney or guardianship of a resident or a resident's estate. (8) The residence shall give the resident and the resident's designated person, an itemized account of financial transactions made on the resident's behalf on a quarterly basis. (9) A copy of the itemized account shall be kept in the resident's record. (10) The residence shall provide the resident the opportunity to review his own financial record upon request during normal business hours.	
§ 2600.21. Offsite services.	§ 2800.21. Offsite services.	
If services or activities are provided by the home at a location other than the premises, the home shall ensure that the residents' support plans are followed and that resident health and safety needs are met.	If services or activities are provided by the residence at a location other than the premises, the residence shall ensure that the residents' support plans are followed and that the health and safety needs of the residents are met.	
§ 2600.22. Admission.	§ 2800.22. Application and admission.	I d AID wind a line
The following admission documents shall be completed for each resident:	(a) <i>Documentation</i> . The following admission documents shall be completed	In the ALR setting the preadmission screening is replaced by the initial assessment and preliminary support plan

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(1) Preadmission screening completed prior to admission on a form specified by the Department.	for each resident:	that must be completed 30 days prior to admission. See § 2800.22(a)(2) below.
(2) Medical evaluation completed 60 days prior to or 30 days after admission on a form specified by the Department.	(1) Medical evaluation completed within 60 days prior to admission on a form specified by the Department. The medical evaluation may be completed within 15 days after admission if one of the following conditions applies: (i) The resident is being admitted directly	In the ALR setting the medical evaluation must be completed within 60 days prior to admission or 15 days after admission if certain conditions apply. The initial assessment must be completed within 30 days prior to admission or 15 days after admission if certain conditions apply. The
(3) Personal care home assessment completed within 15 days after admission on a form specified by the Department.	to the residence from an acute care hospital. (ii) The resident is being admitted to escape from an abusive situation. (iii) The resident has no alternative living arrangement. (2) Assisted living resident initial assessment completed within 30 days prior to admission on a form specified by the Department. The initial assessment may be completed within 15 days after admission subject to § 2800.224 (relating to initial assessment and preliminary support plan). (3) Preliminary support plan developed within 30 days prior to admission. The	preliminary support plan must be developed within 30 days prior to admission or within 15 days after admission if certain conditions apply. The final support plan must be developed and implemented within 30 days after admission.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(4) Support plan developed and implemented within 30 days after admission.(5) Resident-home contract completed prior to admission or within 24 hours after admission.	preliminary support plan may be completed within 15 days after admission if one of the following conditions applies: (i) The resident is being admitted directly to the residence from an acute care hospital. (ii) The resident is being admitted to escape from an abusive situation. (iii) The resident has no alternative living arrangement. (4) Final support plan is developed and implemented within 30 days after admission. (5) Resident-residence contract is completed prior to admission or within 24 hours after admission. (6) Medical evaluations, resident assessments and support plans may be subsequently updated as needed, but no less frequently than required in §§ 2800.225 and 2800.227 (relating to additional assessments; and development of the final support plan).	In the ALR setting, new timing requirements are added regarding updating of evaluations, assessments and support plans. In the ALR setting, a certification must be
		in the filling, a continuation must be

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(b) Certification. (1) A certification shall be made, prior to admission, that the needs of the potential resident can be met by the services provided by the residence. (2) The certification shall be made by one of the following persons: (i) The administrator acting in consultation with the supplemental health care providers.	made prior to admitting the resident that the ALR can meet the needs of the resident. The certification must be made by the administrator in consultation with the supplemental health care providers, the individual's physician or CRNP, or the medical director of the ALR.
	(ii) The individual's physician or certified registered nurse practitioner. (iii) The medical director of the residence. (3) A potential resident whose needs cannot be met by the residence shall be provided with a written decision denying his admission and provide a basis for the denial. The decision shall be confidential	If the ALR cannot meet the potential resident's needs, the ALR must provide the individual with a written decision stating the basis for the denial of admission and refer them to a local appropriate assessment agency.
	and may only be released with the consent of the potential resident or his designated person. The potential resident shall then be referred to a local appropriate assessment agency. (c) Supplemental health care. A potential resident who requires assisted living	In the ALR setting if a potential resident requires assisted living services but does not require supplemental health care

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	services but does not currently require assistance in obtaining supplemental health care services may be admitted to the residence, provided the resident is only provided supplemental health care services required or requested by the resident. When supplemental health care services are required, the residence shall develop a preliminary support plan as required in § 2800.224. This subsection applies to residents under any of the following circumstances: (1) A resident who currently does not require assistance in obtaining supplemental health care services, but who may require supplemental health care services in the future. (2) A resident who wishes to obtain assistance in obtaining supplemental health care services. (3) A resident who resides in a residence in which supplemental health care services are available.	services, the ALR may admit the resident. The ALR shall only provide the services the resident needs or requests. When the resident requires supplemental health care services the ALR must develop a preliminary support plan.
		In the ALR setting, an adult who is
	(d) Adults requiring services of a long- term care nursing facility. Adults	Nursing Facility Clinical Eligible (NFCE) may reside in the ALR, as long as the
	requiring the services of a licensed long-	resident's needs can be met and the

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	term care nursing facility, including those with mobility needs, may reside in a residence, provided that appropriate supplemental health care services are provided those residents and the design, construction, staffing and operation of the residence allows for their safe emergency evacuation. (e) Written disclosure. Upon application for residency and prior to admission to the residence, the licensee shall provide each potential resident or potential resident's designated person with written disclosures that include: (1) A list of the nonwaivable resident rights. (2) A copy of the contract the resident will be asked to sign. (3) A copy of residence rules and resident handbook. The resident handbook shall be approved by the Department. (4) Specific information about the following: (i) The services and the core packages that are offered by the residence. (ii) The cost of those services and of the	design, construction, staffing and operation of the ALR provides for safe emergency evacuation of the resident. In the ALR setting, the ALR must provide various written disclosures prior to the resident's admission to the ALR. The disclosures are intended to ensure the resident is fully informed prior to admission. See Subsection (e).

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	core packages to the potential resident. (iii) When a potential resident may require the services offered in a different core package. (iv) The contact information for the Department. (v) The licensing status of the most recent inspection reports and instructions for access to the Department's public website for information on the residence's most recent inspection reports. (vi) The number of living units in the residence that comply with the Americans with Disabilities Act (42 U.S.C.A. §§ 12101—12213). (vii) Disclosure of any waivers that have been approved for the residence and are still in effect.	
§ 2600.23. Activities.	§ 2800.23. Activities.	
(a) A home shall provide each resident with assistance with ADLs as indicated in the resident's assessment and support plan.	(a) A residence shall provide each resident with assistance with ADLs <u>and</u> <u>appropriate cueing for ADLs</u> as indicated in the resident's assessment and support plan.	In the ALR setting appropriate cueing for ADLs and IADLs must be provided to residents as indicated in the resident's assessment and support plan.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

(b) A home shall provide each resident with assistance with IADLs as indicated in the resident's assessment and support plan. § 2600.24. Personal hygiene. A home shall provide the resident with assistance with personal hygiene as indicated in the resident's assessment and support plan. Personal hygiene includes one or more of the following: (1) Bathing. (2) Oral hygiene. (3) Hair grooming and shampooing. (4) Dressing, undressing and care of clothes. (5) Shaving. (b) A residence shall provide each resident with assistance with IADLs and appropriate cueing for IADLs as indicated in the resident's assessment and support plan. 8 2800.24. Personal hygiene. A residence shall provide the resident with assistance with personal hygiene and appropriate cueing to encourage personal hygiene must be provided to resident's assessment and support plan. Personal hygiene includes one or more of the following: (1) Bathing. (2) Oral hygiene. (3) Hair grooming and shampooing. (4) Dressing, undressing and care of clothes. (5) Shaving.	Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
A home shall provide the resident with assistance with personal hygiene as indicated in the resident's assessment and support plan. Personal hygiene includes one or more of the following: (1) Bathing. (2) Oral hygiene. (3) Hair grooming and shampooing. (4) Dressing, undressing and care of clothes. A residence shall provide the resident with assistance with personal hygiene and with assistance with personal hygiene and with assistance with personal hygiene and appropriate cueing to encourage personal hygiene must be provided to residents as indicated in the resident's assessment and support plan. Personal hygiene as indicated in the resident with assistance with personal hygiene and appropriate cueing personal hygiene must be provided to residents as indicated in the resident's assessment and support plan. Personal hygiene includes one or more of the following: (1) Bathing. (2) Oral hygiene. (3) Hair grooming and shampooing. (4) Dressing, undressing and care of clothes.	with assistance with IADLs as indicated in the resident's assessment and support plan.	resident with assistance with IADLs <u>and</u> <u>appropriate cueing for IADLs</u> as indicated in the resident's assessment and support plan.	
(6) Nail care. (7) Foot care. (8) Skin care. (6) Nail care. (7) Foot care. (8) Skin care.	A home shall provide the resident with assistance with personal hygiene as indicated in the resident's assessment and support plan. Personal hygiene includes one or more of the following: (1) Bathing. (2) Oral hygiene. (3) Hair grooming and shampooing. (4) Dressing, undressing and care of clothes. (5) Shaving. (6) Nail care. (7) Foot care.	A residence shall provide the resident with assistance with personal hygiene and appropriate cueing to encourage personal hygiene as indicated in the resident's assessment and support plan. Personal hygiene includes one or more of the following: (1) Bathing. (2) Oral hygiene. (3) Hair grooming and shampooing. (4) Dressing, undressing and care of clothes. (5) Shaving. (6) Nail care. (7) Foot care.	residents as indicated in the resident's

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.25. Resident-home contract.	§ 2800.25. Resident-residence contract.	
(a) Prior to admission, or within 24 hours after admission, a written resident-home contract between the resident and the home shall be in place. The administrator or a designee shall complete this contract and review and explain its contents to the resident and the resident's designated person if any, prior to signature.	(a) Prior to admission, or within 24 hours after admission, a written resident-residence contract between the resident and the residence must be in place. The administrator or a designee shall complete this contract and review and explain its contents to the resident and the resident's designated person if any, prior to signature.	
(b) The contract shall be signed by the administrator or a designee, the resident and the payer, if different from the resident, and cosigned by the resident's designated person if any, if the resident agrees.	(b) The contract shall be signed by the administrator or a designee, the resident and the payer, if different from the resident, and cosigned by the resident's designated person if any, if the resident agrees. The contract must run month-to-month with automatic renewal unless terminated by the resident with 14 days notice or by the residence with 30 days notice in accordance with § 2800.228 (relating to transfer and discharge).	In the ALR setting the resident-residence contract must run month-to-month with automatic renewal. The resident may terminate the resident-residence contract with a 14-day notice and the ALR may terminate the contract with a 30-day notice. (See § 2800.228).
(c) At a minimum, the contract must specify the following:	(c) At a minimum, the contract must specify the following:	
(1) Each resident shall retain, at a	(1) Each resident shall retain, at a	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
minimum, the current personal needs allowance as the resident's own funds for personal expenditure. A contract to the contrary is not valid. A personal needs allowance is the amount that a resident shall be permitted to keep for his personal use. (2) A fee schedule that lists the actual amount of allowable resident charges for each of the home's available services.	minimum, the current personal needs allowance as the resident's own funds for personal expenditure. A contract to the contrary is not valid. A personal needs allowance is the amount that a resident shall be permitted to keep for his personal use. (2) A fee schedule that lists the actual amount of charges for each of the assisted living services that are included in the resident's core service package in accordance with § 2800.220 (relating to service provision).	In the ALR setting, the resident-residence contract must include an itemized listing of the assisted living services included in the core service package the resident will receive.
 (3) An explanation of the annual assessment, medical evaluation and support plan requirements and procedures, which shall be followed if either the assessment or the medical evaluation indicates the need of another and more appropriate level of care. (4) The party responsible for payment. (5) The method for payment of charges for long distance telephone calls. (6) The conditions under which refunds will be made, including the refund of admission fees and refunds upon a resident's death. 	(3) An explanation of the annual assessment, medical evaluation and support plan requirements and procedures, which shall be followed if either the assessment or the medical evaluation indicates the need for another and more appropriate level of care. (4) The party responsible for payment. (5) The method for payment of charges for long distance telephone calls. (6) The conditions under which refunds will be made, including the refund of admission fees and refunds upon a resident's death.	receive.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(7) The financial arrangements if assistance with financial management is to be provided. (8) The home's rules related to home services, including whether the home permits smoking. (9) The conditions under which the agreement may be terminated including home closure as specified in § 2600.228 (relating to notification of termination). (10) A statement that the resident is entitled to at least 30 days' advance notice, in writing, of the home's request to change the contract. (11) A list of personal care services to be provided to the resident based on the outcome of the resident based on the outcome of the resident's support plan, a list of the actual rates that the resident will be periodically charged for food, shelter and services and how, when and by whom payment is to be made. (12) Charges to the resident for holding a bed during hospitalization or other extended absence from the home. (13) Written information on the resident's	(7) The financial arrangements if assistance with financial management is to be provided. (8) The residence's rules related to residence services, including whether the residence permits smoking. (9) The conditions under which the resident-residence contract may be terminated including residence closure as specified in § 2800.228. (10) A statement that the resident is entitled to at least 30 days advance notice, in writing, of the residence's request to change the contract. (11) A list of assisted living services or supplemental health care services, or both, to be provided to the resident based on the outcome of the resident's support plan, a list of the actual rates that the resident will be periodically charged for food, shelter and services and how, when and by whom payment is to be made. (12) Charges to the resident for holding a bed during hospitalization or other extended absence from the residence. (13) Written information on the resident's	In the ALR setting, the resident-residence contract must include a list of the services (assisted living services and/or supplemental services) the resident will be receiving based on the resident's support plan.
rights and complaint procedures as	rights and complaint procedures as	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
specified in § 2600.41 (relating to notification of rights and complaint procedures).	specified in § 2800.41 (relating to notification of rights and complaint procedures).	
(d) A home may not seek or accept payments from a resident in excess of one-half of any funds received by the resident under the Senior Citizens Rebate and Assistance Act (72 P. S. § § 4751-1—4751-12). If the home will be assisting the resident to manage a portion of the rent rebate, the requirements of § 2600.20 (relating to financial management) may apply. There may be no charge for filling out this paperwork.	(d) A residence may not seek or accept payments from an SSI resident in excess of one-half of any funds received by the resident under the Senior Citizens Rebate and Assistance Act (72 P.S. §§ 4751-1—4751-12). If the residence will be assisting the resident to manage a portion of the rent rebate, the requirements of § 2800.20 (relating to financial management) may apply. There may be no charge for filling out this paperwork.	
Corresponding provisions for PCHs are contained in § 2600.25b provided in the grid below. See § 2600.25b pages 52-53.	(e) The resident-residence contract must include whether the residence collects a portion of a resident's rent rebate under subsection (d).(f) If the residence collects a resident's rent rebate under subsection (e), the	
	resident-residence contract must include the following: (1) The dollar amount or percentage of the rent rebate to be collected.	

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Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(2) The residence's intended use of the revenue collected from the rent rebate.	
	(g) A statement signed by the resident, and the resident's designated person if applicable, at the time of admission, informing the resident that the information required in subsections (e) and (f) is to be kept in the resident's record.	
(e) The resident, or a designated person, has the right to rescind the contract for up to 72 hours after the initial dated signature of the contract and pay only for the services received. Rescission of the contract must be in writing addressed to the home.	(h) The resident, or a designated person, has the right to rescind the contract for up to 72 hours after the initial dated signature of the contract. The resident shall pay only for the services received. Rescission of the contract must be in writing addressed to the residence.	
(f) The home may not require or permit a resident to assign assets to the home in return for a life care contract/guarantee. A life care contract/guarantee is an agreement between the legal entity and the resident that the legal entity will provide care to the resident for the	(i) The residence may not require or permit a resident to assign assets to the residence in return for a life care contract/guarantee. A life care contract/guarantee is an agreement between the legal entity and the resident that the legal entity will provide care to	
duration of the resident's life. Continuing care communities that have obtained a	the resident for the duration of the resident's life. Continuing care	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Certificate of Authority from the Insurance Department and provide a copy of the certificate to the Department are exempt from this requirement.	communities that have obtained a Certificate of Authority from the Insurance Department and <u>have provided</u> a copy of the certificate to the Department are exempt from this requirement.	
(g) A copy of the signed admission contract shall be given to the resident and a copy shall be filed in the resident's record.	(j) A copy of the signed resident-residence contract shall be given to the resident and a copy shall be filed in the resident's record.	
(h) The service needs addressed in the resident's support plan shall be available to the resident every day of the year.	(k) The service needs addressed in the resident's support plan shall be available to the resident every day of the year.	
	(1) The resident-residence contract shall identify the assisted living services included in the core service package the individual is purchasing and the total price for those services. Supplemental health care services shall be packaged, contracted and priced separately from the resident-residence contract. Services provided by or contracted for by the residence other than supplemental health care services must be priced separately from the service package in the resident-	In the ALR setting, the resident-residence contract must list the assisted living services and the total price for those services included in the service package the resident is purchasing. Any supplemental health care services being provided or arranged for must be priced separately and any other services provided or arranged for by the ALR must be priced separately from the service package in the resident-residence contract.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	residence contract.	
§ 2600.25a. Personal needs allowance for residents of personal care homes—statement of policy.	No corresponding provision included in the ALR Chapter 2800 regulation.	
The personal needs allowance for residents of personal care homes who receive Supplemental Security Income is \$85.		
§ 2600.25b. Rent rebates for residents of personal care homes—statement of policy.	Rent rebates for residents. Similar language for ALRs is contained in § 2800.25 provided above.	
(a) The resident-home contract is to include whether the home collects a portion of a resident's rent rebate under § 2600.25(d) (relating to resident-home contract).		
(b) If the home collects a resident's rent rebate under subsection (a), the resident-home contract is to include the following:(1) The dollar amount or percentage of the rent rebate to be collected.(2) The home's intended use of the		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
revenue collected from the rent rebate.		
(c) A statement signed by the resident, and the resident's designated person if applicable, at the time of admission, informing the resident that the information required in subsection (a) is to be kept in the resident's record.		
§ 2600.26. Quality management.	§ 2800.26. Quality management.	
(a) The home shall establish and implement a quality management plan.(b) The quality management plan shall address the periodic review and evaluation of the following:	 (a) The residence shall establish and implement a quality management plan. (b) The quality management plan must address the periodic review and evaluation of the following, to assure compliance with law and with the relevant standard of care: 	In the ALR setting, language added to require that the quality management plan address compliance with law and standard of care.
 (1) The reportable incident and condition reporting procedures. (2) Complaint procedures. (3) Staff person training. (4) Licensing violations and plans of correction, if applicable. (5) Resident or family councils, or both, if applicable. 	 (1) The reportable incident and condition reporting procedures. (2) Complaint procedures. (3) Staff person training. (4) Licensing violations and plans of correction, if applicable. (5) Resident or family councils, or both, if applicable. 	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(c) The quality management plan shall include the development and implementation of measures to address the areas needing improvement that are identified during the periodic review and evaluation.	(c) The quality management plan must include the development and implementation of measures to address the areas needing improvement that are identified during the periodic review and evaluation.	
§ 2600.27. SSI recipients.	§ 2800.27. SSI recipients.	
(a) If a home agrees to admit a resident eligible for SSI benefits, the home's charges for actual rent and other services may not exceed the SSI resident's actual current monthly income reduced by the current personal needs allowance.	(a) If a residence agrees to admit a resident eligible for SSI benefits, the residence's charges for actual rent and other services may not exceed the SSI resident's actual current monthly income reduced by the current personal needs allowance.	
(b) The administrator or staff persons may not include funds received as lump sum awards, gifts or inheritances, gains from the sale of property, or retroactive government benefits when calculating payment of rent for an SSI recipient or for a resident eligible for SSI benefits.	(b) The administrator or staff persons may not include funds received as lump sum awards, gifts or inheritances, gains from the sale of property or retroactive government benefits when calculating payment of rent for an SSI recipient or for a resident eligible for SSI benefits.	
(c) The administrator or staff persons may seek and accept payments from funds	(c) The administrator or staff persons may not seek or accept any payments from	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
received as retroactive awards of SSI benefits, but only to the extent that the retroactive awards cover periods of time during which the resident actually resided in the home and for which full payment has not been received.	funds received as retroactive awards of SSI benefits, but may seek and accept the payments only to the extent that the retroactive awards cover periods of time during which the resident actually resided in the residence and for which full payment has not been received.	
(d) The administrator shall provide each resident who is a recipient of SSI, at no charge beyond the amount determined in subsection (a), the following items or services as needed: (1) Necessary personal hygiene items, such as a comb, toothbrush, toothpaste, soap and shampoo. Cosmetic items are not included. (2) Laundry services for personal laundry, bed linens and towels, but not including dry cleaning or other specialized services. (3) Personal care services.	(d) The administrator shall provide each resident who is a recipient of SSI, at no charge beyond the amount determined in subsection (a), the following items or services as needed: (1) Necessary personal hygiene items, such as a comb, toothbrush, toothpaste, soap and shampoo. Cosmetic items are not included. (2) Laundry services for personal laundry, bed linens and towels, but not including dry cleaning or other specialized services. (3) Assistance or supervision in ADL or IADL, or both.	Language amended because in the ALR setting personal care services is not a defined term.
(e) Third-party payments made on behalf of an SSI recipient and paid directly to the home are permitted. These payments may not be used for food, clothing or shelter	(e) Third-party payments made on behalf of an SSI recipient and paid directly to the residence are permitted. These payments may not be used for food, clothing or	defined term.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
because to do so would reduce SSI payments. See 20 CFR 416.1100 and 416.1102 (relating to income and SSI eligibility; and what is income). These payments may be used to purchase items or services for the resident that are not food, clothing or shelter.	shelter because to do so would reduce SSI payments. See 20 CFR 416.1100 and 416.1102 (relating to income and SSI eligibility; and what is income). These payments may be used to purchase items or services for the resident that are not food, clothing or shelter.	
§ 2600.28. Refunds. (a) If, after the home gives notice of discharge or transfer in accordance with § 2600.228(b) (relating to notification of termination), and the resident moves out of the home before the 30 days are over, the home shall give the resident a refund equal to the previously paid charges for rent and personal care services for the remainder of the 30-day time period. The refund shall be issued within 30-days of discharge or transfer. The resident's personal needs allowance shall be refunded within 2 business days of discharge or transfer.	§ 2800.28. Refunds. (a) If, after the residence gives notice of transfer or discharge in accordance with § 2800.228(b) (relating to transfer and discharge), and the resident moves out of the residence before the 30 days are over, the residence shall give the resident a refund equal to the previously paid charges for rent, assisted living services and supplemental health care services, if applicable, for the remainder of the 30-day time period. The refund shall be issued within 30-days of transfer or discharge. The resident's personal needs allowance shall be refunded within 2 business days of transfer or discharge.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) After a resident gives notice of the intent to leave in accordance with § 2600.228(b) and if the resident moves out of the home before the expiration of the required 30 days, the resident owes the home the charges for rent and personal care services for the entire length of the 30-day time period for which payment has not been made.	(b) After a resident gives notice of the intent to leave in accordance with § 2800.25(b) (relating to resident-residence contract) and if the resident moves out of the residence before the expiration of the required 14 days, the resident owes the residence the charges for rent and assisted living services and supplemental health care services, or both, for the entire length of the 14-day time period for which payment has not been made.	
(c) If no notice is required, as set forth in subsection (d), the resident shall be required to pay only for the nights spent in the home.	(c) If no notice is required, as set forth in subsection (d), the resident shall be required to pay only for the nights spent in the residence.	
(d) If the home does not require a written notice prior to a resident's departure, the administrator shall refund the remainder of previously paid charges to the resident within 30 days of the date the resident moved from the home.	(d) If the residence does not require a written notice prior to a resident's departure, the administrator shall refund the remainder of previously paid charges to the resident within 30 days of the date the resident moved from the residence.	
(e) In the event of a death of a resident under 60 years of age, the administrator shall refund the remainder of previously	(e) In the event of the death of a resident under 60 years of age, the administrator shall refund the remainder of previously	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
paid charges to the resident's estate within 30 days from the date the room is cleared of the resident's personal property. In the event of a death of a resident 60 years of age and older, the home shall provide a refund in accordance with the Elder Care Payment Restitution Act (35 P. S. § § 10226.101—10226.107). The home shall keep documentation of the refund in the resident's record.	paid charges to the resident's estate within 30 days from the date the living unit is cleared of the resident's personal property. In the event of the death of a resident 60 years of age and older, the residence shall provide a refund in accordance with the Elder Care Payment Restitution Act (35 P.S. §§ 10226.101—10226.107). The residence shall keep documentation of the refund in the resident's record.	
(f) Within 30 days of either the termination of service by the home or the resident's leaving the home, the resident shall receive an itemized written account of the resident's funds, including notification of funds still owed the home by the resident or a refund owed the resident by the home. Refunds shall be made within 30 days of discharge.	(f) Within 30 days of either the termination of service by the residence or the resident's leaving the residence, the resident shall receive an itemized written account of the resident's funds, including notification of funds still owed the residence by the resident or a refund owed the resident by the residence. Refunds shall be made within 30 days of discharge.	
(g) Upon discharge of the resident or transfer of the resident to a higher level of care, the administrator shall return the resident's funds being managed or stored by the home to the resident within 2 business days from the date the room is	(g) Upon discharge of the resident or transfer of the resident, the administrator shall return the resident's funds being managed or stored by the residence to the resident within 2 business days from the date the living unit is cleared of the	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
cleared of the resident's personal property.	resident's personal property.	
§ 2600.29. Hospice care and services. Hospice care and services that are licensed by the Department of Health as a	§ 2800.29. Hospice care and services. Hospice care and services that are licensed by the Department of Health as a	
hospice may be provided in a personal care home. § 2600.29a. Hospice care and services—	hospice may be provided in an assisted living residence. Chapter 2800 - No Corresponding	
(a) If a personal care home elects to provide assistance with IADLs or ADLs for a resident who receives hospice care and services in accordance with § 2600.29	Section.	
(relating to hospice care and services), the home shall provide for the resident's personal care needs, as well as meet the needs directed by the hospice agency for the time period that hospice service staff are not physically present in the home,		
and in accordance with the resident's medical evaluation, assessment and support plan.		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) A home that elects to serve one or		
more residents who receive hospice care		
and services in accordance with § 2600.29		
is not required to evacuate a resident who		
is actively dying, during a fire drill, if all		
of the following are met:		
(1) A physician, who is not an employee		
or contractor of the home, has certified in		
writing that the resident is actively dying		
and may suffer bodily injury or a hastened		
death as a result of participation in a fire		
drill.		
(2) The resident, the resident's power of		
attorney for health care, the resident's		
legal guardian or the resident's health care		
representative has provided written		
informed consent that the person is not to		
evacuate in a fire drill.		
(3) If practicable, the home is to locate the		
bedroom of a resident receiving hospice		
care and services on the ground level of		
the building and near to an exit or fire-		
safe area as defined in § 2600.132(d)		
(relating to fire drills).		
(4) During a fire drill, the one designated		
person at the home who has knowledge in		
advance of the fire drill is to immediately		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
upon setting off the fire alarm to begin the		
fire drill, go to the room of the resident		
who meets the conditions of paragraphs		
(1)—(3), and notify the affected resident		
and any staff person who attempts to		
evacuate the resident, that this is a fire		
drill and the resident is not to be		
evacuated.		
(5) If the provisions of paragraph (4) are		
initiated, the informed staff person is to		
immediately practice a fire drill		
evacuation in accordance with the		
following:		
(i) Access a mode of transport such as a		
bed on wheels, a chair on wheels or a drag		
mat in the resident's bedroom or nearby		
area, which is not currently occupied by		
the resident.		
(ii) Reasonably simulate the level of effort		
required to move the resident and proceed		
to practice evacuation to the nearest		
unblocked exit or fire safe area. The		
simulation will include the number of		
staff persons that is required during an		
evacuation to safely move the resident.		
(6) If the provisions of paragraph (4) are		
not initiated, staff persons will proceed to		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
evacuate the resident. All staff persons are		
to be trained to follow this evacuation		
procedure.		
(7) The home is to maintain sufficient		
staffing at all times to provide for the safe		
evacuation of all residents, including the		
resident who is actively dying while		
receiving hospice care and services, in		
accordance with the fire drill practice		
requirements specified in paragraph (5)		
and § 2600.132(a)—(j). A resident who		
meets the conditions of paragraphs (1)—		
(3) is a resident with mobility needs in		
accordance with § 2600.4 (relating to		
definitions).		
(8) A hospice agency staff person may		
participate in the fire drill if the staff		
person is physically present in the home		
continuously on a 24-hour basis during		
the active dying process.		
(9) The total time to evacuate all		
residents, including the practice provision		
of paragraph (5), may not exceed the time		
allotted under § 2600.132(d).		
(10) The resident's assessment and		
support plan are to be kept current and		
specify the requirements of this section as		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
it relates to the specific resident. (11) Documentation of compliance with this section is to be kept in the fire drill record, as well as in the resident's record. The documentation is to include the following: (i) A copy of the Department of Health license for the hospice agency. (ii) Written certification by the physician as specified in paragraph (1). (iii) Written informed consent as specified in paragraph (2). (iv) Written documentation of the home's consideration of relocation of the resident's bedroom as specified in paragraph (3).		
Chapter 2600 - No Corresponding Section	§ 2800.30. Informed consent process. (a) Initiation of process. (1) When a licensee determines that a competent resident's decision, behavior or action creates a dangerous situation and places the competent resident, other residents or staff members at risk of harm by the competent resident's wish to exercise independence in directing the	In the ALR setting, an informed consent process has been established. The intent of this process is to promote aging in place by allowing, within reason, residents the opportunity to negotiate with the ALR on any risks involved in directing their own care.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	manner in which the competent resident receives care, the licensee may initiate an informed consent process to address the identified risk and to reach a mutually agreed-upon plan of action with the competent resident or the resident's designated person. The initiation of an informed consent process does not guarantee that an informed consent agreement, which is agreeable to all parties, will be reached and executed. (2) When a competent resident wishes to exercise independence in directing the manner in which the competent resident receives care, the competent resident may initiate an informed consent process to modify the support plan and attempt to reach a mutually agreed upon plan of action with the licensee. (3) An incompetent resident shall be eligible for an informed consent agreement only if the resident's legal representative is included in the negotiation of the informed consent agreement and executes the agreement.	The informed consent process can be initiated by the licensee, a competent resident, or the legal representative of an incompetent resident.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(b) Notification. (1) When the licensee chooses to initiate an informed consent process, the provider shall do so by notifying the competent resident and, if applicable, the resident's designated person in writing	If the informed consent process is initiated by the licensee the competent resident, and if applicable the resident's designated person must be notified in writing and orally.
	and orally. The notification must include the contact information for the ombudsman. For incompetent residents, the ombudsman shall be automatically notified by the licensee. Notification	If the resident is incompetent the licensee must notify the ombudsman and document the notification in the resident's file.
	shall be documented in the resident's file by the licensee. (2) When a competent resident chooses to initiate an informed consent negotiation, the competent resident shall do so by notifying the licensee in writing	If the informed consent process is initiated by a competent resident the resident must notify the licensee in writing and orally.
	and orally. Notification shall be documented in the competent resident's file by the licensee. When a legal representative for an incompetent resident chooses to initiate an informed consent negotiation, the legal	If a legal representative initiates the informed consent process for an incompetent resident the legal representative must notify the licensee in
	representative shall do so by notifying the licensee in writing or orally. Notification shall be documented in the incompetent resident's file by the	writing and orally.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	<u>licensee.</u>	
	(c) Resident's involvement. A resident who is not incompetent shall be entitled, but is not required, to involve his legal representative and physician, and any other individual the competent resident wants involved, to participate or assist in the discussion of the competent resident's wish to exercise independence and, if necessary, in developing a satisfactory informed consent agreement that balances the competent resident's choices and capabilities with the possibility that the choices will place the	If the resident is competent the resident has the option to involve their legal representative and any other individuals.
	resident, other residents or staff members at risk of harm.	
		An informed consent meeting must take
	(d) Informed consent meeting.	place to discuss the competent resident's
	(1) In a manner the competent resident can understand, the licensee shall	wishes to exercise his/her independence. The discussion must relate to the behavior
	discuss the competent resident's wish to	or action that places the resident, other
	exercise independence in directing the	residents or staff members at risk of harm
	manner in which he receives care. The	and include reasonable alternatives, if any,
	discussion must relate to the decision,	for mitigating the risk, as well as
	behavior or action that places the	significant benefits and disadvantages of
	competent resident, other residents or	each alternative.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	staff members at risk of harm and hazards inherent in the resident's action. The discussion must include reasonable alternatives, if any, for mitigating the risk, the significant benefits and disadvantages of each alternative and the most likely outcome of each alternative. In the case of an incompetent resident, the incompetent resident's legal representative shall participate in the discussion. (2) A resident may not have the right to place other residents or staff members at risk, but, consistent with statutory and regulatory requirements, may elect to proceed with a decision, behavior or action affecting only his own safety or health status, foregoing alternatives for mitigating the risk, after consideration of the benefits and disadvantages of the alternatives including his wish to exercise independence in directing the manner in which he receives care. The licensee shall evaluate whether the competent resident understands and appreciates the nature and consequences of the risk, including the significant	If the resident is incompetent the resident's legal representative must participate in the discussion. A resident may not place others at risk, only himself. The ALR must determine that the competent resident is consenting to accept the risk to self with full knowledge.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	benefits and disadvantages of each alternative considered, and then shall further ascertain whether the competent resident is consenting to accept or mitigate the risk with full knowledge and forethought.	
	(e) Successful negotiation. If the parties agree, the informed consent agreement shall be reduced to writing and signed by all parties, including all individuals engaged in the negotiation at the request of the competent resident, and shall be retained in the resident's file as part of the service plan.	If the negotiation is successful, the informed consent agreement must be reduced to writing and signed by all parties.
	(f) Unsuccessful negotiation. If the parties do not agree, the licensee shall notify the resident, the resident's legal representative and the individuals engaged in the informed consent negotiation at the request of the resident. The residence shall include contact information on the local ombudsman or the appropriate advocacy organization and whether the licensee will issue a notice of discharge.	If the negotiation is unsuccessful, the ALR must notify the resident, the resident's legal representative and the individuals engaged in the negotiation at the request of the resident. The notification must include contact information for the local ombudsman or the appropriate advocacy organization and whether the ALR will be issuing a discharge notice.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(g) Freedom from duress. An informed consent agreement must be voluntary and free of force, fraud, deceit, duress, coercion or undue influence, provided that a licensee retains the right to issue a notice of involuntary discharge in the event a resident's decision, behavior or action creates a dangerous situation and places other residents or staff members at risk of harm and, after a discussion of the risk, the resident declines alternatives to mitigate the risk. (h) Individualized nature. An informed consent agreement must be unique to the resident's situation and his wish to exercise independence in directing the manner in which he receives care. The informed consent agreement shall be utilized only when a resident's decision, behavior or action creates a situation and places the resident, other residents or staff members at risk of harm. A licensee may not require execution of an	The informed consent agreement must be voluntary. The informed consent agreement must be individualized.
	informed consent agreement as a standard condition of admission.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(i) Liability. Execution of an informed consent agreement does not constitute a waiver of liability beyond the scope of the agreement or with respect to acts of negligence, tort, products defect, breach of fiduciary duty, contract violation, or any other claim or cause of action. An informed consent agreement does not relieve a licensee of liability for violation of statutory or regulatory requirements promulgated under this chapter nor does it affect the enforceability of regulatory provisions including those provisions governing admission or discharge or the permissible level of care in an assisted living residence.	An informed consent agreement does not constitute a waiver of liability beyond the scope of the agreement.
	(j) Change in resident's condition. An informed consent agreement must be updated following a significant change in the resident's condition that affects the risk potential to the resident, other residents or staff members.	An informed consent agreement must be updated following a significant change in the resident's condition that affects the potential risk.
	(k) Either party has a right to rescind the informed consent agreement within 30 days of execution of the agreement.	Either party may rescind the informed consent agreement within 30 days of execution of the agreement.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	Resident Rights	
§ 2600.41. Notification of rights and	§ 2800.41. Notification of rights and	
complaint procedures.	complaint procedures.	
(a) Upon admission, each resident and, if applicable, the resident's designated person, shall be informed of resident rights and the right to lodge complaints without intimidation, retaliation, or threats of retaliation of the home or its staff persons against the reporter. Retaliation includes discharge or transfer from the home.	(a) Upon admission, each resident and, if applicable, the resident's designated person, shall be informed of resident rights and the right to lodge complaints without intimidation, retaliation or threats of retaliation by the residence or its staff persons against the reporter. Retaliation includes transfer or discharge from the residence.	
(b) Notification of rights and complaint procedures shall be communicated in an easily understood manner and in a language understood by or mode of communication used by the resident and, if applicable, the resident's designated person.	(b) Notification of rights and complaint procedures shall be communicated in an easily understood manner and in a language understood by or mode of communication used by the resident and, if applicable, the resident's designated person.	
(c) The Department's poster of the list of resident's rights shall be posted in a conspicuous and public place in the home.	(c) The Department's poster of the list of resident's rights shall be posted in a conspicuous and public place in the residence.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
 (d) A copy of the resident's rights and complaint procedures shall be given to the resident and, if applicable, the resident's designated person upon admission. (e) A statement signed by the resident and, if applicable, the resident's designated person acknowledging receipt of a copy of the information specified in subsection (d), or documentation of efforts made to obtain signature, shall be kept in the resident's record. 	(d) A copy of the resident's rights and complaint procedures shall be given to the resident and, if applicable, the resident's designated person, upon admission. (e) A statement signed by the resident and, if applicable, the resident's designated person acknowledging receipt of a copy of the information specified in subsection (d), or documentation of efforts made to obtain signature, shall be kept in the resident's record.	
§ 2600.42. Specific rights.	§ 2800.42. Specific rights.	
(a) A resident may not be discriminated against because of race, color, religious creed, disability, handicap, ancestry, sexual orientation, national origin, age or sex.	(a) A resident may not be discriminated against because of race, color, religious creed, disability, ancestry, sexual orientation, national origin, age or sex.	
(b) A resident may not be neglected, intimidated, physically or verbally abused, mistreated, subjected to corporal punishment or disciplined in any way.	(b) A resident may not be neglected, intimidated, physically or verbally abused, mistreated, subjected to corporal punishment or disciplined in any way. <u>A resident must be free from mental, physical, and sexual abuse and</u>	In the ALR setting, this right has been further clarified.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	exploitation, neglect, financial exploitation and involuntary seclusion.	
(c) A resident shall be treated with dignity and respect.	(c) A resident shall be treated with dignity and respect.	
(d) A resident shall be informed of the rules of the home and given 30 days' written notice prior to the effective date of a new home rule.	(d) A resident shall be informed of the rules of the residence and given 30 days' written notice prior to the effective date of a new residence rule.	
(e) A resident shall have access to a telephone in the home to make calls in privacy. Nontoll calls shall be without charge to the resident.	(e) A resident shall have access to a telephone in the residence to make calls in privacy. Nontoll calls must be without charge to the resident.	
(f) A resident has the right to receive and send mail. (1) Outgoing mail may not be opened or read by staff persons unless the resident requests. (2) Incoming mail may not be opened or read by staff persons unless upon the request of the resident or the resident's designated person.	(f) A resident has the right to receive and send mail.(1) Outgoing mail may not be opened or read by staff persons unless the resident requests.(2) Incoming mail may not be opened or read by staff persons unless upon the request of the resident or the resident's designated person.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(g) A resident has the right to communicate privately with and access the local ombudsman.	(g) A resident has the right to communicate privately with and access the local ombudsman.	
(h) A resident has the right to practice the religion or faith of the resident's choice, or not to practice any religion or faith.	(h) A resident has the right to practice the religion or faith of the resident's choice, or not to practice any religion or faith.	
(i) A resident shall receive assistance in accessing health services.	(i) A resident shall receive assistance in accessing health care services, <u>including</u> <u>supplemental health care services.</u>	In the ALR setting, the resident shall receive assistance in accessing supplemental health care services.
(j) A resident shall receive assistance in obtaining and keeping clean, seasonal clothing. A resident's clothing may not be shared with other residents.	(j) A resident shall receive assistance in obtaining and keeping clean, seasonal clothing. A resident's clothing may not be shared with other residents.	
(k) A resident and the resident's designated person, and other individuals upon the resident's written approval shall have the right to access, review and request corrections to the resident's record.	(k) A resident and the resident's designated person, and other individuals upon the resident's written approval shall have the right to access, review and request corrections to the resident's record.	
(l) A resident has the right to furnish his room and purchase, receive, use and retain personal clothing and possessions.	(l) A resident has the right to furnish his living unit and purchase, receive, use and retain personal clothing and possessions.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(m) A resident has the right to leave and return to the home at times consistent with the home rules and the resident's support plan.	(m) A resident has the right to leave and return to the residence at times consistent with the residence rules and the resident's support plan.	
(n) A resident has the right to relocate and to request and receive assistance, from the home, in relocating to another facility. The assistance shall include helping the resident get information about living arrangements, making telephone calls and transferring records.	(n) A resident has the right to relocate and to request and receive assistance, from the residence, in relocating to another facility. The assistance must include helping the resident get information about living arrangements, making telephone calls and transferring records.	
(o) A resident has the right to freely associate, organize and communicate with others privately.	(o) A resident has the right to freely associate, organize and communicate privately with his <i>friends</i> , <i>family</i> , <i>physician</i> , <i>attorney and other persons</i> .	In the ALR setting, this right has been further clarified to include friends, family, physician and attorney.
(p) A resident shall be free from restraints.	(p) A resident shall be free from restraints.	
(q) A resident shall be compensated in accordance with State and Federal labor laws for labor performed on behalf of the home. Residents may voluntarily and without coercion perform tasks related directly to the resident's personal space or common areas of the home.	(q) A resident shall be compensated in accordance with State and Federal labor laws for labor performed on behalf of the residence. Residents may voluntarily and without coercion perform tasks related directly to the resident's personal space or common areas of the residence.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(r) A resident has the right to receive visitors for a minimum of 12 hours daily, 7 days per week.	(r) A resident has the right to receive visitors at any time provided that the visits do not adversely affect other residents. A residence may adopt reasonable policies and procedures related to visits and access. If the residence adopts those policies and procedures, they will be binding on the residence.	In the ALR setting, the resident has the right to receive visitors at any time, as long as the visits do not negatively affect other residents. The ALR can establish policies and procedures related to visits and access.
(s) A resident has the right to privacy of self and possessions. Privacy shall be provided to the resident during bathing, dressing, changing and medical procedures.	(s) A resident has the right to privacy of self and possessions. Privacy shall be provided to the resident during bathing, dressing, changing and medical procedures.	
(t) A resident has the right to file complaints with any individual or agency and recommend changes in policies, home rules and services of the home without intimidation, retaliation or threat of discharge.	(t) A resident has the right to file complaints, <i>grievances or appeals</i> with any individual or agency and recommend changes in policies, residence rules and services of the residence without intimidation, retaliation or threat of discharge.	In the ALR setting, clarification is provided that the resident has the right to file grievances or appeals.
(u) A resident has the right to remain in the home, as long as it is operating with a license, except as specified in § 2600.228	(u) A resident has the right to remain in the residence, as long as it is operating with a license, except as specified in §	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(relating to notification of termination).	2800.228 (relating to transfer and discharge).	
(v) A resident has the right to receive services contracted for in the resident-home contract.	(v) A resident has the right to receive services contracted for in the resident-residence contract.	
(w) A resident has the right to use both the home's procedures and external procedures, if any, to appeal involuntary discharge.	(w) A resident has the right to use both the residence's procedures and external procedures to appeal involuntary discharge.	
(x) A resident has the right to a system to safeguard a resident's money and property.	(x) A resident has the right to a system to safeguard a resident's money and property.	
(y) A resident has the right to choose his own health care providers without limitation by the home. This includes the right to select the resident's own pharmacist provided that the pharmacy agrees to supply medications in a way that is compatible with the home's system for handling and assisting with the self-administration of resident medications.		
	(y) To the extent prominently displayed in the written resident-residence	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	contract, a residence may require residents to use providers of supplemental health care services as provided in § 2800.142 (relating to assistance with medical care and supplemental health care services). When the residence does not designate, the resident may choose the supplemental health care service provider. The actions and procedures utilized by a supplemental health care service provider chosen by a resident must be consistent with the residence's systems for caring for residents. This includes the handling and assisting with the administration of resident's medications, and may not conflict with Federal laws governing residents. (z) The resident has the right to choose his primary care physician.	In the ALR setting, the resident may be required to use the supplemental health care service providers designated by the ALR. If the ALR does not designate supplemental health care providers the resident can use a supplemental health care provider of their choice, as long as the provider uses actions and procedures consistent with the ALR's system.
§ 2600.43. Prohibition against deprivation of rights.	§ 2800.43. Prohibition against deprivation of rights.	
(a) A resident may not be deprived of his rights.	(a) A resident may not be deprived of his rights.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) A resident's rights may not be used as a reward or sanction.	 (b) A resident's rights may not be used as a reward or sanction. (c) Waiver of any resident right shall be void. 	In the ALR setting, language was added to clarify that an ALR may not request a waiver of any resident right.
§ 2600.44. Complaint procedures.	§ 2800.44. Complaint procedures.	
 (a) Prior to admission, the home shall inform the resident and the resident's designated person of the right to file and the procedure for filing a complaint with the Department's personal care home regional office, local ombudsman or protective services unit in the area agency on aging, Pennsylvania Protection & Advocacy, Inc. or law enforcement agency. (b) The home shall permit and respond to oral and written complaints from any source regarding an alleged violation of 	 (a) Prior to admission, the residence shall inform the resident and the resident's designated person of the right to file and the procedure for filing a complaint with the <i>Department's Assisted Living Residence Licensing Office</i>, local ombudsman or protective services unit in the area agency on aging, the <i>Disability Rights Network</i> or law enforcement agency. (b) The residence shall permit and respond to oral and written complaints from any source regarding an alleged 	
resident rights, quality of care or other matter without retaliation or the threat of retaliation.	violation of resident rights, quality of care or other matter without retaliation or the threat of retaliation.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(c) If a resident indicates that he wishes to make a written complaint, but needs assistance in reducing the complaint to writing, the home shall assist the resident in writing the complaint.	(c) If a resident indicates that he wishes to make a written complaint, but needs assistance in reducing the complaint to writing, the residence shall assist the resident in writing the complaint.	
(d) The home shall ensure investigation and resolution of complaints. The home shall designate the staff person responsible for receiving complaints and determining the outcome of the complaint.	(d) The residence shall ensure investigation and resolution of complaints. The residence shall designate the staff person responsible for receiving complaints and determining the outcome of the complaint. The residence shall keep a log of all complaints and the outcomes of the complaints.	In the ALR setting, the ALR must keep a log of all complaints and their outcomes.
(e) Within 2 business days after the submission of a written complaint, a status report shall be provided by the home to the complainant. If the resident is not the complainant, the resident and the resident's designated person shall receive the status report unless contraindicated by the support plan. The status report must indicate the steps that the home is taking to investigate and address the complaint.	(e) Within 2 business days after the submission of a written complaint, a status report shall be provided by the residence to the complainant. If the resident is not the complainant, the resident and the resident's designated person shall receive the status report unless contraindicated by the support plan. The status report must indicate the steps that the residence is taking to investigate and address the complaint.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(f) Within 7 days after the submission of a written complaint, the home shall give the complainant and, if applicable, the designated person, a written decision explaining the home's investigation findings and the action the home plans to take to resolve the complaint. If the resident is not the complainant, the affected resident shall receive a copy of the decision unless contraindicated by the support plan. If the home's investigation validates the complaint allegations, a resident who could potentially be harmed or his designated person shall receive a copy of the decision, with the name of the affected resident removed, unless contraindicated by the support plan.	(f) Within 7 days after the submission of a written complaint, the residence shall give the complainant and, if applicable, the designated person, a written decision explaining the residence's investigation findings and the action the residence plans to take to resolve the complaint. If the resident is not the complainant, the affected resident shall receive a copy of the decision unless contraindicated by the support plan. If the residence's investigation validates the complaint allegations, a resident who could potentially be harmed or his designated person shall receive a copy of the decision, with the name of the affected resident removed, unless contraindicated by the support plan.	
(g) The telephone number of the Department's personal care home regional office, the local ombudsman or protective services unit in the area agency on aging, Pennsylvania Protection & Advocacy, Inc., the local law enforcement agency, the Commonwealth Information Center and the personal care home complaint	(g) The telephone number of the Department's Assisted Living Residence Licensing Office, the local ombudsman or protective services unit in the area agency on aging, the Disability Rights Network, the local law enforcement agency, the Commonwealth Information Center and the assisted living residence complaint	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
hotline shall be posted in large print in a conspicuous and public place in the home.	hotline shall be posted in large print in a conspicuous and public place in the residence.	
	(h) Nothing in this section may affect in any way the right of the resident to file suit or claim for damages.	In the ALR setting, language was added to clarify that the provisions in this Section may not affect the resident's right to file suit or claim for damages.
	Staffing	
§ 2600.51. Criminal history checks.	§ 2800.51. Criminal history checks.	
Criminal history checks and hiring policies shall be in accordance with the Older Adult Protective Services Act (35 P. S. § § 10225.101—10225.5102) and 6 Pa. Code Chapter 15 (relating to protective services for older adults).	(a) Criminal history checks shall be in accordance with the Older Adult Protective Services Act (35 P.S.§§ 10225.101—10225.5102), and 6 Pa.Code Chapter 15 (relating to protective services for older adults). (b) The hiring policies shall be in accordance with the Department of Aging's Older Adult Protective Services Act policy as posted on the Department of Aging's web site.	In the ALR setting, language was added to clarify that the hiring policies of the ALR must be in accordance with the Department of Aging's Older Adult Protective Services Act policy.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.52. Staff hiring, retention and utilization.	§ 2800.52. Staff hiring, retention and utilization.	
Hiring, retention and utilization of staff persons shall be in accordance with the Older Adult Protective Services Act (35 P. S. § § 10225.101—10225.5102) and 6 Pa. Code Chapter 15 (relating to protective services for older adults) and other applicable regulations.	Hiring, retention and utilization of staff persons shall be in accordance with the Older Adult Protective Services Act (35 P.S. §§ 10225.101—10225.5102), 6 Pa.Code Chapter 15 (relating to protective services for older adults) and other applicable regulations.	
§ 2600.53. Qualifications and responsibilities of administrators.	§ 2800.53. Qualifications and responsibilities of administrators.	
(a) The administrator shall have one of the following qualifications:(1) A license as a registered nurse from	(a) The administrator shall have one of the following qualifications:(1) A license as an RN from the	In the ALR setting, to qualify as an ALR
the Department of State.	Department of State <u>and 1 year, in the</u> <u>prior 10 years, of direct care or</u> <u>administrative experience in a health</u> care or human services field.	administrator an RN must have 1 year experience in a health care or human services field.
(2) An associate's degree or 60 credit	(2) An associate's degree or 60 credit	In the ALR setting, to qualify as an ALR
hours from an accredited college or university.	hours from an accredited college or university <i>in a human services field and</i>	administrator in addition to an associate's degree or 60 credit hours in a human
	1 year, in the prior 10 years, of direct care or administrative experience in a	services field the individual must have 1 year experience in a health care or human
	health care or human services field.	services field.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(3) An associate's degree or 60 credit hours from an accredited college or university in a field that is not related to human services and 2 years, in the prior 10 years, of direct care or administrative experience in a health care or human services field.	In the ALR setting, to qualify as an ALR administrator in addition to an associate's degree or 60 credit hours the individual must have 2 years experience in a health care or human services field.
(3) A license as a licensed practical nurse from the Department of State and 1 year of work experience in a related field.	(4) A license as an LPN from the Department of State and 1 year, in the prior 10 years, of direct care or administrative experience in a health care or human services field.	In the ALR setting, to qualify as an ALR administrator an LPN must have 1 year of work experience in a health care or human services field.
(4) A license as a nursing home administrator from the Department of State.(5) For a home serving 8 or fewer residents, a general education	(5) A license as a nursing home administrator from the Department of State and 1 year, in the prior 10 years, of direct care or administrative experience in a health care or human services field.	In the ALR setting, a licensed NHA must have 1 year of direct care or administrative experience in a health care or human services field.
development diploma or high school diploma and 2 years direct care or administrative experience in the human services field.	(6) With the exception of administrators qualified under § 2600.53(a)(5) (relating to qualifications and responsibilities of administrators), experience as a personal care home administrator, if the following requirements are met: (i) Employed as a personal care home	In the ALR setting, a PCH administrator may qualify as an ALR administrator if the individual has been employed as a PCH administrator for 2 years prior to 1/18/11, has completed the administrator training requirements and passed the Department-approved training test.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	administrator for 2 years prior to January 18, 2011. (ii) Completed the administrator training requirements and pass the Department- approved competency based training test in § 2800.64 (relating to administrator training and orientation) by January 18, 2012.	
(b) The administrator shall be 21 years of age or older.	(b) The administrator shall be 21 years of age or older.	
(c) The administrator shall be responsible for the administration and management of the home, including the health, safety and well-being of the residents, implementation of policies and procedures and compliance with this chapter.	(c) The administrator shall be responsible for the administration and management of the residence, including the health, safety and well-being of the residents, implementation of policies and procedures and compliance with this chapter.	
(d) The administrator shall have the ability to provide personal care services or to supervise or direct the work to provide personal care services.	(d) The administrator shall have the ability to provide assisted living services or to supervise or direct the work to provide assisted living services.	
(e) The administrator shall have knowledge of this chapter.	(e) The administrator shall have knowledge of this chapter.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(f) The administrator shall have the ability to comply with applicable laws, rules and regulations, including this chapter.	(f) The administrator shall have the ability to comply with applicable laws, rules and regulations, including this chapter.	
(g) The administrator shall have the ability to maintain or supervise the maintenance of financial and other records.	(g) The administrator shall have the ability to maintain or supervise the maintenance of financial and other records.	
(h) The administrator shall be free from a medical condition, including drug or alcohol addiction that would limit the administrator from performing duties with reasonable skill and safety.	(h) <u>At all times</u> the administrator shall be free from a medical condition, including drug or alcohol addiction that would limit the administrator from performing duties with reasonable skill and safety.	
§ 2600.54. Qualifications for direct care staff persons.	§ 2800.54. Qualifications for direct care staff persons.	
(a) Direct care staff persons shall have the following qualifications:	(a) Direct care staff persons shall have the following qualifications:	
(1) Be 18 years of age or older, except as permitted in subsection (b).	(1) Be 18 years of age or older, except as permitted in subsection (d).	
(2) Have a high school diploma, GED or active registry status on the Pennsylvania	(2) Have a high school diploma, GED or active registry status on the Pennsylvania	
nurse aide registry. (3) Be free from a medical condition,	nurse aide registry. (3) Be free from a medical condition,	
including drug or alcohol addiction, that	including drug or alcohol addiction, that	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
would limit direct care staff persons from providing necessary personal care services with reasonable skill and safety.	would limit direct care staff persons from providing necessary assisted living services with reasonable skill and safety. (4) Be able to communicate in a mode or manner understood by the resident. Strategies that promote interactive communication on the part of direct care staff and individual residents shall be developed in accordance with the resident's final support plan under § 2800.227(e) (relating to development of	In the ALR setting, a direct care staff person must be able to communicate in a mode or manner understood by the resident.
(b) An individual who is 16 or 17 years of age may be a staff person at a home, but may not perform tasks related to medication administration. A staff person who is 16 or 17 years of age may not perform tasks related to incontinence care, bathing or dressing of residents without supervision.	the final support plan).	See Subsection (d) below for language related to individuals who are 16 or 17 years of age in the ALR setting.
(c) A volunteer who performs ADLs shall meet the staff person qualifications and training requirements specified in this chapter.	(b) A volunteer who performs or provides ADLs shall meet the direct staff person qualifications and training requirements specified in this chapter.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(d) A resident receiving personal care services who voluntarily performs tasks in the home will not be considered a volunteer under this chapter.	(c) A resident receiving assisted living services who voluntarily performs tasks in the residence will not be considered a volunteer under this chapter. (d) Food services or housekeeping staff may be 16 or 17 years of age.	In the ALR setting, individuals 16 or 17 years old may only provide food services or housekeeping services.
§ 2600.55. Exceptions for staff qualifications.	§ 2800.55. Portability of staff training.	
 (a) The staff qualification requirements for administrator and direct care staff persons do not apply to individuals hired or promoted to the specified positions prior to December 1, 2004. (b) A staff person who transfers to another licensed home, with no more than a 1 year break in service, may continue to work in the same capacity as long as the staff person meets the conditions specified in subsection (a). 	A staff person who transfers to another licensed residence, or from a licensed personal care home shall be given credit for any completed hours of training that are required on an annual basis, provided however, that the staff person shall complete any additional training required by this chapter for assisted living residence direct care staff.	Training that has been completed in a PCH can be used for credit in an ALR.
§ 2600.56. Administrator staffing.	§ 2800.56. Administrator staffing.	
The administrator shall be present in the	(a) Except for temporary absences under	In the ALR setting, the time an

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
home an average of 20 hours or more per week, in each calendar month.	subsection (b), the administrator shall be present in the residence an average of 36 hours or more per week, in each calendar month. At least 30 hours per week must be during normal business hours.	administrator must be present in the ALR is increased to 36 hours.
	(b) If the administrator is unavailable to meet the hourly requirements in subsection (a) due to a temporary absence, the administrator shall assign an administrator designee in writing to supervise the residence during the administrator's temporary absence. The administrator designee shall meet the following requirements: (1) Have 3,000 hours of direct operational responsibility for a senior housing facility, health care facility, residential care facility, adult daily living facility or other group home licensed or approved by the Commonwealth. (2) Pass the Department-approved competency-based administrator training test under § 2800.64(a)(3) (relating to administrator training and orientation.) (3) Meet the qualification and training	In the ALR setting, the administrator designee must have 3,000 hours of direct operational responsibility in one of the settings listed in (b)(1), pass the Department-approved competency-based administrator training test, AND meet the qualifications and training of a direct care staff person.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	requirements of a direct care staff person under §§ 2800.54 and 2800.65 (relating to qualifications for direct care staff persons; and staff orientation and direct care staff person training and orientation). (c) The administrator shall assign a staff person in writing to supervise the residence during the administrator's or administrator designee's absence. The staff person shall meet the qualification and training requirements of a direct care staff person under §§ 2800.54 and 2800.65. (d) During the administrator's and administrator designee's absence, the administrator or administrator designee shall be on-call.	In the ALR setting, if the administrator and the administrator designee are absent from the ALR the administrator must designate a staff person to supervise the residence. The staff person must meet the direct care staff qualification and training requirements. During their absence either the administrator or the administrator designee must be on-call.
§ 2600.57. Direct care staffing.	§ 2800.57. Direct care staffing.	
(a) At all times one or more residents are present in the home a direct care staff person who is 21 years of age or older and who serves as the designee, shall be	(a) At all times one or more residents are present in the residence, a direct care staff person who is 21 years of age or older shall be present in the residence. The	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
present in the home. The direct care staff person may be the administrator if the administrator provides direct care services.	direct care staff person may be the administrator if the administrator provides direct care services.	
(b) Direct care staff persons shall be available to provide at least 1 hour per day of personal care services to each mobile resident.	(b) Direct care staff persons shall be available to provide at least 1 hour per day of assisted living services to each mobile resident.	
(c) Direct care staff persons shall be available to provide at least 2 hours per day of personal care services to each resident who has mobility needs.	(c) Direct care staff persons shall be available to provide at least 2 hours per day of assisted living services to each resident who has mobility needs.	
(d) At least 75% of the personal care service hours specified in subsections (b) and (c) shall be available during waking hours.	(d) At least 75% of the assisted living service hours specified in subsections (b) and (c) shall be available during waking hours.	
§ 2600.58. Awake staff persons. (a) If a home serves 16 or more residents, all direct care staff persons on duty in the home shall be awake at all times one or more residents are present in the home.	§ 2800.58. Awake staff persons. Direct care staff persons on duty in the residence shall be awake at all times.	In the ALR setting direct care staff persons must be awake at all times.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) If a home serves one or more but less than 16 residents with mobility needs, at least one direct care staff person shall be awake at all times residents are present in the home.		
§ 2600.59. Multiple buildings. (a) For a home with multiple buildings on the same premises that are within 300 feet of one another, the direct care staff person required in § 2600.57 (relating to direct care staffing) shall be on the premises and available by a two-way communication system at all times one, two or three mobile residents are present in the home. (b) For a home with multiple buildings on the same premises regardless of the distance between buildings, the direct care staffing requirements in § 2600.57 apply at all times four or more mobile residents, or one or more residents with mobility needs, are present in the home.	§ 2800.59. Multiple buildings. For a residence with multiple buildings on the same premises regardless of the distance between buildings, the direct care staffing requirements in § 2800.57 (relating to direct care staffing) apply at all times residents are present in the residence.	In the ALR setting, there are no exceptions for multiple buildings to the direct care staffing requirements contained in §2800.57.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.60. Additional staffing based on the needs of the residents.	§ 2800.60. Additional staffing based on the needs of the residents.	
(a) Staffing shall be provided to meet the needs of the residents as specified in the resident's assessment and support plan.	(a) Staffing shall be provided to meet the needs of the residents as specified in the resident's assessment and support plan. Residence staff or service providers who provide services to the residents in the residence shall meet the applicable professional licensure requirements.	In the ALR setting, language is included to address supplemental health care services provided by outside parties.
(b) The Department may require additional staffing as necessary to protect the health, safety and well-being of the residents. Requirements for additional staffing will be based on the resident's assessment and support plan, the design and construction of the home and the operation and management of the home.	(b) The staffing level in this chapter is minimum only. The Department may require additional staffing as necessary to protect the health, safety and well-being of the residents. Requirements for additional staffing will be based on the resident's assessment and support plan, the design and construction of the residence and the operation and management of the residence.	
(c) Additional staff hours, or contractual hours, shall be provided as necessary to meet the laundry, food service, housekeeping and maintenance needs of the home.	(c) Additional staff hours, or contractual hours, shall be provided as necessary to meet the transportation, laundry, food service, housekeeping and maintenance needs of the residents.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(d) In addition to the staffing requirements in this chapter, the residence shall have a licensed nurse available in the building or on call at all times. The licensed nurse shall be either an employee of the residence or under contract with the residence. (e) The residence shall have a dietician on staff or under contract to provide for any special dietary needs of a resident as indicated in his support plan.	In the ALR setting, ALRs are required to have a licensed nurse available in the building or on call at all times and a dietician on staff or under contract.
§ 2600.61. Substitute personnel. When regularly scheduled direct care staff persons are absent, the administrator shall arrange for coverage by substitute personnel who meet the direct care staff qualifications and training requirements as specified in § § 2600.54 and § 2600.65 (relating to qualifications for direct care staff persons; and direct care staff person training and orientation).	§ 2800.61. Substitute personnel. When regularly scheduled direct care staff persons are absent, the administrator shall arrange for coverage by substitute personnel who meet the direct care staff qualifications and training requirements as specified in §§ 2800.54 and 2800.65 (relating to qualifications for direct care staff persons; and staff orientation and direct care staff person training and orientation).	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.62. List of staff persons.	§ 2800.62. List of staff persons.	
The administrator shall maintain a current list of the names, addresses and telephone numbers of staff persons including substitute personnel and volunteers.	The administrator shall maintain a current list of the names, addresses and telephone numbers of staff persons including substitute personnel and volunteers.	
§ 2600.63. First aid, CPR and obstructed airway training.	§ 2800.63. First aid, CPR and obstructed airway training.	
(a) At least one staff person for every 50 residents who is trained in first aid and certified in obstructed airway techniques and CPR shall be present in the home at all times.	(a) For every 35 residents, there shall be at least one staff person trained in first aid and certified in obstructed airway techniques and CPR present in the residence at all times to meet the needs of the residents.	In the ALR setting, the first aid and CPR staffing requirements are 1-35 compared to the PCH first aid and CPR staffing requirements of 1-50.
(b) Current training in first aid and certification in obstructed airway techniques and CPR shall be provided by an individual certified as a trainer by a hospital or other recognized health care organization.	(b) Current training in first aid and certification in obstructed airway techniques and CPR shall be provided by an individual certified as a trainer by a hospital or other recognized health care organization.	
(c) Licensed, certified and registered medical personnel meet the qualifications in subsection (a) and are exempt from the	(c) Licensed, certified and registered medical personnel meet the qualifications in subsection (a) and are exempt from the	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
training requirements in subsections (a) and (b).	training requirements in subsections (a) and (b).	
(d) A staff person who is trained in first aid or certified in obstructed airway techniques or CPR shall provide those services in accordance with his training, unless the resident has a do not resuscitate order.	(d) A staff person who is trained in first aid or certified in obstructed airway techniques or CPR shall provide those services in accordance with his training, unless the resident has a do not resuscitate order.	
§ 2600.64. Administrator training and orientation.	§ 2800.64. Administrator training and orientation.	
 (a) Prior to initial employment as an administrator, a candidate shall successfully complete the following: (1) An orientation program approved and administered by the Department. (2) A 100-hour standardized Department-approved administrator training course. 	 (a) Prior to initial employment as an administrator, a candidate shall successfully complete the following: (1) An orientation program approved and administered by the Department. (2) A 100-hour standardized Department-approved administrator training course. The training provided for in § 2800.69 (relating to additional dementia-specific training) shall be in addition to the 100-hour training course. 	In the ALR setting, in addition to the 100-hour training course the administrator is required to have at least 4 hours of dementia-specific training within 30 days of hire and at least 2 hours of dementia-specific training annually thereafter.
(3) A Department-approved competency-based training test with a passing score. (4) Paragraphs (1), (2) and (3) do not	(3) A Department-approved competency-based training test with a passing score.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
apply to an administrator hired or promoted prior to October 24, 2005.		
 (b) The standardized Department-approved administrator training course specified in subsection (a)(2) shall include the following: Fire prevention and emergency preparedness. Medication procedures, medication effects and side effects, universal precautions and personal hygiene. Certification in CPR and obstructed airway techniques and training in first aid. Personal care services. Local, State and Federal laws and regulations pertaining to the operation of a home. Nutrition, food handling and sanitation. Recreation. Care for residents with mental illness. Resident rights. Care for residents with dementia, cognitive impairments and other special needs. Care for residents with mental 	 (b) The standardized Departmentapproved administrator training course specified in subsection (a)(2) must include the following: Fire prevention and emergency preparedness. Medication procedures, medication effects and side effects, universal precautions and personal hygiene. Certification in CPR and obstructed airway techniques and training in first aid. Assisted living services. Local, State and Federal laws and regulations pertaining to the operation of a residence. Nutrition, food handling and sanitation. Recreation. Care for residents with mental illness. Resident rights. Care for residents with cognitive and neurological impairments and other special needs. Care for residents with mental 	In the ALR setting, the administrator training course must include the following additional topics: assisted living services, care for residents with neurological impairments, infection control, training specific to the residents of the ALR, person-centered care, informed consent, aging in place, the availability of services to support aging in place, and incident management and reporting.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
retardation. (12) Community resources, social services and activities in the community. (13) Staff supervision and staff person training including developing orientation and training guidelines for staff. (14) Budgeting, financial recordkeeping and resident records including: (i) Writing, completing and implementing initial assessments, annual assessments and support plans. (ii) Resident-home contracts. (15) Gerontology. (16) Abuse and neglect prevention and reporting. (17) Cultural competency.	retardation. (12) Community resources, social services and activities in the community. (13) Staff supervision and staff person training including developing orientation and training guidelines for staff. (14) Budgeting, financial recordkeeping and resident records including: (i) Writing, completing and implementing initial assessments, annual assessments and support plans. (ii) Resident-residence contracts. (15) Gerontology. (16) Abuse and neglect prevention and reporting. (17) Cultural competency. (18) Infection control. (19) Training specific to the resident composition. (20) Training on person-centered care, informed consent, aging in place and the availability of services to support aging	Differences/Notes
(18) The requirements of this chapter.	in place. (21) Incident management and incident reporting. (22) The requirements of this chapter.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(c) An administrator shall have at least 24 hours of annual training relating to the job duties. The Department-approved administrator training course specified in subsection (a) fulfills the annual training requirement for the first year.	(c) An administrator shall have at least 24 hours of annual training relating to the job duties. The Department-approved administrator training course specified in subsection (a) fulfills the annual training requirement for the first year.	
(d) Annual training shall be provided by Department-approved training sources listed in the Department's personal care home training resource directory or by an accredited college or university.	(d) Annual training shall be provided by Department-approved training sources listed in the <u>Department's assisted living residence training resource directory</u> or by an accredited college or university, courses approved for credit by National Continuing Education Review Service/National Association of Boards of Examiners of Long-Term Care Administrators or the Bureau of Professional and Occupational Affairs in the Department of State.	In the ALR setting, courses approved for credit by National Continuing Education Review Service/National Association of Boards of Examiners of Long-Term Care Administrators or the Bureau of Professional and Occupational Affairs in the Department of State are acceptable courses for the annual administrator training requirement.
(e) An administrator who has successfully completed the training in subsections (a)—(d) shall provide written verification of successful completion to the Department's personal care home regional office.	(e) An administrator who has successfully completed the training in subsections (a)—(d) shall provide written verification of successful completion to the Department's Assisted Living Residence Licensing Office .	The Office of Long Term Living is the licensing agency for ALRs.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(f) A record of training including the individual trained, date, source, content, length of each course and copies of certificates received shall be kept. (g) A licensed nursing home administrator who is employed as an administrator prior to October 24, 2006, is exempt from the training and educational requirements of this chapter if the administrator continues to meet the requirements of the Department of State. A licensed nursing home administrator hired as an administrator after October 23, 2006, shall complete and pass the Departmentapproved personal care home administrator competency-based training test.	(f) A record of training including the individual trained, date, source, content, length of each course and copies of certificates received shall be kept. (g) A licensed nursing home administrator who is employed as an administrator prior to January 18, 2011, is exempt from the qualification and training requirements under §§ 2800.53 and 2800.64 (relating to qualifications and responsibilities of administrators; and administrator training and orientation) if the administrator continues to meet the applicable licensing requirements. A licensed nursing home administrator hired as an administrator after January 18, 2011, shall complete and pass the approved assisted living administrator competency-based test.	In the ALR setting, a NHA employed as an administrator prior to January 18, 2011, is exempt from the qualification and training requirements. A NHA hired as an administrator after January 18, 2011, must complete and pass the approved AL administrator competency-based test.
§ 2600.65. Direct care staff person training and orientation. (a) Prior to or during the first work day, all direct care staff persons including ancillary staff persons, substitute	§ 2800.65. Staff orientation and direct care staff person training and orientation. (a) Prior to or during the first work day, direct care staff persons and other staff persons including ancillary staff persons,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

personnel and volunteers shall have an orientation in general fire safety and emergency preparedness that includes the following: (1) Evacuation procedures. (2) Staff duties and responsibilities during fire drills, as well as during emergency evacuation, transportation and at an emergency location if applicable. (3) The designated meeting place outside the building or within the fire-safe area in the event of an actual fire. (4) Smoking safety procedures, the home's smoking policy and location of smoking areas, if applicable. (5) The location and use of fire extinguishers. (6) Smoke detectors and fire alarms. (7) Telephone use and notification of emergency services. (b) Direct care staff persons shall complete an initial orientation and peroved by the Department before providing direct care to residents. substitute personnel and volunteers shall have an orientation in general fire safety and emergency preparedness that includes the following: (1) Evacuation procedures. (2) Staff duties and responsibilities during fire drills, as well as during emergency evacuation, transportation and at an emergency location, if applicable. (3) The designated meeting place outside the building or within the fire-safe area in the event of an actual fire. (4) Smoking safety procedures, the residence's smoking policy and location of smoking areas, if applicable. (5) The location and use of fire extinguishers. (6) Smoke detectors and fire alarms. (7) Telephone use and notification of emergency services. (b) Direct care staff persons shall complete an initial orientation approved by the Department before providing direct care services a direct care staff person must complete an initial orientation and be certified in first aid and CPR.	Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	orientation in general fire safety and emergency preparedness that includes the following: (1) Evacuation procedures. (2) Staff duties and responsibilities during fire drills, as well as during emergency evacuation, transportation and at an emergency location if applicable. (3) The designated meeting place outside the building or within the fire-safe area in the event of an actual fire. (4) Smoking safety procedures, the home's smoking policy and location of smoking areas, if applicable. (5)The location and use of fire extinguishers. (6) Smoke detectors and fire alarms. (7) Telephone use and notification of	have an orientation in general fire safety and emergency preparedness that includes the following: (1) Evacuation procedures. (2) Staff duties and responsibilities during fire drills, as well as during emergency evacuation, transportation and at an emergency location, if applicable. (3) The designated meeting place outside the building or within the fire-safe area in the event of an actual fire. (4) Smoking safety procedures, the residence's smoking policy and location of smoking areas, if applicable. (5) The location and use of fire extinguishers. (6) Smoke detectors and fire alarms. (7) Telephone use and notification of emergency services. (b) Direct care staff persons shall complete an initial orientation approved by the Department before providing	direct care services a direct care staff person must complete an initial orientation and be certified in first aid and

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(c) Direct care staff persons shall be certified in first aid and CPR before providing direct care to residents. (d) A sufficient number of direct care staff persons shall be certified in obstructed airway techniques to meet the staff to resident ratios under § 2800.63(a) (relating to first aid, CPR and obstructed airway training) before providing direct care to residents.	
 (b) Within 40 scheduled working hours, direct care staff persons, ancillary staff persons, substitute personnel and volunteers shall have an orientation that includes the following: (1) Resident rights. (2) Emergency medical plan. (3) Mandatory reporting of abuse and neglect under the Older Adult Protective Services Act (35 P. S. § § 10225.101—10225.5102). (4) Reporting of reportable incidents and conditions. 	(e) Within 40 scheduled working hours, direct care staff persons, ancillary staff persons, substitute personnel and volunteers shall have an orientation training that includes the following: (1) Resident rights. (2) Emergency medical plan. (3) Mandatory reporting of abuse and neglect under the Older Adult Protective Services Act (35 P.S. §§ 10225.101—10225.5102). (4) Reporting of reportable incidents and conditions. (5) Safe management techniques. (6) Core competency training that	In the ALR setting, the orientation training for direct care staff must include the following additional topics: Safe management techniques; Core competency training that includesperson-centered care, communication, problem solving, relationship skills, and nutritional support according to resident preference.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	includes the following: (i) Person-centered care. (ii) Communication, problem solving and relationship skills. (iii) Nutritional support according to resident preference.	
(c) Ancillary staff persons shall have a general orientation to their specific job functions as it relates to their position prior to working in that capacity.	(f) Ancillary staff persons shall have a general orientation to their specific job functions as it relates to their position prior to working in that capacity.	
 (d) Direct care staff persons hired after April 24, 2006, may not provide unsupervised ADL services until completion of the following: (1) Training that includes a demonstration of job duties, followed by supervised practice. (2) Successful completion and passing the Department-approved direct care training course and passing of the competency test. (3) Initial direct care staff person training to include the following: (i) Safe management techniques. (ii) ADLs and IADLs. (iii) Personal hygiene. 	(g) Direct care staff persons may not provide unsupervised assisted living services <i>until completion of 18 hours of training</i> in the following areas: (1) Training that includes a demonstration of job duties, followed by supervised practice. (2) Successful completion and passing the Department-approved direct care training course and passing of the competency test. (3) Initial direct care staff person training to include the following: (i) Safe management techniques. (ii) <i>Assisting with</i> ADLs and IADLs. (iii) Personal hygiene.	In the ALR setting, direct care staff persons must complete 18 hours of training in the areas listed before they provide unsupervised assisted living services. Some additional training topics include: The signs and symptoms of infections and infection control; Behavioral management techniques; Understanding of the resident's assessment and how to implement the resident's support plan; Person-centered care and aging in place.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(iv) Care of residents with dementia,	(iv) Care of residents with mental illness,	
mental illness, cognitive impairments,	<i>neurological</i> impairments, mental	
mental retardation and other mental	retardation and other mental disabilities.	
disabilities.		
(v) The normal aging-cognitive,	(v) The normal aging-cognitive,	
psychological and functional abilities of	psychological and functional abilities of	
individuals who are older.	individuals who are older.	
(vi) Implementation of the initial	(vi) Implementation of the initial	
assessment, annual assessment and	assessment, annual assessment and	
support plan.	support plan.	
(vii) Nutrition, food handling and	(vii) Nutrition, food handling and	
sanitation.	sanitation.	
(viii) Recreation, socialization,	(viii) Recreation, socialization,	
community resources, social services and	community resources, social services and	
activities in the community.	activities in the community.	
(ix) Gerontology.	(ix) Gerontology.	
(x) Staff person supervision, if applicable.	(x) Staff person supervision, if applicable.	
(xi) Care and needs of residents with	(xi) Care and needs of residents with	
special emphasis on the residents being	special emphasis on the residents being	
served in the home.	served in the residence.	
(xii) Safety management and hazard	(xii) Safety management and hazard	
prevention.	prevention.	
(xiii) Universal precautions.	(xiii) Universal precautions.	
(xiv) The requirements of this chapter.	(xiv) The requirements of this chapter.	
(xv) Infection control.	(xv) The signs and symptoms of	
	<u>infections and</u> infection control.	
(xvi) Care for individuals with mobility	(xvi) Care for individuals with mobility	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
needs, such as prevention of decubitus ulcers, incontinence, malnutrition and dehydration, if applicable to the residents served in the home.	needs, such as prevention of decubitus ulcers, incontinence, malnutrition and dehydration, if applicable to the residents served in the residence. (xvii) Behavioral management techniques. (xviii) Understanding of the resident's assessment and how to implement the resident's support plan. (xix) Person-centered care and aging in place.	
 (e) Direct care staff persons shall have at least 12 hours of annual training relating to their job duties. (1) Staff person orientation shall be included in the 12 hours of training for the first year of employment. (2) On the job training for direct care staff persons may count for 6 out of the 12 training hours required annually. 	(h) Direct care staff persons shall have at least 16 hours of annual training relating to their job duties. The training required in § 2800.69 (relating to additional dementia-specific training) shall be in addition to the 16 hour annual training.	In the ALR setting, direct care staff persons must have at least 16 hours of annual training, this training is in addition to the dementia-specific training requirement of 4 hours within 30 days of hire and at least 2 hours annually.
(f) Training topics for the annual training for direct care staff persons shall include the following:(1) Medication self-administration training.	(i) Training topics for the annual training for direct care staff persons must include the following:(1) Medication self-administration training.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(2) Instruction on meeting the needs of the	(2) Instruction on meeting the needs of the	
residents as described in the preadmission	residents as described in the assessment	
screening form, assessment tool, medical	tool, medical evaluation and support plan.	
evaluation and support plan.		
(3) Care for residents with dementia and	(3) Care for residents with dementia,	
cognitive impairments.	cognitive and neurological impairments.	
(4) Infection control and general	(4) Infection control and general	
principles of cleanliness and hygiene and	principles of cleanliness and hygiene and	
areas associated with immobility, such as	areas associated with immobility, such as	
prevention of decubitus ulcers,	prevention of decubitus ulcers,	
incontinence, malnutrition and	incontinence, malnutrition and	
dehydration.	dehydration.	
(5) Personal care service needs of the	(5) Assisted living service needs of the	
resident.	resident.	
(6) Safe management techniques	(6) Safe management techniques.	
(7) Care for residents with mental illness	(7) Care for residents with mental illness	
or mental retardation, or both, if the	or mental retardation, or both, if the	
population is served in the home.	population is served in the residence.	
(g) Direct care staff persons, ancillary	(j) Direct care staff persons, ancillary staff	
staff persons, substitute personnel and	persons, substitute personnel and regularly	
regularly scheduled volunteers shall be	scheduled volunteers shall be trained	
trained annually in the following areas:	annually in the following areas:	
(1) Fire safety completed by a fire safety	(1) Fire safety completed by a fire safety	
expert or by a staff person trained by a fire	expert or by a staff person trained by a fire	
safety expert. Videos prepared by a fire	safety expert. Videos prepared by a fire	
safety expert are acceptable for the	safety expert are acceptable for the	

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	raining if accompanied by an onsite staff	
(2) Emergency preparedness procedures and recognition and response to crises and emergency situations. (3) Resident rights. (4) The Older Adult Protective Services Act (35 P. S. § § 10225.101— 10225.5102). (5) Falls and accident prevention. (6) New population groups that are being	person trained by a fire safety expert. 2) Emergency preparedness procedures and recognition and response to crises and emergency situations. 3) Resident rights. 4) The Older Adult Protective Services Act (35 P.S. §§ 10225.101—10225.708). 5) Falls and accident prevention. 6) New population groups that are being served at the residence that were not	
(h) If a staff person has completed the required initial direct care staff person training within the past year as a direct care staff person at another home, the requirement for initial direct care staff person training in this section does not apply if the staff person provides written verification of completion of the training. (i) A record of training including the staff person trained, date, source, content,	k) If a staff person has completed the required initial direct care staff person raining within the past year as a direct care staff person at another residence, the requirement for initial direct care staff person training in this section does not apply if the staff person provides written verification of completion of the training. 1) A record of training including the staff person trained, date, source, content, ength of each course and copies of any	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.66. Staff training plan.	§ 2800.66. Staff training plan.	
(a) A staff training plan shall be developed annually.	(a) A staff training plan shall be developed annually.	
 (b) The plan must include training aimed at improving the knowledge and skills of the home's direct care staff persons in carrying out their job responsibilities. The staff training plan must include the following: (1) The name, position and duties of each direct care staff person. (2) The required training courses for each staff person. (3) The dates, times and locations of the scheduled training for each staff person for the upcoming year. (c) Documentation of compliance with the 	 (b) The plan must include training aimed at improving the knowledge and skills of the residence's direct care staff persons in carrying out their job responsibilities. The staff training plan must include the following: (1) The name, position and duties of each direct care staff person. (2) The required training courses for each staff person. (3) The dates, times and locations of the scheduled training for each staff person for the upcoming year. (c) Documentation of compliance with the 	
staff training plan shall be kept.	staff training plan shall be kept.	
§ 2600.67. Training institution registration.	§ 2800.67. Training institution registration.	
(a) An institution and the course of study offered by an educational institution,	(a) An institution and the course of study offered by an educational institution,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
association, professional society or organization for the purpose of educating and qualifying applicants for certification as personal care home administrators shall be registered and approved by the Department prior to offering the course of study.	association, professional society or organization for the purpose of educating and qualifying applicants for certification as assisted living residence administrators shall be registered and approved by the Department prior to offering the course of study.	
 (b) An application for registration of an institution and approval of a course of study shall be submitted to the Department on a form provided by the Department and include the following information: (1) The full name, address, telephone number, facsimile number and electronic mail address of the prospective training provider, each instructor and the program coordinator. 	 (b) An application for registration of an institution and approval of a course of study shall be submitted to the Department on a form provided by the Department and include the following information: (1) The full name, address, telephone number, facsimile number and electronic mail address of the prospective training provider, each instructor and the program coordinator. 	
 (2) The training objectives, instructional materials, content and teaching methods to be used and the number of clock hours. (3) The recommended class size. (4) The attendance certification method. (5) Proof that each course instructor is certified by the Department to conduct administrator training. 	 (2) The training objectives, instructional materials, content and teaching methods to be used and the number of clock hours. (3) The recommended class size. (4) The attendance certification method. (5) Proof that each course instructor is certified by the Department to conduct administrator training. 	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(6) The subject that each instructor will teach and documentation of the instructor's academic credentials, instructional experience and work experience to teach the subject. (7) The location of the training site, which shall accommodate the number of anticipated participants. (c) A request to amend a Department-approved course of study shall be submitted for the Department's review and approval prior to implementation of a change in the course of study. (d) The training institution shall issue a training certificate to each participant who successfully completes the Department-approved course and passes the competency test. Each training certificate must indicate the participant's name, the name of the training institution, the date and location of the training and the number of clock hours completed for each training topic.	 (6) The subject that each instructor will teach and documentation of the instructor's academic credentials, instructional experience and work experience to teach the subject. (7) The location of the training site, which shall accommodate the number of anticipated participants. (c) A request to amend a Department-approved course of study shall be submitted for the Department's review and approval prior to implementation of a change in the course of study. (d) The training institution shall issue a training certificate to each participant who successfully completes the Department-approved course and passes the competency test. Each training certificate must indicate the participant's name, the name of the training institution, the date and location of the training and the number of clock hours completed for each training topic. 	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.68. Instructor approval.	§ 2800.68. Instructor approval.	
(a) Training for personal care home administrators provided by an individual who is not certified as an instructor by the Department will not be considered valid training.	(a) Training for assisted living residence administrators provided by an individual who is not certified as an instructor by the Department will not be considered valid training.	
(b) To receive the Department's certification as an approved instructor for personal care home administrators, an instructor shall successfully complete the Department's train-the-trainer course. The train-the-trainer course is designed to provide and reinforce basic training skills, including the roles and responsibilities of the trainer, training methodology, the use of instructional aids and recordkeeping.	(b) To receive the Department's certification as an approved instructor for assisted living residence administrators, an instructor shall successfully complete the Department's train-the-trainer course. The train-the-trainer course is designed to provide and reinforce basic training skills, including the roles and responsibilities of the trainer, training methodology, the use of instructional aids and recordkeeping.	
(c) An instructor shall demonstrate competent instructional skills and knowledge of the applicable topic and meet the Department's qualifications for the topic being taught.	(c) An instructor shall demonstrate competent instructional skills and knowledge of the applicable topic and meet the Department's qualifications for the topic being taught.	
(d) An instructor is subject to unannounced monitoring by the	(d) An instructor is subject to unannounced monitoring by the	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Department while conducting training.	Department while conducting training.	
 (e) The Department will establish approval standards that include the following: (1) The mechanism to measure the quality of the training being offered. (2) The criteria for selecting and evaluating instructors, subject matter and instructional materials. (3) The criteria for evaluating requests to amend a course. (4) The criteria for evaluating the effectiveness of each course. (5) The instructor qualifications for each subject being taught. 	 (e) The Department will establish approval standards that include the following: (1) The mechanism to measure the quality of the training being offered. (2) The criteria for selecting and evaluating instructors, subject matter and instructional materials. (3) The criteria for evaluating requests to amend a course. (4) The criteria for evaluating the effectiveness of each course. (5) The instructor qualifications for each subject being taught. 	
 (f) The Department may withdraw approval under the following conditions: (1) Failure to follow the approved curriculum. (2) Lack of trainer competency. (3) A pattern of violations of this chapter by a home conducting the training. 	 (f) The Department may withdraw approval under the following conditions: (1) Failure to follow the approved curriculum. (2) Lack of trainer competency. (3) A pattern of violations of this chapter by a residence conducting the training. 	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Chapter 2600 – no corresponding Section	§ 2800.69. Additional dementia-specific training. Administrative staff, direct care staff persons, ancillary staff persons, substitute personnel and volunteers shall receive at least 4 hours of dementia-specific training within 30 days of hire and at least 2 hours of dementia-specific training annually thereafter in addition to the training requirements of this chapter.	In the ALR setting, 4 hours of dementia- specific training is required for administrative staff, direct care staff, ancillary staff, substitute personal and volunteers within 30 days of hire and at least 2 hours annually thereafter.
	Physical Site	
§ 2600.81. Physical accommodations and equipment.	§ 2800.81. Physical accommodations and equipment.	
(a) The home shall provide or arrange for physical site accommodations and equipment necessary to meet the health and safety needs of a resident with a disability and to allow safe movement within the home and exiting from the home.	(a) The residence shall provide or arrange for physical site accommodations and equipment necessary to meet the health and safety needs of a resident with a disability and to allow safe movement within the residence and exiting from the residence.	
(b) Wheelchairs, walkers, prosthetic devices and other apparatus used by	(b) Wheelchairs, walkers, prosthetic devices and other apparatus used by	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
residents must be clean, in good repair and free of hazards.	residents must be clean, in good repair and free of hazards.	
§ 2600.82. Poisons.	§ 2800.82. Poisons.	
(a) Poisonous materials shall be stored in their original, labeled containers.	(a) Poisonous materials shall be stored in their original, labeled containers.	
(b) Poisonous materials shall be stored separately from food, food preparation surfaces and dining surfaces.	(b) Poisonous materials shall be stored separately from food, food preparation surfaces and dining surfaces.	
(c) Poisonous materials shall be kept locked and inaccessible to residents unless all of the residents living in the home are able to safely use or avoid poisonous materials.	(c) Poisonous materials shall be kept locked and inaccessible to residents unless all of the residents living in the residence are able to safely use or avoid poisonous materials.	
§ 2600.83. Temperature.	§ 2800.83. Temperature.	
(a) The indoor temperature, in areas used by the residents, must be at least 70°F when residents are present in the home.	(a) The indoor temperature, in areas used by the residents, must be at least 70° F when residents are present in the residence.	
(b) If a home does not provide air conditioning, fans shall be made available	(b) A residence in existence prior to January 18, 2011, shall provide central	In the ALR setting, the ALR must provide air conditioning – there are no provisions

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
to residents when the indoor temperature exceeds 80°F.	air conditioning. If central air conditioning is not feasible or is cost prohibitive, window air conditioning units shall be provided. The residence shall submit justification to the Department for the use of window air conditioning units. (c) For new construction after January 18, 2011, the residence shall provide central air conditioning.	to allow for fans.
§ 2600.84. Heat sources.	§ 2800.84. Heat sources.	
Heat sources, such as steam and hot heating pipes, water pipes, fixed space heaters, hot water heaters and radiators exceeding 120°F that are accessible to the resident must be equipped with protective guards or insulation to prevent the resident from coming in contact with the heat source.	Heat sources, such as steam and hot heating pipes, water pipes, fixed space heaters, hot water heaters and radiators exceeding 120°F that are accessible to the resident must be equipped with protective guards or insulation to prevent the resident from coming in contact with the heat source.	
§ 2600.85. Sanitation.	§ 2800.85. Sanitation.	
(a) Sanitary conditions shall be maintained.	(a) Sanitary conditions shall be maintained.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) There may be no evidence of infestation of insects or rodents in the home.	(b) There may be no evidence of infestation of insects or rodents in the residence.	
(c) Trash shall be removed from the premises at least once a week.	(c) Trash shall be removed from the premises at least once a week.	
(d) Trash in kitchens and bathrooms shall be kept in covered trash receptacles that prevent the penetration of insects and rodents.	(d) Trash in kitchens and bathrooms shall be kept in covered trash receptacles that prevent the penetration of insects and rodents.	
(e) Trash outside the home shall be kept in covered receptacles that prevent the penetration of insects and rodents.	(e) Trash outside the residence shall be kept in covered receptacles that prevent the penetration of insects and rodents.	
(f) For a home serving 9 or more residents that is not connected to a public sewer system there shall be a written sanitation approval for its sewage system by the sewage enforcement official of the municipality in which the home is located.	(f) For a residence serving 9 or more residents that is not connected to a public sewer system, there shall be a written sanitation approval for its sewage system by the sewage enforcement official of the municipality in which the residence is located.	
§ 2600.86. Ventilation.	§ 2800.86. Ventilation.	
(a) All areas of the home that are used by	(a) All areas of the residence that are used	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
the resident shall be ventilated. Ventilation includes an operable window, air conditioner, fan or mechanical ventilation that ensures airflow. (b) A bathroom that does not have an operable, outside window shall be equipped with an exhaust fan for ventilation.	by the resident shall be ventilated. Ventilation includes an operable window, air conditioner, fan or mechanical ventilation that ensures airflow. (b) A bathroom that does not have an operable, outside window must be equipped with an exhaust fan for ventilation.	
§ 2600.87. Lighting. The home's rooms, hallways, interior stairs, outside steps, outside doorways, porches, ramps, evacuation routes, outside walkways and fire escapes shall be lighted and marked to ensure that residents, including those with vision impairments, can safely move through the home and safely evacuate.	§ 2800.87. Lighting. The residence's rooms, hallways, interior stairs, outside steps, outside doorways, porches, ramps, evacuation routes, outside walkways and fire escapes <i>must be</i> lighted and marked to ensure that residents, including those with vision impairments, can safely move through the residence and safely evacuate.	
§ 2600.88. Surfaces. (a) Floors, walls, ceilings, windows, doors and other surfaces must be clean, in good repair and free of hazards.	§ 2800.88. Surfaces. (a) Floors, walls, ceilings, windows, doors and other surfaces must be clean, in good repair and free of hazards.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) The home may not use asbestos products for renovations or new construction.	(b) The residence may not use asbestos products for renovations or new construction.	
	(c) If asbestos is found in a residence or contained in any part of the residence, the residence shall have a certification from an asbestos remediation company that the residence is safe for residents and that the asbestos does not pose a risk.	In the ALR setting, provisions are included to address what the ALR must do if asbestos is found.
§ 2600.89. Water.	§ 2800.89. Water.	
(a) The home must have hot and cold water under pressure in each bathroom, kitchen and laundry area to accommodate the needs of the residents in the home.	(a) The residence must have hot and cold water under pressure in each bathroom, kitchen and laundry area to accommodate the needs of the residents in the residence.	
(b) Hot water temperature in areas accessible to the resident may not exceed 120°F.	(b) Hot water temperature in areas accessible to the resident may not exceed 120°F.	
(c) A home that is not connected to a public water system shall have a coliform water test at least every 3 months, by a Department of Environmental Protection-	(c) A residence that is not connected to a public water system shall have a coliform water test at least every 3 months, by a Department of Environmental Protection-	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
certified laboratory, stating that the water is below maximum contaminant levels. A public water system is a system that provides water to the public for human consumption, which has at least 15 service connections or regularly serves an average of at least 25 individuals daily at least 60 days out of the year. (d) If the water is found to be above	certified laboratory, stating that the water is below maximum contaminant levels. A public water system is a system that provides water to the public for human consumption, which has at least 15 service connections or regularly serves an average of at least 25 individuals daily at least 60 days out of the year. (d) If the water is found to be above	
maximum contaminant levels, the home shall conduct remediation activity to reduce the level of contaminants to below the maximum contaminant level. During remediation activity, an alternate source of drinking water shall be provided to the residents.	maximum contaminant levels, the residence shall conduct remediation activity to reduce the level of contaminants to below the maximum contaminant level. During remediation activity, an alternate source of drinking water shall be provided to the residents.	
(e) The home shall keep documentation of the laboratory certification, in addition to the results and corrections made to ensure safe water for drinking.	(e) The residence shall keep documentation of the laboratory certification, in addition to the results and corrections made to ensure safe water for drinking.	
§ 2600.90. Communication system.	§ 2800.90. Communication system.	
(a) The home shall have a working,	(a) The residence shall have a working,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
noncoin operated, landline telephone that is accessible in emergencies and accessible to individuals with disabilities.	noncoin operated, landline telephone that is accessible in emergencies and accessible to individuals with disabilities.	
(b) For a home serving 9 or more residents, there shall be a system or method of communication that enables staff persons to immediately contact other staff persons in the home for assistance in an emergency.	(b) For a residence serving nine or more residents, there shall be a system or method of communication <u>such as an intercom</u> , <u>public address</u> , <u>pager or cell phone system</u> that enables staff persons to immediately contact other staff persons in the residence for assistance in an emergency.	In the ALR setting, clarifying language was added regarding examples of systems or methods of communication.
§ 2600.91. Emergency telephone numbers.	§ 2800.91. Emergency telephone numbers.	
Telephone numbers for the nearest hospital, police department, fire department, ambulance, poison control, local emergency management and personal care home complaint hotline shall be posted on or by each telephone with an outside line.	Telephone numbers for the nearest hospital, police department, fire department, ambulance, poison control, local emergency management and assisted living residence complaint hotline shall be posted on or by each telephone with an outside line.	
§ 2600.92. Windows and screens.	§ 2800.92. Windows and screens.	
Windows, including windows in doors,	Windows, including windows in doors,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
must be in good repair and securely screened when doors or windows are open.	must be in good repair and securely screened when doors or windows are open.	
§ 2600.93. Handrails and railings.	§ 2800.93. Handrails and railings.	
(a) Each ramp, interior stairway and outside steps must have a well-secured handrail.	(a) Each ramp, interior stairway, hallway and outside steps must have a well-secured handrail.	
(b) Each porch must have a well-secured railing.	(b) Each porch must have a well-secured railing.	
§ 2600.94. Landings and stairs.	§ 2800.94. Landings and stairs.	
(a) Interior and exterior doors that open directly into a stairway and are used for exit doors, resident areas and fire exits must have a landing, which is a minimum of 3 feet by 3 feet.	(a) Interior and exterior doors that open directly into a stairway and are used for exit doors, resident areas and fire exits must have a landing, which is a minimum of 3 feet by 3 feet.	
(b) Interior stairs, exterior steps and ramps must have nonskid surfaces.	(b) Interior stairs, exterior steps and ramps must have nonskid surfaces.	In the ALR setting, all stairs must have
	(c) Stairs must have strips for those with vision impairments.	strips to assist residents with vision impairments.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.95. Furniture and equipment.	§ 2800.95. Furniture and equipment.	
Furniture and equipment must be in good repair, clean and free of hazards.	Furniture and equipment must be in good repair, clean and free of hazards.	
§ 2600.96. First aid kit.	§ 2800.96. First aid kit.	
(a) The home shall have a first aid kit that includes nonporous disposable gloves, antiseptic, adhesive bandages, gauze pads, thermometer, adhesive tape, scissors, breathing shield, eye coverings and tweezers.	(a) The residence shall have a first aid kit in each building on the premises that includes nonporous disposable gloves, antiseptic, adhesive bandages, gauze pads, thermometer, adhesive tape, scissors, breathing shield, eye coverings and tweezers. The residence shall have an automatic external defibrillation device located in each building on the premises.	In the ALR setting, the ALR must have a first aid kit and an automatic external defibrillation device in each building.
(b) Staff persons shall know the location of the first aid kit.	(b) Staff persons shall know the location of the first aid kit.	
(c) The first aid kit must be in a location that is easily accessible to staff persons.	(c) The first aid kit must be in a location that is easily accessible to staff persons.	
§ 2600.97. Elevators and stair glides.	§ 2800.97. Elevators and stair glides.	
Each elevator and stair glide must have a certificate of operation from the	Each elevator and stair glide must have a certificate of operation from the	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Department of Labor and Industry or the appropriate local building authority in accordance with 34 Pa. Code Chapter 405 (relating to elevators and other lifting devices).	Department of Labor and Industry or the appropriate local building authority in accordance with 34 Pa. Code Chapter 405 (relating to elevators and other lifting devices).	
§ 2600.98. Indoor activity space.	§ 2800.98. Indoor activity space.	
(a) The home shall have indoor activity space for activities such as reading, recreation and group activities.	(a) The residence shall have <u>at least two</u> <u>indoor wheelchair accessible common</u> <u>rooms</u> for all residents for activities such as reading, recreation and group activities. <u>One of the common rooms shall be</u> <u>available for resident use at any time,</u> <u>provided the use does not affect or disturb others.</u>	In the ALR setting, the ALR must have at least two indoor wheelchair accessible common rooms. One of which must be available for resident use at any time.
(b) The home shall have at least one furnished living room or lounge area for residents, their families and visitors. The combined living room or lounge areas shall accommodate all residents at one time. These rooms or areas shall contain tables, chairs and lighting to accommodate the residents, their families and visitors.	(b) The residence shall have at least one furnished living room or lounge area for residents, their families and visitors. The combined living room or lounge areas must accommodate all residents at one time. There must be at least 15 square feet per living unit for up to 50 living units. There must be a total of 750 square feet if there are more than 50 living units. These rooms or areas must	In the ALR setting, the living room or lounge area must have at least 15 square feet per living unit for up to 50 units. For more than 50 units there must be a total of 750 square feet.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	contain tables, chairs and lighting to accommodate the residents, their families and visitors.	
(c) The home shall have a working television and radio available to residents in a living room or lounge area.	(c) The residence shall have a working television and radio available to residents in a living room or lounge area.	
§ 2600.99. Recreation space.	§ 2800.99. Recreation space.	
The home shall provide regular access to outdoor and indoor recreation space and recreational items, such as books, newspapers, magazines, puzzles, games, cards and crafts.	The residence shall provide regular access to outdoor and indoor recreation space and recreational items, such as books, newspapers, magazines, puzzles, games, cards and crafts.	
§ 2600.100. Exterior conditions.	§ 2800.100. Exterior conditions.	
(a) The exterior of the building and the building grounds or yard must be in good repair and free of hazards.	(a) The exterior of the building and the building grounds or yard must be in good repair and free of hazards.	
(b) The home shall ensure that ice, snow and obstructions are removed from outside walkways, ramps, steps, recreational areas and exterior fire escapes.	(b) The residence shall ensure that ice, snow and obstructions are removed from outside walkways, ramps, steps, recreational areas and exterior fire escapes.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.101. Resident bedrooms.	§ 2800.101. Resident living units.	
(a) Each single bedroom must have at least 80 square feet of floor space	(a) A residence shall provide a resident with the resident's own living unit unless	
measured wall to wall, including space occupied by furniture.	the conditions of subsection (c) are met.	
(h) Fach should be due out mount have at	(b) The following conditions apply to a	In the ALD setting the living units in a
(b) Each shared bedroom must have at	residence:	In the ALR setting, the living units in a
least 60 square feet of floor space per	(1) For new construction of residences	newly constructed residence must have at
resident measured wall to wall, including	after January 18, 2011, each living unit	least 225 square feet of floor space,
space occupied by furniture.	for a single resident must have at least	excluding bathrooms and closets. If two residents share a unit there must be a total
(a) Each hadroom for one or more	225 square feet of floor space measured	
(c) Each bedroom for one or more residents with a mobility need must have	wall-to-wall, excluding bathrooms and closet space. If two residents share a	of 300 square feet. Exceptions to the size of the unit may be made by the
at least 100 square feet per resident, to	living unit, there must be a total of 300	Department at their discretion.
allow for easy passage between beds and	square feet in the living unit. Exceptions	Department at their discretion.
other furniture, and for comfortable use of	to the size of the living unit may be made	
a resident's assistive devices, including	at the Department's discretion.	In the ALR setting existing facilities must
wheelchairs, walkers, special furniture or	(2) For facilities in existence prior to	have at least 160 square feet of floor
oxygen equipment. This requirement does	January 18, 2011, each living unit must	space. If two residents share a unit there
not apply if there is a medical order from	have at least 160 square feet measured	must be a total of 210 square feet.
the attending physician that states the	wall to wall, excluding bathrooms and	Exceptions to the size of the unit may be
resident can maneuver without the	closet space. If two residents share a	made by the Department at their
necessity of the additional space. A legal	living unit, there must be a total of 210	discretion.
entity with a personal care home license	square feet in the living unit. Exceptions	
for the home as of October 24, 2005, that	to the size of the living unit may be made	

at the Department's discretion.

has one or more bedrooms serving a

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
resident with physical mobility needs as of October 24, 2005, shall be exempt from the requirements specified in this subsection for the bedroom. If a bedroom is exempt in accordance with this subsection, additional square footage may be required sufficient to accommodate the assistive devices of the resident with mobility needs.	(3) Each living unit must have a telephone jack and individually controlled thermostats for heating and cooling. (4) The doors in living units, including entrance doors, must be accessible or adaptable for wheelchair use.	
(d) No more than four residents may share a bedroom.	(c) Two residents may voluntarily agree to share one living unit provided that the agreement is in writing and contained in each of the resident-residence contract of those residents. A licensee may not require residents to share a living unit. The maximum number of residents in any living unit shall be two residents.	In the ALR setting, the maximum number of residents permitted per living unit is two. If and only if the two residents voluntarily agree to share the living unit and the agreement is in writing.
	(d) Kitchen capacity requirements are as follows: (1) New construction. For new construction of residences after January 18, 2011, the kitchen capacity, at a minimum, must contain a cabinet for food storage, a small bar-type sink with hot and cold running water and space	In the ALR setting, a newly constructed ALR must provide kitchen capacity that at a minimum includes the following: a cabinet for food storage, a small bar-type sink with hot and cold running water, and space with electrical outlets for small appliances, such as a microwave and a small refrigerator.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	with electrical outlets suitable for small appliances such as a microwave oven and a small refrigerator. (i) Upon entering the assisted living residence, the resident or his designated person shall be asked if the resident wishes to have a cooking appliance or small refrigerator, or both. The cooking appliance or small refrigerator, or both, shall be provided by the residence if desired by the resident or his designated person. If the resident or the designated person wishes to provide his own cooking appliance or small refrigerator, or both, it shall meet the residence's safety standards. (ii) An appliance shall be designed so it can be disconnected and removed for resident safety or if the resident chooses not to have the appliance within his living unit.	The ALR must ask the resident if they want a cooking appliance and/or a small refrigerator and provide them if the resident desires. The resident shall be permitted to provide their own cooking appliance or small refrigerator if they wish – provided they meet the ALR's safety standards.
	(2) Existing facilities. Facilities that	An existing facility that converts to an
	convert to residences after January 18,	ALR must provide space with electrical
	2011, must meet the following requirements related to kitchen capacity:	outlets for small appliances – such as microwave and small refrigerator. The
		ALR must ask the resident if they want a
	(i) The residence shall provide space with	· · · · · · · · · · · · · · · · · · ·
	electrical outlets suitable for small	cooking appliance and/or small

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	appliances, such as a microwave oven and small refrigerator. (A) Upon entering the assisted living residence, the resident or his designated person shall be asked if the resident wishes to have a cooking appliance or small refrigerator, or both. The cooking appliance or small refrigerator, or both, shall be provided by the residence if desired by the resident or his designated person wishes to provide his own cooking appliance or small refrigerator, or both, it must meet the residence's safety standards. (B) An appliance shall be designed so it can be disconnected and removed for resident safety or if the resident chooses not to have the appliance within his	refrigerator and provide them if the resident so desires. The resident shall be permitted to provide their own cooking appliance and/or small refrigerator if they wish – provided they meet the ALR's safety standards.
	living unit. (ii) The residence shall provide access to a sink for dishes, a stovetop for hot food preparation and a food preparation area in a common area. A common resident kitchen may not include the kitchen used by the residence staff for the preparation of resident or employee meals, or the	An existing facility that converts to an ALR must also provide the resident access to a sink, a stove and a food preparation area in a common area. The common kitchen may not be the kitchen used by staff for resident or employee meals.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(e) Ceiling height in each bedroom must be an average of at least 7 feet.(f) Each bedroom must have a window with direct exposure to natural light.	storage of goods.(e) Ceiling height in each living unit must be an average of at least 7 feet.(f) Each living unit must have at least one window with direct exposure to natural light.	
(g) A resident's bedroom shall be used only by the occupying resident and not for activities common to other residents.	(g) A resident's bedroom in the living unit shall be used only by the occupying resident unless two consenting adult residents agree to share a bedroom and the requirements of subsection (c) are met.	
(h) A resident shall be able to access toilet, hand washing and bathing facilities without having to pass through another resident's bedroom.	(h) Each living unit must have a door with a lock, except where a lock in a unit under a special care designation would pose a risk or be unsafe. The administrator shall maintain a master key that can open all locks in the event of an emergency.	In the ALR setting, the living units must have a door that locks, unless a special care unit. The administrator must maintain a master key to open all living units.
(i) A resident shall have access to his bedroom at all times.	(i) A resident shall have access to his living unit at all times.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(j) Each resident shall have the following in the bedroom: (1) A bed with a solid foundation and fire retardant mattress that is in good repair, clean and supports the resident. A legal entity with a personal care home license for the home as of October 24, 2005, shall be exempt from the requirement for a fire retardant mattress. (2) A chair for each resident that meets the resident's needs. (3) Pillows, bed linens and blankets that are clean and in good repair. (4) A storage area for clothing that includes a chest of drawers and a closet or wardrobe space with clothing racks or shelves accessible to the resident. (5) A bedside table or a shelf. (6) A mirror. (7) An operable lamp or other source of lighting that can be turned on at bedside. (8) If a resident shares a bedroom with other residents, the items specified in paragraphs (4)—(7) may be shared with one other resident.	(j) Each resident shall have the following in the living unit: (1) A bed with a solid foundation and fire retardant mattress that is in good repair, clean and supports the resident. An exception will be permitted for residents who wish to provide their own mattresses. (2) A chair for each resident that meets the resident's needs. (3) Pillows, bed linens and blankets that are clean and in good repair. (4) A storage area for clothing that includes a chest of drawers and a closet or wardrobe space with clothing racks or shelves accessible to the resident. (5) A bedside table or a shelf. (6) A mirror. (7) An operable lamp or other source of lighting that can be turned on at bedside. (8) If a resident shares a bedroom with another resident, the items specified in paragraphs (4)—(7) may be shared with one other resident.	In the ALR setting, residents may provide their own mattresses.
(k) Cots and portable beds are prohibited.	(k) Cots and portable beds are prohibited.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(l) Bunk beds or other raised beds that require residents to climb steps or ladders to get into or out of bed are prohibited.	(l) Bunk beds or other raised beds that require residents to climb steps or ladders to get into or out of bed are prohibited.	
(m) A bedroom may not be used as a exit from or used as a passageway to another part of the home unless in an emergency situation.	(m) A living unit may not be used as an exit from or used as a passageway to another part of the residence unless in an emergency situation.	
(n) A resident may not be required to share a bedroom with an individual of the opposite sex.		
(o) The bedrooms must have walls, floors and ceilings, which are finished, clean and in good repair.	(n) The living unit must have walls, floors and ceilings, which are finished, clean and in good repair.	
(p) There must be doors on the bedrooms.	(o) <u>In living units with a separate</u> <u>bedroom</u> , there must be a door on the bedroom.	
(q) Space for storage of personal property shall be provided in a dry, protected area.	(p) Space for storage of personal property shall be provided in a dry, protected area.	
(r) There must be drapes, shades, curtains, blinds or shutters on the bedroom windows. Window coverings must be	(q) There must be drapes, shades, curtains, blinds or shutters on the living unit windows. Window coverings must be	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
clean, in good repair, provide privacy and cover the entire window when drawn.	clean, in good repair, provide privacy and cover the entire window when drawn.	
	(r) Each living unit must be equipped with an emergency notification system to notify staff in the event of an emergency.	In the ALR setting, each living unit must be equipped with an emergency notification system.
§ 2600.102. Bathrooms.	§ 2800.102. Bathrooms.	
(a) There shall be at least one functioning flush toilet for every six or fewer users, including residents, staff persons and household members.	(a) There must be one functioning flush toilet <i>in the bathroom in the living unit</i> .	In the ALR setting each living unit must have a bathroom with a flush toilet, a sink and wall mirror, and a bathtub or shower.
(b) There shall be at least one sink and wall mirror for every six or fewer users including residents, staff persons and household members.	(b) There must be at least one sink and wall mirror <i>in the bathroom of the living unit.</i>	
(c) There shall be at least one bathtub or shower for every ten or fewer users, including residents, staff persons and household members.	(c) There must be at least one bathtub or shower <i>in the bathroom of the living unit.</i>	
(d) Toilet and bath areas must have grab bars, hand rails or assist bars. Bathtubs and showers must have slip-resistant	(d) Toilet and bath areas in the living unit must have grab bars, hand rails or assist bars. Bathtubs and showers must have	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
surfaces.	slip-resistant surfaces.	
(e) Privacy shall be provided for toilets, showers and bathtubs by partitions or doors.	(e) Privacy in the living unit must be provided for toilets, showers and bathtubs by partitions or doors. <u>Bathroom doors in a double occupancy living unit must be lockable by the resident, unless contraindicated by the support plan.</u>	If two residents are sharing a living unit the bathroom doors must be lockable by the resident – unless contraindicated by the support plan.
(f) An individual towel, washcloth and soap shall be provided for each resident.	(f) An individual towel, washcloth and soap shall be provided for each resident unless the resident provides his own supplies of these items.	In the ALR setting the resident has the option to provide their own towel, washcloth and soap.
(g) Individual toiletry items including toothpaste, toothbrush, shampoo, deodorant, comb and hairbrush shall be made available to residents who are not recipients of SSI. If the home charges for these items, the charges shall be indicated in the resident-home contract. Availability of toiletry items for residents who are recipients of SSI is specified in § 2600.27(d)(1) (relating to SSI recipients).	(g) Individual toiletry items including toothpaste, toothbrush, shampoo, deodorant, comb and hairbrush shall be made available to residents who are not recipients of SSI. If the residence charges for these items, the charges shall be indicated in the resident-residence contract. Availability of toiletry items for residents who are recipients of SSI is specified in § 2800.27(d)(1) (relating to SSI recipients).	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(h) Toilet paper shall be provided for every toilet.	(h) Toilet paper shall be provided for every toilet.	
(i) A dispenser with soap shall be provided within reach of each bathroom sink. Bar soap is not permitted unless there is a separate bar clearly labeled for each resident who shares a bathroom.	(i) <u>Bar soap or</u> a dispenser with soap shall be provided within reach of each bathroom sink. Bar soap, however, is not permitted when a living unit is shared unless there is a separate bar clearly labeled for each resident sharing the living unit.	
(j) Towels and washcloths shall be in the possession of the resident in the resident's living space unless the resident has access to the home's linen supply.	(j) Towels and washcloths shall be in the possession of the resident in the resident's living unit unless the resident has access to the residence's linen supply.	
(k) Use of a common towel is prohibited.	(k) Use of a common towel is prohibited.	
(l) Shelves or hooks for the resident's towel and clothing shall be provided.	(l) Shelves or hooks for the resident's towel and clothing shall be provided.	
(m) A legal entity with a personal care home license for the home as of October 24, 2005, shall be exempt from the requirements specified in subsection (c). If a home is exempt in accordance with this subsection, there shall be at least one		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
bathtub or shower for every 15 or fewer users.	(m) A residence shall have at least one public restroom that is convenient to common areas and wheelchair accessible. (n) Each bathroom must be equipped with an emergency notification system to notify staff in the event of an emergency.	In the ALR setting, there must be one wheelchair accessible public restroom convenient to common areas. In the ALR setting, each bathroom must be equipped with an emergency notification system.
§ 2600.103. Food service. (a) A home shall have access on the grounds to an operable kitchen with a refrigerator, sink, stove, oven, cooking equipment and cabinets or shelves for storage. If the kitchen is not in the home, the home shall have a kitchen area with a refrigerator, cooking equipment, a sink and food storage space. (b) Kitchen surfaces must be of a nonporous material and cleaned and sanitized after each meal. (c) Food shall be protected from contamination while being stored,	§ 2800.103. Food service. (a) A residence shall have access on the grounds to an operable kitchen with a refrigerator, sink, stove, oven, cooking equipment and cabinets or shelves for storage. If the kitchen is not in the residence, the residence shall have a kitchen area with a refrigerator, cooking equipment, a sink and food storage space. (b) Kitchen surfaces must be of a nonporous material and cleaned and sanitized after each meal. (c) Food shall be protected from contamination while being stored,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
prepared, transported and served.	prepared, transported and served.	
(d) Food shall be stored off the floor.	(d) Food shall be stored off the floor.	
(e) Food served and returned from an individual's plate may not be served again or used in the preparation of other dishes. Leftover food shall be labeled and dated.	(e) Food served and returned from an individual's plate may not be served again or used in the preparation of other dishes. Leftover food shall be labeled and dated.	
(f) Food requiring refrigeration shall be stored at or below 40°F. Frozen food shall be kept at or below 0°F. Thermometers are required in refrigerators and freezers.	(f) Food requiring refrigeration shall be stored at or below 40°F. Frozen food shall be kept at or below 0°F. Thermometers are required in refrigerators and freezers.	
(g) Food shall be stored in closed or sealed containers.	(g) Food shall be stored in closed or sealed containers.	
(h) Food shall be thawed either in the refrigerator, microwave, under cool water or as part of the cooking process.	(h) Food shall be thawed either in the refrigerator, microwave oven, under cool water or as part of the cooking process.	
(i) Outdated or spoiled food or dented cans may not be used.	(i) Outdated or spoiled food or dented cans may not be used.	
(j) Eating, drinking and cooking utensils shall be washed, rinsed and sanitized after each use by a method specified in 7 Pa.	(j) Eating, drinking and cooking utensils shall be washed, rinsed and sanitized after each use by a method specified in 7	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Code Chapter 46, Subchapter D (relating to equipment, utensils and linen).	Pa.Code Chapter 46, Subchapter D (relating to equipment, utensils and linens).	
§ 2600.104. Dining room. (a) A dining room area shall be equipped with tables and chairs and able to accommodate the maximum number of residents scheduled for meals at any one time.	§ 2800.104. Dining room. (a) An assisted living residence shall have an accessible common dining space outside the resident living units. A dining room area must be equipped with tables and chairs and able to accommodate the maximum number of residents scheduled for meals at any one time. There must be at least 15 square feet per person for residents scheduled for meals at any one time.	In the ALR setting, the dining space outside the living units must provide at least 15 square feet per resident scheduled for meals at any one time.
(b) Dishes, glassware and utensils shall be provided for eating, drinking, preparing and serving food. These utensils must be clean, and free of chips and cracks. Plastic and paper plates, utensils and cups for meals may not be used on a regular basis.(c) Condiments shall be available at the dining table.	(b) Dishes, glassware and utensils shall be provided for eating, drinking, preparing and serving food. These utensils must be clean, and free of chips and cracks. Plastic and paper plates, utensils and cups for meals may not be used on a regular basis.(c) Condiments shall be available at the dining table.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(d) Adaptive eating equipment or utensils shall be available, if needed, to assist residents in eating at the table.	(d) Adaptive eating equipment or utensils shall be available, if needed, to assist residents in eating at the table.	
 (e) Breakfast, midday and evening meals shall be served to residents in a dining room except in the following situations: (1) Service in the resident's room shall be available at no additional charge when the resident is unable to come to the dining room due to illness. (2) When room service is available in a home, a resident may choose to have a meal served in the resident's room. This service shall be provided at the resident's request and may not replace daily meals in a dining room. 	(e) Breakfast, midday and evening meals shall be served to residents in a dining room except in the following situations: (1) Service in the resident's living unit shall be available at no additional charge when the resident is unable to come to the dining room due to illness. (2) When room service is available in a residence, a resident may choose to have a meal served in the resident's living unit. This service shall be provided at the resident's request and may not replace daily meals in a dining room.	
§ 2600.105. Laundry.	§ 2800.105. Laundry.	
(a) Laundry service for bed linens, towels and personal clothing shall be provided by the home, at no additional charge, to residents who are recipients of or eligible applicants for SSI benefits. Laundry service does not include dry cleaning.	(a) Laundry service for bed linens, towels and personal clothing shall be provided by the residence, at no additional charge, to residents who are recipients of or eligible applicants for SSI benefits. Laundry service does not include dry cleaning.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) Laundry service for bed linens, towels and personal clothing for the residents who are not recipients of SSI shall be provided by the home unless otherwise indicated in the resident-home contract.	(b) Laundry service for bed linens, towels and personal clothing for the residents who are not recipients of SSI shall be provided by the residence unless otherwise indicated in the resident-residence contract. If a residence provides laundry facilities, there may not be a prohibition against residents doing their own laundry.	In the ALR setting, if the ALR provides laundry facilities the residents must be permitted to do their own laundry.
(c) The supply of bed linens and towels shall be sufficient to ensure a complete change of bed linen and towels at least once per week.	(c) The supply of bed linens and towels must be sufficient to ensure a complete change of bed linen and towels at least once per week.(d) Bed linens and towels shall be	
(d) Bed linens and towels shall be changed at least once every week and more often as needed to maintain sanitary conditions.	changed at least once every week and more often as needed to maintain sanitary conditions.	
(e) Clean linens and towels shall be stored in an area separate from soiled linen and clothing.	(e) Clean linens and towels shall be stored in an area separate from soiled linen and clothing.	
(f) Measures shall be implemented to ensure that residents' clothing are not lost	(f) Measures shall be implemented to ensure that residents' clothing are not lost or misplaced during laundering or	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
or misplaced during laundering or cleaning. The resident's clean clothing shall be returned to the resident within 24 hours after laundering.	cleaning. The resident's clean clothing shall be returned to the resident within 24 hours after laundering. (g) To reduce the risks of fire hazards, lint	
(g) To reduce the risks of fire hazards, lint shall be removed from the lint trap and drum of clothes dryers after each use. Lint shall be cleaned from the vent duct and internal and external ductwork of clothes dryers according to the manufacturer's instructions.	shall be removed from the lint trap and drum of clothes dryers after each use. Lint shall be cleaned from the vent duct and internal and external ductwork of clothes dryers according to the manufacturer's instructions.	
§ 2600.106. Swimming areas. If a home operates a swimming area, the following requirements apply: (1) Swimming areas shall be operated in accordance with applicable laws and regulations. (2) Written policy and procedures to protect the health, safety and well-being of the residents shall be developed and implemented.	§ 2800.106. Swimming areas. If a residence operates a swimming area, the following requirements apply: (1) Swimming areas shall be operated in accordance with applicable laws and regulations. (2) Written policy and procedures to protect the health, safety and well-being of the residents shall be developed and implemented.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.107. Emergency preparedness.	§ 2800.107. Emergency preparedness.	
(a) The administrator shall have a copy and be familiar with the emergency preparedness plan for the municipality in which the home is located.	(a) The administrator shall have a copy and be familiar with the emergency preparedness plan for the municipality in which the residence is located.	
 (b) The home shall have written emergency procedures that include the following: (1) Contact information for each resident's designated person. (2) The home's plan to provide the emergency medical information for each resident that ensures confidentiality. (3) Contact telephone numbers of local and State emergency management agencies and local resources for housing and emergency care of residents. (4) Means of transportation in the event that relocation is required. (5) Duties and responsibilities of staff 	 (b) The residence shall have written emergency procedures that include the following: (1) Contact information for each resident's designated person. (2) The residence's plan to provide the emergency medical information for each resident that ensures confidentiality. (3) Contact telephone numbers of local and State emergency management agencies and local resources for housing and emergency care of residents. (4) Means of transportation in the event that relocation is required. (5) Duties and responsibilities of staff 	
persons during evacuation, transportation and at the emergency location. These duties and responsibilities shall be specific to each resident's emergency needs. (6) Alternate means of meeting resident	persons during evacuation, transportation and at the emergency location. These duties and responsibilities shall be specific to each resident's emergency needs. (6) Alternate means of meeting resident	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
needs in the event of a utility outage.	needs in the event of a utility outage.	
(c) The home shall maintain at least a 3-day supply of nonperishable food and drinking water for residents.	(c) The residence shall maintain at least a 3-day supply of nonperishable food and drinking water for residents.	
(d) The written emergency procedures shall be reviewed, updated and submitted annually to the local emergency management agency.	(d) The written emergency procedures shall be reviewed, updated and submitted annually to the local emergency management agency.	
§ 2600.108. Firearms and weapons.	§ 2800.108. Firearms and weapons.	
	(a) A residence shall have a written policy regarding firearms, weapons and ammunition where these items are on the premises or in possession of any resident or staff member. A residence is not required to permit firearms, weapons and ammunition.	In the ALR setting, the ALR is not required to permit firearms – the ALR must have a written policy regarding firearms, weapons and ammunition.
	(b) The policy must include, at a minimum, procedures regarding the safety, access and use of firearms, weapons and ammunition.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Firearms, weapons and ammunition shall be permitted on the licensed premises of a home only when the following conditions are met: (1) Firearms and weapons shall be contained in a locked cabinet located in a place other than the residents' room or in a common living area. (2) Ammunition shall be contained in a locked area separate from firearms and weapons, and located in a place other than the residents' room or in a common living area. (3) The key to the locked cabinet containing the firearms, weapons and ammunition shall be in the possession of the administrator or a designee. (4) The administrator or a designee shall be the only individual permitted to open the locked cabinet containing the firearms and weapons and the locked area containing the ammunition.	(c) Firearms, weapons and ammunition shall be permitted on the licensed premises of a residence only when the following conditions are met: (1) Firearms and weapons shall be contained in a locked cabinet located in a place other than the residents' living unit or in a common living area. (2) Ammunition shall be contained in a locked area separate from firearms and weapons, and located in a place other than the residents' living unit or in a common living area. (3) The key to the locked cabinet containing the firearms, weapons and ammunition shall be in the possession of the administrator or a designee. (4) The administrator or designee shall be the only individual permitted to open the locked cabinet containing the firearms and weapons and the locked area containing the ammunition.	
(5) If a firearm, weapon or ammunition is the property of a resident, there shall be a written policy and procedures regarding the safety, access and use of firearms,	(d) If a firearm, weapon or ammunition is the property of a resident, there shall be a written policy and procedures regarding the safety, access and use of firearms,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
weapons and ammunition. A resident may not take a firearm, weapon or ammunition out of the locked cabinet into living areas.	weapons and ammunition. A resident may not take a firearm, weapon or ammunition out of the locked cabinet into the common living area.	
§ 2600.109. Pets.	§ 2800.109. Pets.	
(a) The home rules shall specify whether the home permits pets on the premises.	(a) The residence rules must specify whether the residence permits pets on the premises.	
(b) Cats and dogs present at the home shall have a current rabies vaccination. A current certificate of rabies vaccination from a licensed veterinarian shall be kept.	(b) Cats and dogs present at the residence shall have a current rabies vaccination. A current certificate of rabies vaccination from a licensed veterinarian shall be kept.	
(c) Pets that are accessible to the residents shall be in good health and nonaggressive to the residents.	(c) Pets that are accessible to the residents shall be in good health and nonaggressive to the residents.	
(d) If a home has additional charges for pets, the charges shall be included in the resident-home contract.	(d) If a residence has additional charges for pets, the charges shall be included in the resident-residence contract.	
	(e) A residence shall disclose to applicants whether pets are permitted and present in the residence.	In the ALR setting, the ALR must disclose, at time of application, whether pets are permitted and present in the ALR.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes	
	Fire Safety		
§ 2600.121. Unobstructed egress.	§ 2800.121. Unobstructed egress.		
 (a) Stairways, hallways, doorways, passageways and egress routes from rooms and from the building must be unlocked and unobstructed. (b) Doors used for egress routes from rooms and from the building may not be equipped with key-locking devices, electronic card operated systems or other devices which prevent immediate egress of residents from the building, unless the home has written approval or a variance from the Department of Labor and Industry, the Department of Health or the appropriate local building authority. 	(a) Stairways, hallways, doorways, passageways and egress routes from living units and from the building must be unlocked and unobstructed. (b) Except as provided in § 2800.101 (relating to resident living units), doors used for egress routes from living units and from the building may not be equipped with key-locking devices, electronic card operated systems or other devices which prevent immediate egress of residents from the building, unless the residence has written approval or a variance from the Department of Labor and Industry, the Department of Health or the appropriate local building authority.	In the ALR setting, there is an exception regarding key-locking devices, except for resident living units. See § 2800.101	
§ 2600.122. Exits.	§ 2800.122. Exits.		
Unless otherwise regulated by the Department of Labor and Industry, the Department of Health or the appropriate local building authority, all buildings must	Unless otherwise regulated by the Department of Labor and Industry, the Department of Health or the appropriate local building authority, all buildings must		

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
have at least two independent and accessible exits from every floor, arranged to reduce the possibility that both will be blocked in an emergency situation.	have at least two independent and accessible exits from every floor, arranged to reduce the possibility that both will be blocked in an emergency situation.	
§ 2600.123. Emergency evacuation. (a) Exit doors must be equipped so that they can be easily opened by residents	§ 2800.123. Emergency evacuation. (a) Exit doors must be equipped so that they can be easily opened by residents	
from the inside without the use of a key or other manual device that can be removed, misplaced or lost.	from the inside without the use of a key or other manual device that can be removed, misplaced or lost.	
(b) Copies of the emergency procedures as specified in § 2600.107 (relating to emergency preparedness) shall be posted in a conspicuous and public place in the home and a copy shall be kept.	(b) Copies of the emergency procedures as specified in § 2800.107 (relating to emergency preparedness) shall be posted in a conspicuous and public place in the residence and a copy shall be kept.	
(c) For a home serving nine or more residents, an emergency evacuation diagram of each floor showing corridors, line of travel to exit doors and location of the fire extinguishers and pull signals shall be posted in a conspicuous and public place on each floor.	(c) For a residence serving nine or more residents, an emergency evacuation diagram of each floor showing corridors, line of travel to exit doors and location of the fire extinguishers and pull signals shall be posted in a conspicuous and public place on each floor.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(d) If the home serves one or more residents with mobility needs above or below grade level of the home, there shall be a fire-safe area, as specified in writing within the past year by a fire safety expert, on the same floor as each resident with mobility needs.	(d) If the residence serves one or more residents with mobility needs above or below grade level of the residence, there shall be a fire-safe area, as specified in writing within the past year by a fire safety expert, on the same floor as each resident with mobility needs.	
§ 2600.124. Notification of local fire officials.	§ 2800.124. Notification of local fire officials.	
The home shall notify the local fire department in writing of the address of the home, location of the bedrooms and the assistance needed to evacuate in an emergency. Documentation of notification shall be kept.	The residence shall notify the local fire department in writing of the address of the residence, location of the living units and bedrooms and the assistance needed to evacuate in an emergency. Documentation of notification shall be kept.	
§ 2600.125. Flammable and combustible materials.	§ 2800.125. Flammable and combustible materials.	
(a) Combustible and flammable materials may not be located near heat sources or hot water heaters.(b) Combustible materials shall be	(a) Combustible and flammable materials may not be located near heat sources or hot water heaters.(b) Combustible materials shall be	
inaccessible to residents.	inaccessible to residents.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.126. Furnaces.	§ 2800.126. Furnaces.	
(a) A professional furnace cleaning company or trained maintenance staff person shall inspect furnaces at least annually. Documentation of the inspection shall be kept.	(a) A professional furnace cleaning company or trained maintenance staff person shall inspect furnaces at least annually. Documentation of the inspection shall be kept.	
(b) Furnaces shall be cleaned according to the manufacturer's instructions. Documentation of the cleaning shall be kept.	(b) Furnaces shall be cleaned according to the manufacturer's instructions. Documentation of the cleaning shall be kept.	
§ 2600.127. Space heaters.	§ 2800.127. Space heaters.	
(a) Portable space heaters are prohibited.	(a) Portable space heaters are prohibited.	
(b) Nonportable space heaters must be well vented and installed with permanent connections and protectors.	(b) Nonportable space heaters must be well vented and installed with permanent connections and protectors.	
§ 2600.128. Supplemental heating sources.	§ 2800.128. Supplemental heating sources.	
(a) The use of kerosene burning heaters is prohibited.	(a) The use of kerosene burning heaters is prohibited.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
 (b) Wood and coal burning stoves shall be used only if a local fire department or other municipal fire safety authority, professional cleaning company or trained maintenance staff person inspects and approves them annually. Wood and coal burning stoves that are used as a regular heating source shall be cleaned every year according to the manufacturer's instructions. Documentation of wood and coal burning stove inspections and cleanings shall be kept. (c) Wood and coal burning stoves must be securely screened or equipped with protective guards while in use. 	 (b) Wood and coal burning stoves shall be used only if a local fire department or other municipal fire safety authority, professional cleaning company or trained maintenance staff person inspects and approves them annually. Wood and coal burning stoves that are used as a regular heating source shall be cleaned every year according to the manufacturer's instructions. Documentation of wood and coal burning stove inspections and cleanings shall be kept. (c) Wood and coal burning stoves must be securely screened or equipped with protective guards while in use. 	
§ 2600.129. Fireplaces.	§ 2800.129. Fireplaces.	
(a) A fireplace must be securely screened or equipped with protective guards while in use.	(a) A fireplace must be securely screened or equipped with protective guards while in use.	
(b) A fireplace chimney and flue shall be cleaned when there is an accumulation of creosote. Written documentation of the cleaning shall be kept.	(b) A fireplace chimney and flue shall be cleaned when there is an accumulation of creosote. Written documentation of the cleaning shall be kept.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(c) A fireplace chimney and flue that is used must be serviced annually and written documentation of the servicing shall be kept.	In the ALR setting, the fireplace chimney and flue must be serviced annually.
§ 2600.130. Smoke detectors and fire alarms.	§ 2800.130. Smoke detectors and fire alarms.	
(a) There shall be an operable automatic smoke detector located within 15 feet of each bedroom door.	(a) There shall be an operable automatic smoke detector <u>located in each living</u> <u>unit.</u>	In the ALR setting there must be a smoke detector in each living unit.
(b) The smoke detectors specified in subsection (a) shall be located in hallways.		
(c) Smoke detectors and fire alarms must be of a type approved by the Department of Labor and Industry, the appropriate local building authority or local fire safety expert, or listed by Underwriters Laboratories.	(b) Smoke detectors and fire alarms must be of a type approved by the Department of Labor and Industry, the appropriate local building authority or local fire safety expert, or listed by Underwriters Laboratories.	
(d) If the home serves nine or more residents, there shall be at least one smoke detector on each floor interconnected and audible throughout the home or an	(c) If the residence serves nine or more residents, there shall be at least one smoke detector on each floor interconnected and audible throughout the residence or an	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
automatic fire alarm system that is interconnected and audible throughout the home.	automatic fire alarm system that is interconnected and audible throughout the residence.	
(e) If one or more residents or staff persons are not able to hear the smoke detector or fire alarm system, a signaling device approved by a fire safety expert shall be used and tested so that each resident and staff person with a hearing impairment will be alerted in the event of a fire.	(d) If one or more residents or staff persons are not able to hear the smoke detector or fire alarm system, a signaling device approved by a fire safety expert shall be used and tested so that each resident and staff person with a hearing impairment will be alerted in the event of a fire.	
(f) Smoke detectors and fire alarms shall be tested for operability at least once per month. A written record of the monthly testing shall be kept.	(e) Smoke detectors and fire alarms shall be tested for operability at least once per month. A written record of the monthly testing shall be kept.	
(g) If a smoke detector or fire alarm becomes inoperative, repair shall be completed within 48 hours of the time the detector or alarm was found to be inoperative.	(f) If a smoke detector or fire alarm becomes inoperative, repair shall be completed within 48 hours of the time the detector or alarm was found to be inoperative.	
(h) The home's emergency procedures shall indicate the procedures that will be immediately implemented until the smoke	(g) The residence's emergency procedures <u>must</u> indicate the procedures that will be immediately implemented until the smoke	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
detector or fire alarms are operable.	detector or fire alarms are operable.	
(i) In homes housing five or more residents with mobility needs, the fire alarm system shall be directly connected to the local fire department or 24-hour monitoring service approved by the local fire department, if this service is available in the community.	(h) In residences housing five or more residents with mobility needs, the fire alarm system shall be directly connected to the local fire department or 24-hour monitoring service approved by the local fire department, if this service is available in the community.	
§ 2600.131. Fire extinguishers.	§ 2800.131. Fire extinguishers.	
(a) There shall be at least one operable fire extinguisher with a minimum 2-A rating for each floor, including the basement and attic.	(a) There shall be at least one operable fire extinguisher with a minimum 2-A rating for each floor, <u>including public</u> walkways and common living areas every 3,000 square feet, the basement and attic.	In the ALR setting there must be fire extinguishers every 3,000 square feet including public walkways and common living areas.
(b) If the indoor floor area on a floor including the basement or attic is more than 3,000 square feet, there shall be an additional fire extinguisher with a minimum 2-A rating for each additional 3,000 square feet of indoor floor space.	(b) If the indoor floor area on a floor including the basement or attic is more than 3,000 square feet, there shall be an additional fire extinguisher with a minimum 2-A rating for each additional 3,000 square feet of indoor floor space.	
(c) A fire extinguisher with a minimum 2A-10BC rating shall be located in each	(c) A fire extinguisher with a minimum 2A-10BC rating shall be located in each	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
kitchen. The kitchen extinguisher must meet the requirements for one floor as required in subsection (a).	kitchen of the residence. The kitchen extinguisher must meet the requirements for one floor as required in subsection (a).	
(d) Fire extinguishers must be listed by Underwriters Laboratories or approved by Factory Mutual Systems.	(d) Fire extinguishers must be listed by Underwriters Laboratories or approved by Factory Mutual Systems.	
(e) Fire extinguishers shall be accessible to staff persons. Fire extinguishers shall be kept locked if access to the extinguisher by a resident could cause a safety risk to the resident. If fire extinguishers are kept locked, each staff person shall be able to immediately unlock the fire extinguisher in the event of a fire emergency.	(e) Fire extinguishers shall be accessible to staff persons. Fire extinguishers shall be kept locked if access to the extinguisher by a resident could cause a safety risk to the resident. If fire extinguishers are kept locked, each staff person shall be able to immediately unlock the fire extinguisher in the event of a fire emergency.	
(f) Fire extinguishers shall be inspected and approved annually by a fire safety expert. The date of the inspection shall be on the extinguisher.	(f) Fire extinguishers shall be inspected and approved annually by a fire safety expert. The date of the inspection shall be on the extinguisher.	
§ 2600.132. Fire drills.	§ 2800.132. Fire drills.	
(a) An unannounced fire drill shall be held at least once a month.	(a) An unannounced fire drill shall be held at least once a month.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) A fire safety inspection and fire drill conducted by a fire safety expert shall be completed annually. Documentation of this fire drill and fire safety inspection shall be kept.	(b) A fire safety inspection and fire drill conducted by a fire safety expert shall be completed annually. Documentation of this fire drill and fire safety inspection shall be kept.	
(c) A written fire drill record must include the date, time, the amount of time it took for evacuation, the exit route used, the number of residents in the home at the time of the drill, the number of residents evacuated, the number of staff persons participating, problems encountered and whether the fire alarm or smoke detector was operative.	(c) A written fire drill record must include the date, time, the amount of time it took for evacuation, the exit route used, the number of residents in the residence at the time of the drill, the number of residents evacuated, the number of staff persons participating, problems encountered and whether the fire alarm or smoke detector was operative.	
(d) Residents shall be able to evacuate the entire building to a public thoroughfare, or to a fire-safe area designated in writing within the past year by a fire safety expert within the period of time specified in writing within the past year by a fire safety expert. For purposes of this subsection, the fire safety expert may not be a staff person of the home.	(d) Residents shall be able to evacuate the entire building to a public thoroughfare, or to a fire-safe area designated in writing within the past year by a fire safety expert within the period of time specified in writing within the past year by a fire safety expert. For purposes of this subsection, the fire safety expert may not be a staff person of the residence.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(e) A fire drill shall be held during sleeping hours once every 6 months.	(e) A fire drill shall be held during sleeping hours once every 6 months.	
(f) Alternate exit routes shall be used during fire drills.	(f) Alternate exit routes shall be used during fire drills.	
(g) Fire drills shall be held on different days of the week, at different times of the day and night, not routinely held when additional staff persons are present and not routinely held at times when resident attendance is low.	(g) Fire drills shall be held on different days of the week, at different times of the day and night, not routinely held when additional staff persons are present and not routinely held at times when resident attendance is low.	
(h) Residents shall evacuate to a designated meeting place away from the building or within the fire-safe area during each fire drill.	(h) Residents shall evacuate to a designated meeting place away from the building or within the fire-safe area during each fire drill.	
(i) A fire alarm or smoke detector shall be set off during each fire drill.	(i) A fire alarm or smoke detector shall be set off during each fire drill.	
(j) Elevators may not be used during a fire drill or a fire.	(j) Elevators may not be used during a fire drill or a fire.	
§ 2600.133. Exit signs.	§ 2800.133. Exit signs.	
The following requirements apply for a	The following requirements apply for a	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
home serving nine or more residents: (1) Signs bearing the word "EXIT" in plain legible letters shall be placed at all exits. (2) If the exit or way to reach the exit is not immediately visible, access to exits shall be marked with readily visible signs indicating the direction to travel. (3) Exit sign letters must be at least 6 inches in height with the principal strokes of letters at least 3/4 inch wide.	residence serving nine or more residents: (1) Signs bearing the word "EXIT" in plain legible letters shall be placed at all exits. (2) Access to exits <i>shall be marked</i> with readily visible signs indicating the direction to travel. (3) Exit sign letters must be at least 6 inches in height with the principal strokes of letters at least 3/4 inch wide.	In the ALR setting, the ALR must mark access exits with readily visible signs. This includes exits that are immediately visible.
	Resident Health	
§ 2600.141. Resident medical evaluation	§ 2800.141. Resident medical evaluation	
and health care. (a) A resident shall have a medical evaluation by a physician, physician's assistant or certified registered nurse practitioner documented on a form specified by the Department, within 60 days prior to admission or within 30 days after admission. The evaluation must include the following: (1) A general physical examination by a physician, physician's assistant or nurse practitioner.	and health care. (a) A resident shall have a medical evaluation by a physician, physician's assistant or certified registered nurse practitioner documented on a form specified by the Department, subject to the provisions of § 2800.22 (relating to application and admission). The evaluation must include the following: (1) A general physical examination by a physician, physician's assistant or nurse practitioner.	Under the ALR regulation the resident's medical evaluation must be conducted within 60 days prior to admission or within 15 days after admission if one of the following conditions applies: The resident is being admitted directly from an acute care setting. The resident is being admitted to escape from an abusive situation. The resident has no alternative living arrangement.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

	l diagnosis including physical	
or mental disabilities of the resident, if any. (3) Medical information pertinent to diagnosis and treatment in case of an emergency. (4) Special health or dietary needs of the resident. (5) Allergies. (6) Immunization history. (7) Medication regimen, contraindicated medications, medication side effects and the ability to self-administer medications. (8) Body positioning and movement stimulation for residents, if appropriate. (9) Health status. (10) Mobility assessment, updated annually or at the Department's request. or mental any. (3) Medications emergency. (4) Special resident. (5) Allergie (6) Immunication medication the ability (7) Medication regimen, contraindicated medications. (8) Body positioning and movement stimulation for residents, if appropriate. (9) Health status. (10) Mobility assessment, updated annually or at the Department's request. (11) An intest has be results with tuberculing administer.	disabilities of the resident, if al information pertinent to and treatment in case of an and treatment in case of the and to resident and to self administer medications. A contraindicated and the residents, if appropriate. A status. A contraindicated and the appropriate and the Department's request. A contraindicated with a self and the and t	In the ALR setting, the medical evaluation must indicate the results of a tuberculin skin test- taken within the past 2 years – if a positive result the evaluation must include the result of a chest x-ray. If a test was not administered the ALR must administer the test within 15 days.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) A resident shall have a medical evaluation:(1) At least annually.(2) If the medical condition of the resident changes prior to the annual medical evaluation.	(12) Information about a resident's day- to-day assisted living service needs. (b) A resident shall have a medical evaluation: (1) At least annually. (2) If the medical condition of the resident changes prior to the annual medical evaluation.	In the ALR setting the medical evaluation must indicate the resident's day-to-day service needs.
§ 2600.142. Assistance with health care.	§ 2800.142. Assistance with medical care and supplemental health care services. (a) Each residence shall demonstrate the ability to provide or arrange for the provision of supplemental health care services in a manner protective of the health, safety and well-being of its residents utilizing employees, independent contractors or contractual arrangements with other health care facilities or practitioners licensed, registered or certified to the extent required by law to provide the service.	In the ALR setting, the ALR must provide or arrange for supplemental health care services to their residents based on the needs of the residents, as indicated in the resident's support plan.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(a) The home shall assist the resident to secure medical care if a resident's health status declines. The home shall document the resident's need for the medical care, including updating the resident's assessment and support plan.	(b) The residence shall assist the resident to secure medical care and supplemental health care services. (1) The residence shall permit a resident to select or retain his primary care physician. (2) To the extent prominently displayed in the written admission agreement, a residence may require residents to use providers of supplemental health care services approved or designated by the residence. (3) The residence shall document the resident's need for the medical care, including updating the resident's assessment and support plan.	In the ALR setting, the resident is permitted to select or retain their primary care physician. The ALR may develop a network of supplemental health care providers that the resident must choose from to provide the supplemental health care services they need.
(b) If a resident refuses routine medical or dental examination or treatment, the refusal and the continued attempts to educate and inform the resident about the need for health care shall be documented in the resident's record.(c) If a resident has a serious medical or dental condition, reasonable efforts shall be made to obtain consent for treatment	 (c) If a resident refuses routine medical or dental examination or treatment, the refusal and the continued attempts to educate and inform the resident about the need for medical care shall be documented in the resident's record. (d) If a resident has a serious medical or dental condition, reasonable efforts shall be made to obtain consent for treatment 	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
from the resident or the resident's	from the resident or the resident's	
designated person.	designated person.	
(d) The home shall assist the resident to secure preventative medical, dental, vision and behavioral health care as requested by a physician, physician's assistant or certified registered nurse practitioner.	(e) The residence shall assist the resident to secure preventative medical, dental, vision and behavioral health care as requested by a physician, physician's assistant or certified registered nurse practitioner.	
§ 2600.143. Emergency medical plan.	§ 2800.143. Emergency medical plan.	
 (a) The home shall have a written emergency medical plan that includes the following: (1) The hospital or source of health care that will be used in an emergency. This shall be the resident's choice, if possible. (2) Emergency transportation to be used. (3) An emergency-staffing plan. 	(a) The residence shall have a written emergency medical plan that includes the following: (1) The hospital or source of health care that will be used in an emergency. This shall be the resident's choice, if possible. (2) Emergency transportation to be used. (3) An emergency staffing plan.	
(b) The following current emergency medical and health information shall be available at all times for each resident and shall accompany the resident when the resident needs emergency medical attention:	(b) The following current emergency medical and health information shall be available at all times for each resident and shall accompany the resident when the resident needs emergency medical attention:	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
 (1) The resident's name and birth date. (2) The resident's Social Security number. (3) The resident's medical diagnosis. (4) The resident's physician's name and telephone number. (5) Current medication, including the dosage and frequency. (6) A list of allergies. (7) Other relevant medical conditions. (8) Insurance or third party payer and identification number. (9) The power of attorney for health care or health care proxy, if applicable. (10) The resident's designated person with current address and telephone number. (11) Personal information and related instructions regarding advance directives, do not resuscitate orders or organ donation, if applicable. 	 (1) The resident's name and birth date. (2) The resident's Social Security number. (3) The resident's medical diagnosis. (4) The resident's physician's name and telephone number. (5) Current medication, including the dosage and frequency. (6) A list of allergies. (7) Other relevant medical conditions. (8) Insurance or third party payer and identification number. (9) A power of attorney for health care or health care proxy, if applicable. (10) The resident's designated person with current address and telephone number. (11) Personal information and related instructions regarding advance directives, do not resuscitate orders or organ donation, if applicable. (12) A speech, hearing or vision need which requires accommodation or awareness, such as written communication or American sign language. (13) A language need which requires accommodation or awareness, such as 	In the ALR setting, the information that must accompany the resident in the case of an emergency must include information regarding the resident's speech, language, hearing and/or vision needs.
	an interpreter of translation.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.144. Use of tobacco.	§ 2800.144. Use of tobacco.	
(a) A home may permit smoking tobacco in a designated smoking room of the home.	(a) A residence may permit smoking tobacco in a designated smoking room of the residence.	
(b) The home rules shall specify whether the home is designated as smoking or nonsmoking.	(b) The residence rules must specify whether the residence is designated as smoking or nonsmoking.	
(c) A home that permits smoking inside or outside of the home shall develop and implement written fire safety policy and procedures that include the following:	(c) A residence that permits smoking inside or outside of the residence shall develop and implement written fire safety policy and procedures that include the following:	
(1) Proper safeguards inside and outside of the home to prevent fire hazards involved in smoking, including providing fireproof receptacles and ashtrays, direct	(1) Proper safeguards inside and outside of the residence to prevent fire hazards involved in smoking, including providing fireproof receptacles and ashtrays, direct	
outside ventilation, no interior ventilation from the smoking room through other parts of the home, extinguishing procedures, fire resistant furniture both	outside ventilation, no interior ventilation from the smoking room through other parts of the residence, extinguishing procedures, fire resistant furniture both	
inside and outside the home and fire extinguishers in the smoking rooms. (2) Location of a smoking room or outside smoking area a safe distance from heat	inside and outside the residence and fire extinguishers in the smoking rooms. (2) Location of a smoking room or outside smoking area a safe distance from heat	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
sources, hot water heaters, combustible or flammable materials and away from common walkways and exits. (3) Prohibition of the use of tobacco during transportation by the home.	sources, hot water heaters, combustible or flammable materials and away from common walkways and exits. (3) Prohibition of the use of tobacco during transportation by the residence.	
(d) Smoking outside of the smoking room is prohibited.	(d) Smoking outside of the smoking room is prohibited.	
	Nutrition	
§ 2600.161. Nutritional adequacy.	§ 2800.161. Nutritional adequacy.	
 (a) Meals shall be offered that meet the recommended dietary allowances established by the United States Department of Agriculture. (b) At least three nutritionally well-balanced meals shall be offered daily to 	 (a) Meals shall be offered that meet the recommended dietary allowances established by the United States Department of Agriculture. (b) At least three nutritionally well-balanced meals shall be offered daily to 	
the resident. Each meal shall include an alternative food and drink item from which the resident may choose.	the resident. Each meal shall include an alternative food and drink item from which the resident may choose.	
(c) Additional portions of meals and beverages at mealtimes shall be available for the resident.	(c) Additional portions of meals and beverages at mealtimes shall be available for the resident.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
 (d) A resident's special dietary needs as prescribed by a physician, physician's assistant, certified registered nurse practitioner or dietitian shall be met. Documentation of the resident's special dietary needs shall be kept in the resident's record. (e) Dietary alternatives shall be available for a resident who has special health needs or religious beliefs regarding dietary 	 (d) A resident's special dietary needs as prescribed by a physician, physician's assistant, certified registered nurse practitioner or dietitian shall be met. Documentation of the resident's special dietary needs shall be kept in the resident's record. (e) Dietary alternatives shall be available for a resident who has special health needs or religious beliefs regarding dietary 	
restrictions. (f) Drinking water shall be available to the residents at all times.	restrictions. (f) Drinking water shall be available to the resident at all times.	
	(g) Between-meal snacks and beverages shall be available at all times for each resident, unless medically contraindicated as documented in the resident's support plan.	In the ALR setting, snacks and beverages must be made available to residents at all times.
	(h) Residents have the right to purchase groceries and prepare their own food in addition to the three meal plan required in § 2800.220(b) (relating to service provision) in their living units unless it	In the ALR setting, in addition to the 3 meals the ALR must provide, the residents have the right to purchase groceries and prepare their food.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	would be unsafe for them to do so consistent with their support plan.	
§ 2600.162. Meals.	§ 2800.162. Meals.	
(a) There may not be more than 15 hours between the evening meal and the first meal of the next day. There may not be more than 6 hours between breakfast and lunch, and between lunch and supper. This requirement does not apply if a resident's physician has prescribed otherwise.	(a) There may not be more than 15 hours between the evening meal and the first meal of the next day. There may not be more than 6 hours between breakfast and lunch, and between lunch and supper. This requirement does not apply if a resident's physician has prescribed otherwise.	
(b) When a resident misses a meal, food adequate to meet daily nutritional requirements shall be available and offered to the resident.	(b) When a resident misses a meal, food adequate to meet daily nutritional requirements shall be available and offered to the resident.	
(c) Menus, stating the specific food being served at each meal, shall be prepared for 1 week in advance and shall be followed. Weekly menus shall be posted 1 week in advance in a conspicuous and public place in the home.	(c) Menus, stating the specific food being served at each meal, shall be prepared for 1 week in advance and shall be followed. Weekly menus shall be posted 1 week in advance in a conspicuous and public place in the residence.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(d) Past menus of meals that were served, including changes, shall be kept for at least 1 month.	(d) Past menus of meals that were served, including changes, shall be kept for at least 1 month.	
(e) A change to a menu shall be posted in a conspicuous and public place in the home and shall be accessible to a resident in advance of the meal. Meal substitutions shall be made in accordance with § 2600.161 (relating to nutritional adequacy).	(e) A change to a menu shall be posted in a conspicuous and public place in the residence and shall be accessible to a resident in advance of the meal. Meal substitutions shall be made in accordance with § 2800.161 (relating to nutritional adequacy).	
	(f) A resident shall receive adequate physical assistance with eating or be provided with appropriate adaptive devices, or both, as indicated in the resident's support plan. (g) Appropriate cueing shall be used to encourage and remind residents to eat and drink, as indicated in the resident's support plan.	In the ALR setting, the ALR must provide the resident adequate physical assistance with eating and appropriate cueing to encourage and remind the resident to eat and drink.
§ 2600.163. Personal hygiene for food service workers.	§ 2800.163. Personal hygiene for food service workers.	
(a) Staff persons, volunteers and residents involved in the storage, preparation,	(a) Staff persons, volunteers and residents involved in the storage, preparation,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
serving and distributing of food shall wash their hands with hot water and soap prior to working in the kitchen areas and after using the bathroom.	serving and distributing of food shall wash their hands with hot water and soap prior to working in the kitchen areas and after using the bathroom.	
(b) Staff persons, volunteers and residents shall follow sanitary practices while working in the kitchen areas.	(b) Staff persons, volunteers and residents shall follow sanitary practices while working in the kitchen areas.	
(c) Staff persons, volunteers and residents involved with the storage, preparation, serving and distributing of food shall be in good health.	(c) Staff persons, volunteers and residents involved with the storage, preparation, serving and distributing of food shall be in good health.	
(d) Staff persons, volunteers and residents who have a discharging or infected wound, sore, lesion on hands, arms or any exposed portion of their body may not work in the kitchen areas in any capacity.	(d) Staff persons, volunteers and residents who have a discharging or infected wound, sore, lesion on hands, arms or any exposed portion of their body may not work in the kitchen areas in any capacity.	
§ 2600.164. Withholding or forcing of food prohibited.	§ 2800.164. Withholding or forcing of food prohibited.	
(a) A home may not withhold meals, beverages, snacks or desserts as punishment. Food and beverages may be withheld in accordance with prescribed	(a) A residence may not withhold meals, beverages, snacks or desserts as punishment. Food and beverages may be withheld in accordance with prescribed	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
medical or dental procedures.	medical or dental procedures.	
(b) A resident may not be forced to eat food.	(b) A resident may not be forced to eat food.	
(c) If a resident refuses to eat or drink continuously during a 24-hour period, the resident's primary care physician and the resident's designated person shall be immediately notified.	(c) If a resident refuses to eat or drink continuously during a 24-hour period, the resident's primary care physician and the resident's designated person shall be immediately notified.	
(d) If a resident has a cognitive impairment that affects the resident's ability to consume adequate amounts of food and water, a staff person shall encourage and remind the resident to eat and drink.	(d) If a resident has a cognitive impairment that affects the resident's ability to consume adequate amounts of food and water, a staff person shall encourage and remind the resident to eat and drink.	
	Transportation	
§ 2600.171. Transportation.	§ 2800.171. Transportation.	
(a) A home may not be required to provide transportation.	(a) A residence shall be required to provide or arrange for transportation on a regular weekly basis that permits residents to schedule medical and social appointments within a reasonable local area.	In the ALR setting, ALRs are required to provide or arrange for transportation on a regular weekly basis – allowing residents to schedule medical and social appointments within a reasonable local area.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) The following requirements apply	(b) The following requirements apply	
whenever staff persons or volunteers of	whenever staff persons or volunteers of	
the home provide transportation for the	the residence provide transportation for	
resident:	the resident:	
(1) The occupants of the vehicle shall be	(1) The occupants of the vehicle shall be	
in an appropriate safety restraint at all	in an appropriate safety restraint at all	
times the vehicle is in motion.	times the vehicle is in motion.	
(2) The driver of a vehicle shall be 18	(2) The driver of a vehicle shall be 18	
years of age or older and possess a valid	years of age or older and possess a valid	
driver's license.	driver's license.	
(3) The driver of the home vehicle cannot	(3) The driver of the residence vehicle	
be a resident.	cannot be a resident.	
(4) At least one staff member transporting	(4) At least one staff member transporting	
or accompanying the residents shall have	or accompanying the residents shall have	
completed the initial new hire direct care	completed the initial new hire direct care	
staff person training as specified in	staff person training as specified in §	
§ 2600.65 (relating to direct care staff	2800.65 (relating to staff orientation and	
training and orientation).	direct care staff person training and	
	orientation).	
(5) The vehicle must have a first aid kit	(5) The vehicle must have a first aid kit	
with the contents as specified in	with the contents as specified in § 2800.96	In the ALR setting, the ALR has the
§ 2600.96 (relating to first aid kit).	(relating to first aid kit). <i>The inclusion of</i>	option whether or not to include an
	an automatic external defibrillation	automatic external defibrillation device in
	device in a vehicle is optional.	the vehicle used to transport residents.
(6) During vehicle operations, the	(6) During vehicle operations, the driver	
driver may only use a hands-free cellular	may only use a hands-free cellular	
telephone.	telephone.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(7) Transportation shall include, when necessary, an assistant to the driver who assists the driver to escort residents in and out of the home and provides assistance during the trip.	(7) Transportation must include, when necessary, an assistant to the driver who assists the driver to escort residents in and out of the residence and provides assistance during the trip.	
 (c) The home shall maintain current copies of the following documentation for each of the home's vehicles used to transport residents: (1) Vehicle registration. (2) Valid driver's license for vehicle operator. (3) Vehicle insurance. (4) Current inspection. (5) Commercial driver's license for vehicle operator if applicable. 	 (c) The residence shall maintain current copies of the following documentation for each of the residence's vehicles used to transport residents: (1) Vehicle registration. (2) Valid driver's license for vehicle operator. (3) Vehicle insurance. (4) Current inspection. (5) Commercial driver's license for vehicle operator if applicable. 	
(d) The home shall assist a resident with the coordination of transportation to and from medical appointments, if requested by the resident, or if indicated in the resident's support plan.	(d) If a residence supplies its own vehicles for transporting residents to and from medical and social appointments, a minimum of one vehicle used for this purpose shall be accessible to resident wheelchair users and any other assistive equipment the resident may need. (1) The residence shall schedule a pickup time to transport the resident to the	In the ALR setting, if the ALR uses its own vehicle to transport residents, at least one of the vehicles must be wheelchair accessible and support any other assistive equipment the residents may need. In the ALR setting, if the ALR uses its own vehicle to transport residents, the

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	medical or social appointment. The residence shall make every reasonable effort to pick-up the resident within 15 minutes before or after the scheduled	pick-up time to transport the resident and the timing to pick-up the resident after the appointment must meet certain requirements- as defined in the regulatory
	pick-up time. (2) The resident may not be dropped off at the medical or social appointment more that 1 hour prior to the time of the	language contained in Subsection (d).
	appointment. (3) The residence shall make every reasonable effort to pick-up a resident from a medical appointment no later	
	than 1 hour after the medical appointment. (4) The residence shall make every reasonable effort to pick-up a resident	
	from a social appointment no later than 1 hour after the end of the social appointment.	In the ALD cotting if the ALD common
	(e) If a residence arranges for transportation for residents to and from medical and social appointments the	In the ALR setting, if the ALR arranges for resident transportation, the pick-up time to transport the resident and the timing to pick –up the resident after the
	following apply: (1) The residence shall schedule a pick- up time for the resident to be transported to the medical or social appointment. The	appointment must meet certain requirements- as defined in the regulatory language contained in Subsection (e).

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	residence shall make every reasonable effort for a resident to be picked-up within 15 minutes before or after the scheduled pick-up time. (2) The residence shall make every reasonable effort for a resident to not be dropped off at the medical or social appointment more that 1 hour prior to the time of the appointment. (3) The residence shall make every reasonable effort for a resident to be picked-up from the medical appointment no later than 1 hour after the medical appointment. (4) The residence shall make every reasonable effort for a resident to be picked-up from the social appointment no later than 1 hour after the end of the social appointment.	
	Medications	
§ 2600.181. Self-administration.	§ 2800.181. Self-administration.	
(a) A home shall provide residents with assistance, as needed, with medication prescribed for the resident's self-administration. This assistance includes	(a) A residence shall provide residents with assistance, as needed, with medication prescribed for the resident's self-administration. This assistance	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
helping the resident to remember the schedule for taking the medication, storing the medication in a secure place and offering the resident the medication at the prescribed times.	includes helping the resident to remember the schedule for taking the medication, storing the medication in a secure place and offering the resident the medication at the prescribed times.	
(b) If assistance includes helping the resident to remember the schedule for taking the medication, the resident shall be reminded of the prescribed schedule.	(b) If assistance includes helping the resident to remember the schedule for taking the medication, the resident shall be reminded of the prescribed schedule. Appropriate cueing shall be used to remind residents to take their medication.	In the ALR setting, the ALR must provide appropriate cueing to residents to remind them to take their medication.
(c) The resident's assessment shall identify if the resident is able to self-administer medications as specified in § 2600.227(e) (relating to development of the support plan). A resident who desires to self-administer medications shall be assessed by a physician, physician's assistant or certified registered nurse practitioner regarding the ability to self-administer and the need for medication reminders.	(c) The resident's assessment shall identify if the resident is able to self-administer medications as specified in § 2800.227(e) (relating to development of the final support plan). A resident who desires to self-administer medications shall be assessed by a physician, physician's assistant or certified registered nurse practitioner regarding the ability to self-administer and the need for medication reminders.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(d) If the resident does not need assistance with medication, medication may be stored in a resident's room for self-administration. Medications stored in the resident's room shall be kept locked in a safe and secure location to protect against contamination, spillage and theft.	(d) If the resident does not need assistance with medication, medication may be stored in a resident's living unit for self-administration. Medications stored in the resident's living unit shall be kept in a safe and secure location to protect against contamination, spillage and theft. <u>The residence shall provide a lockable storage unit for this purpose.</u>	In the ALR setting, the ALR must provide the resident with a lockable storage box to store their medication in their living unit, if the resident doesn't need assistance with their medications.
 (e) To be considered capable to self-administer medications, a resident shall: (1) Be able to recognize and distinguish his medication. (2) Know how much medication is to be taken. (3) Know when medication is to be taken. (f) The resident's record shall include a current list of prescription, CAM and OTC medications for each resident who is self-administering his medication. 	 (e) To be considered capable to self-administer medications, a resident shall: (1) Be able to recognize and distinguish his medication. (2) Know how much medication is to be taken. (3) Know when medication is to be taken. (f) The resident's record shall include a current list of prescription, CAM and OTC medications for each resident who is self-administering his medication. 	
§ 2600.182. Medication administration.	§ 2800.182. Medication administration.	
(a) A home may provide medication administration services for a resident who	(a) A residence <i>shall provide medication administration services</i> for a resident who	In the ALR, the ALR <i>must</i> provide medication administration services to

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
is assessed to need medication administration services in accordance with § 2600.181 (relating to self-administration) and for a resident who chooses not to self-administer medications. If a home does not provide medication administration services, the resident shall be referred to an appropriate assessment agency.	is assessed to need medication administration services in accordance with § 2800.181 (relating to self-administration) and for a resident who chooses not to self-administer medications.	residents who either need medication administration or request it.
(b) Prescription medication that is not self-administered by a resident shall be administered by one of the following: (1) A physician, licensed dentist, licensed physician's assistant, registered nurse, certified registered nurse practitioner, licensed practical nurse or licensed paramedic.	(b) Prescription medication that is not self-administered by a resident shall be administered by one of the following: (1) A physician, licensed dentist, licensed physician's assistant, RN, certified registered nurse practitioner, LPN or licensed paramedic.	
 (2) A graduate of an approved nursing program functioning under the direct supervision of a professional nurse who is present in the home. (3) A student nurse of an approved nursing program functioning under the direct supervision of a member of the nursing school faculty who is present in the home. 	 (2) A graduate of an approved nursing program functioning under the direct supervision of a professional nurse who is present in the residence. (3) A student nurse of an approved nursing program functioning under the direct supervision of a member of the nursing school faculty who is present in the residence. 	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(4) A staff person who has completed the medication administration training as	(4) A staff person who has completed the medication administration training as	
specified in § 2600.190 (relating to	specified in § 2800.190 (relating to	
medication administration training) for the	medication administration training) for the	
administration of oral; topical; eye, nose	administration of oral; topical; eye, nose	
and ear drop prescription medications;	and ear drop prescription medications;	
insulin injections and epinephrine	insulin injections and epinephrine	
injections for insect bites or other	injections for insect bites or other	
allergies.	allergies.	
(c) Medication administration includes the	(c) Medication administration includes the	
following activities, based on the needs of	following activities, based on the needs of	
the resident:	the resident:	
(1) Identify the correct resident.	(1) Identify the correct resident.	
(2) If indicated by the prescriber's orders,	(2) If indicated by the prescriber's orders, measure vital signs and administer	
measure vital signs and administer medications accordingly.	medications accordingly.	
(3) Remove the medication from the	(3) Remove the medication from the	
original container.	original container.	
(4) Crush or split the medication as	(4) Crush or split the medication as	
ordered by the prescriber.	ordered by the prescriber.	
(5) Place the medication in a medication	(5) Place the medication in a medication	
cup or other appropriate container, or in	cup or other appropriate container, or in	
the resident's hand.	the resident's hand.	
(6) Place the medication in the resident's	(6) Place the medication in the resident's	
hand, mouth or other route as ordered by	hand, mouth or other route as ordered by	
the prescriber, in accordance with the	the prescriber, in accordance with the	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
limitations specified in subsection (b)(4). (7) Complete documentation in accordance with § 2600.187 (relating to medication records).	limitations specified in subsection (b)(4). (7) Complete documentation in accordance with § 2800.187 (relating to medication records).	
§ 2600.183. Storage and disposal of medications and medical supplies.	§ 2800.183. Storage and disposal of medications and medical supplies.	
(a) Prescription medications, OTC medications and CAM shall be kept in their original labeled containers and may not be removed more than 2 hours in advance of the scheduled administration. Assistance with insulin and epinephrine injections and sterile liquids shall be provided immediately upon removal of the medication from its container.	(a) Prescription medications, OTC medications and CAM shall be kept in their original labeled containers and may not be removed more than 2 hours in advance of the scheduled administration. Assistance with insulin and epinephrine injections and sterile liquids shall be provided immediately upon removal of the medication from its container.	
(b) Prescription medications, OTC medications, CAM and syringes shall be kept in an area or container that is locked. This includes medications and syringes kept in the resident's room.	(b) Prescription medications, OTC medications, CAM and syringes shall be kept in an area or container that is locked. This includes medications and syringes unless kept in the resident's living unit.	
(c) Prescription medications, OTC medications and CAM stored in a refrigerator shall be kept in an area or	(c) Prescription medications, OTC medications and CAM stored in a refrigerator shall be kept in an area or	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
container that is locked.	container that is locked <u>unless the</u> <u>resident has the capacity to store the</u> <u>medications in the resident's own</u> <u>refrigerator in the resident's living unit.</u>	In the ALR setting, the residents may store their medications in their own refrigerator in their living unit.
(d) Only current prescription, OTC, sample and CAM for individuals living in the home may be kept in the home.	(d) Only current prescription, OTC medications, sample and CAM for individuals living in the residence may be kept in the residence.	
(e) Prescription medications, OTC medications and CAM shall be stored in an organized manner under proper conditions of sanitation, temperature, moisture and light and in accordance with the manufacturer's instructions.	(e) Prescription medications, OTC medications and CAM shall be stored in an organized manner under proper conditions of sanitation, temperature, moisture and light and in accordance with the manufacturer's instructions.	
(f) Prescription medications, OTC medications and CAM that are discontinued, expired or for residents who are no longer served at the home shall be destroyed in a safe manner according to the Department of Environmental Protection and Federal and State regulations. When a resident permanently leaves the home, the resident's medications shall be given to the resident,	(f) Prescription medications, OTC medications and CAM that are discontinued, expired or for residents who are no longer served at the residence shall be destroyed in a safe manner according to the Department of Environmental Protection and Federal and State regulations. When a resident permanently leaves theresidence, the resident's medications shall be given to the resident,	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
the designated person, if any, or the person or entity taking responsibility for the new placement on the day of departure from the home.	the designated person, if any, or the person or entity taking responsibility for the new placement on the day of departure from the residence.	
(g) Subsections (a) and (e) do not apply to a resident who self-administers medication and stores the medication in his room.	(g) Subsections (a) and (e) do not apply to a resident who self-administers medication and stores the medication in his living unit.	
§ 2600.184. Labeling of medications.	§ 2800.184. Labeling of medications.	
 (a) The original container for prescription medications shall be labeled with a pharmacy label that includes the following: (1) The resident's name. (2) The name of the medication. (3) The date the prescription was issued. (4) The prescribed dosage and instructions for administration. (5) The name and title of the prescriber. 	 (a) The original container for prescription medications must be labeled with a pharmacy label that includes the following: (1) The resident's name. (2) The name of the medication. (3) The date the prescription was issued. (4) The prescribed dosage and instructions for administration. (5) The name and title of the prescriber. 	
(b) If the OTC medications and CAM belong to the resident, they shall be identified with the resident's name.	(b) If the OTC medications and CAM belong to the resident, they must be identified with the resident's name.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(c) Sample prescription medications shall have written instructions from the prescriber that include the components specified in subsection (a).	(c) Sample prescription medications must have written instructions from the prescriber that include the components specified in subsection (a).	
§ 2600.185. Accountability of medication and controlled substances.	§ 2800.185. Accountability of medication and controlled substances.	
(a) The home shall develop and implement procedures for the safe storage, access, security, distribution and use of medications and medical equipment by trained staff persons.	(a) The residence shall develop and implement procedures for the safe storage, access, security, distribution and use of medications and medical equipment by trained staff persons.	
(b) At a minimum, the procedures must include:(1) Documentation of the receipt of controlled substances and prescription medications.	(b) At a minimum, the procedures must include:(1) Documentation of the receipt of controlled substances and prescription medications.	
(2) A process to investigate and account for missing medications and medication errors.	(2) A process to investigate and account for missing medications and medication errors.	
(3) Limited access to medication storage areas.(4) Documentation of the administration of prescription medications, OTC medications and CAM for residents who	(3) Limited access to medication storage areas.(4) Documentation of the administration of prescription medications, OTC medications and CAM for residents who	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
receive medication administration services or assistance with self-administration. This requirement does not apply to a resident who self-administers medication without the assistance of a staff person and stores the medication in his room.	receive medication administration services or assistance with self-administration. This requirement does not apply to a resident who self-administers medication without the assistance of a staff person and stores the medication in his living unit. (5) To the extent indicated in the resident's support plan, the residence shall obtain prescribed medication for residents and keep an adequate supply of resident medication on hand at all times.	In the ALR setting, the ALR must keep an adequate supply of their residents' medication on hand at all times, if indicated in the resident's support plan.
§ 2600.186. Prescription medications.	§ 2800.186. Prescription medications.	
(a) Each prescription medication must be prescribed in writing by an authorized prescriber. Prescription orders shall be kept current.	(a) Each prescription medication must be prescribed in writing by an authorized prescriber. Prescription orders shall be kept current.	
(b) Prescription medications shall be used only by the resident for whom the prescription was prescribed.	(b) Prescription medications shall be used only by the resident for whom the prescription was prescribed.	
(c) Changes in medication may only be made in writing by the prescriber, or in the case of an emergency, an alternate	(c) Changes in medication may only be made in writing by the prescriber, or in the case of an emergency, an alternate	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
prescriber, except for circumstances in which oral orders may be accepted by nurses in accordance with regulations of	prescriber, except for circumstances in which oral orders may be accepted by nurses in accordance with regulations of	
the Department of State. The resident's medication record shall be updated as	the Department of State. The resident's medication record shall be updated	
soon as the home receives written notice of the change.	as soon as the residence receives written notice of the change.	
§ 2600.187. Medication records.	§ 2800.187. Medication records.	
(a) A medication record shall be kept to	(a) A medication record shall be kept to	
include the following for each resident for	include the following for each resident for	
whom medications are administered:	whom medications are administered:	
(1) Resident's name.	(1) Resident's name.	
(2) Drug allergies.	(2) Drug allergies.	
(3) Name of medication.	(3) Name of medication.	
(4) Strength.	(4) Strength.	
(5) Dosage form.	(5) Dosage form.	
(6) Dose.	(6) Dose.	
(7) Route of administration.	(7) Route of administration.	
(8) Frequency of administration.	(8) Frequency of administration.	
(9) Administration times.	(9) Administration times.	
(10) Duration of therapy, if applicable.	(10) Duration of therapy, if applicable.	
(11) Special precautions, if applicable.	(11) Special precautions, if applicable.	
(12) Diagnosis or purpose for the	(12) Diagnosis or purpose for the	
medication, including pro re nata (PRN).	medication, including pro re nata (PRN).	
(13) Date and time of medication	(13) Date and time of medication	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
administration. (14) Name and initials of the staff person administering the medication.	administration. (14) Name and initials of the staff person administering the medication.	
(b) The information in subsection (a)(13) and (14) shall be recorded at the time the medication is administered.	(b) The information in subsection (a)(13) and (14) shall be recorded at the time the medication is administered.	
(c) If a resident refuses to take a prescribed medication, the refusal shall be documented in the resident's record and on the medication record. The refusal shall be reported to the prescriber within 24 hours, unless otherwise instructed by the prescriber. Subsequent refusals to take a prescribed medication shall be reported as required by the prescriber.	(c) If a resident refuses to take a prescribed medication, the refusal shall be documented in the resident's record and on the medication record. The refusal shall be reported to the prescriber within 24 hours, unless otherwise instructed by the prescriber. Subsequent refusals to take a prescribed medication shall be reported as required by the prescriber.	
(d) The home shall follow the directions of the prescriber.	(d) The residence shall follow the directions of the prescriber.	
§ 2600.188. Medication errors.	§ 2800.188. Medication errors.	
(a) Medication errors include the following:(1) Failure to administer a medication.	(a) Medication errors include the following:(1) Failure to administer a medication.	
(2) Administration of the wrong	(2) Administration of the wrong	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
medication. (3) Administration of the wrong amount of medication. (4) Failure to administer a medication at the prescribed time. (5) Administration to the wrong resident. (6) Administration through the wrong route.	medication. (3) Administration of the wrong amount of medication. (4) Failure to administer a medication at the prescribed time. (5) Administration to the wrong resident. (6) Administration through the wrong route.	
(b) A medication error shall be immediately reported to the resident, the resident's designated person and the prescriber.	(b) A medication error shall be immediately reported to the resident, the resident's designated person and the prescriber.	
(c) Documentation of medication errors and the prescriber's response shall be kept in the resident's record.	(c) Documentation of medication errors and the prescriber's response shall be kept in the resident's record.	
(d) There shall be a system in place to identify and document medication errors and the home's pattern of error.	(d) There shall be a system in place to identify and document medication errors and the residence's pattern of error.	
(e) There shall be documentation of the follow-up action that was taken to prevent future medication errors.	(e) There shall be documentation of the follow-up action that was taken to prevent future medication errors.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.189. Adverse reaction.	§ 2800.189. Adverse reaction.	
(a) If a resident has a suspected adverse reaction to a medication, the home shall immediately consult a physician or seek emergency medical treatment. The resident's designated person shall be notified, if applicable.	(a) If a resident has a suspected adverse reaction to a medication, the residence shall immediately consult a physician or seek emergency medical treatment. The resident's designated person shall be notified, if applicable.	
(b) The home shall document adverse reactions, the prescriber's response and any action taken in the resident's record.	(b) The residence shall document adverse reactions, the prescriber's response and any action taken in the resident's record.	
§ 2600.190. Medication administration training.	§ 2800.190. Medication administration training.	
(a) A staff person who has successfully completed a Department-approved medications administration course that includes the passing of the Department's performance-based competency test within the past 2 years may administer oral; topical; eye, nose and ear drop prescription medications and epinephrine injections for insect bites or other allergies.	(a) A staff person who has successfully completed a Department-approved medications administration course that includes the passing of the Department's performance-based competency test within the past 2 years may administer oral; topical; eye, nose and ear drop prescription medications and epinephrine injections for insect bites or other allergies.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) A staff person is permitted to administer insulin injections following successful completion of a Department-approved medications administration course that includes the passing of a written performance-based competency test within the past 2 years, as well as successful completion of a Department-approved diabetes patient education program within the past 12 months. (c) A record of the training shall be kept including the staff person trained, the date, source, name of trainer and documentation that the course was successfully completed.	 (b) A staff person is permitted to administer insulin injections following successful completion of a Department-approved medications administration course that includes the passing of a written performance-based competency test within the past 2 years, as well as successful completion of a Department-approved diabetes patient education program within the past 12 months. (c) A record of the training shall be kept including the staff person trained, the date, source, name of trainer and documentation that the course was successfully completed. 	
§ 2600.191. Resident education. The home shall educate the resident of the right to question or refuse a medication if the resident believes there may be a medication error. Documentation of this resident education shall be kept.	§ 2800.191. Resident education. The residence shall educate the resident of the right to question or refuse a medication if the resident believes there may be a medication error. Documentation of this resident education shall be kept.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
Safe Management Techniques		
§ 2600.201. Safe management techniques.	§ 2800.201. Safe management techniques.	
The home shall use positive interventions to modify or eliminate a behavior that endangers the resident himself or others. Positive interventions include improving communications, reinforcing appropriate behavior, redirection, conflict resolution, violence prevention, praise, deescalation techniques and alternative techniques or methods to identify and defuse potential emergency situations.	The residence shall use positive interventions to modify or eliminate a behavior that endangers the resident himself or others. Positive interventions include improving communications, reinforcing appropriate behavior, redirection, conflict resolution, violence prevention, praise, deescalation techniques and alternative techniques or methods to identify and defuse potential emergency situations.	
§ 2600.202. Prohibitions.	§ 2800.202. Prohibitions.	
The following procedures are prohibited: (1) Seclusion, defined as involuntary confinement of a resident in a room from which the resident is physically prevented from leaving, is prohibited. This does not include the admission of a resident in a secured dementia care unit in accordance with § 2600.231 (relating to admission).	The following procedures are prohibited: (1) Seclusion, defined as involuntary confinement of a resident in a room <u>or</u> <u>living unit</u> from which the resident is physically prevented from leaving, is prohibited. This does not include the admission of a resident in a secured dementia care unit in accordance with § 2800.231 (relating to admission).	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(2) Aversive conditioning, defined as the	(2) Aversive conditioning, defined as the	
application of startling, painful or noxious	application of startling, painful or noxious	
stimuli, is prohibited.	stimuli, is prohibited.	
(3) Pressure point techniques, defined as	(3) Pressure point techniques, defined as	
the application of pain for the purpose of	the application of pain for the purpose of	
achieving compliance, is prohibited.	achieving compliance, is prohibited.	
(4) A chemical restraint, defined as use of	(4) A chemical restraint, defined as use of	
drugs or chemicals for the specific and	drugs or chemicals for the specific and	
exclusive purpose of controlling acute or	exclusive purpose of controlling acute or	
episodic aggressive behavior, is	episodic aggressive behavior, is	
prohibited. A chemical restraint does not	prohibited. A chemical restraint does not	
include a drug ordered by a physician or	include a drug ordered by a physician or	
dentist to treat the symptoms of a specific	dentist to treat the symptoms of a specific	
mental, emotional or behavioral condition,	mental, emotional or behavioral condition,	
or as pretreatment prior to a medical or	or as pretreatment prior to a medical or	
dental examination or treatment.	dental examination or treatment.	
(5) A mechanical restraint, defined as a	(5) A mechanical restraint, defined as a	
device that restricts the movement or	device that restricts the movement or	
function of a resident or portion of a	function of a resident or portion of a	
resident's body, is prohibited. Mechanical	resident's body, is prohibited. Mechanical	
restraints include geriatric chairs,	restraints include geriatric chairs,	
handcuffs, anklets, wristlets, camisoles,	handcuffs, anklets, wristlets, camisoles,	
helmet with fasteners, muffs and mitts	helmet with fasteners, muffs and mitts	
with fasteners, poseys, waist straps, head	with fasteners, poseys, waist straps,	
straps, papoose boards, restraining sheets,	head straps, papoose boards, restraining	
chest restraints and other types of locked	sheets, chest restraints and other types of	
restraints. A mechanical restraint does not	locked restraints. A mechanical restraint	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
include a device used to provide support for the achievement of functional body position or proper balance that has been prescribed by a medical professional as long as the resident can easily remove the device.	does not include a device used to provide support for the achievement of functional body position or proper balance that has been prescribed by a medical professional as long as the resident can easily remove the device or the resident or his designee understands the need for the device and consents to its use.	In the ALR setting, the ALR may use a device to provide support for the achievement of functional body position or proper balance – prescribed by a medical professional – as long as the resident can easily remove the device OR the resident OR his designee consents to the use of the device and understands the need.
(6) A manual restraint, defined as a hands- on physical means that restricts, immobilizes or reduces a resident's ability to move his arms, legs, head or other body parts freely, is prohibited. A manual restraint does not include prompting, escorting or guiding a resident to assist in the ADLs or IADLs.	(6) A manual restraint, defined as a hands- on physical means that restricts, immobilizes or reduces a resident's ability to move his arms, legs, head or other body parts freely, is prohibited. A manual restraint does not include prompting, escorting or guiding a resident to assist in the ADLs or IADLs.	
Bed Rail Provisions in LMI under § 2600.202. Use of any bed rail length longer than ½ the length of the bed is considered a restraint, and is prohibited. This also includes mesh bedside guards. Use of	§ 2800.203. Bedside rails. (a) Bedside rails may not be used unless the resident can raise and lower the rails on his own. Bedside rails may not be used to keep a resident in bed. Use of any length rail longer than half the length of the bed is considered a restraint and is prohibited. Use of more than one rail on	In the ALR setting, the use of bedside rails are permitted if the requirements and conditions set forth in § 2800.203 are met.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
more than one rail on the same side of the	the same side of the bed is not permitted.	
bed is prohibited.	(b) Half-length rails are permitted only if	
	the following conditions are met:	
	(1) The resident's assessment or support	
	plan, or both, addresses the medical	
	symptoms necessitating the use of half-	
	length rails and the health and safety	
	protection necessary in order to safely	
	use half-length rails. (2) The residence has attempted to use	
	less restrictive alternatives.	
	(3) The resident or legal representative	
	consented to the use of half-length rails	
	after the risk, benefits and alternatives	
	were explained.	
	Services	
Chapter 2600 – No corresponding	§ 2800.220. Service provision.	
section.		
	(a) Services. The residence shall provide	In the ALR setting, the ALR must be able
	assisted living services as specified in	to provide assisted living services as
	subsection (b). The residence shall offer	provided for in subsection (b).
	and provide the core service packages	The ALD must offen on Independent Com-
	specified in subsection (c). The residence	The ALR must offer an Independent Core
	shall provide or arrange for the provision	Service Package and an Enhanced Core
	of supplemental health care services as	Service Package which include the

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services directly or under arrangements	Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
mutually agreed upon charge or fee between the residence, resident and other individual or agency. These other services shall be supplemental to the assisted living services provided by the residence and do not supplant them. out of services – meals, housekeeping, and/or laundry as outlined in subsection (d). The ALR must provide or arrange for supplemental health care services as	Personal Care Home Regulation	specified in subsection (e). Other individuals or agencies may furnish services directly or under arrangements with the residence in accordance with a mutually agreed upon charge or fee between the residence, resident and other individual or agency. These other services shall be supplemental to the assisted living services provided by the residence and do not supplant them. (b) Assisted living services. The residence shall, at a minimum, provide the following services: (1) Nutritious meals and snacks in accordance with §§ 2800.161 and 2800.162 (relating to nutritional adequacy; and meals). (2) Laundry services in accordance with § 2800.105 (relating to laundry). (3) A daily program of social and recreational activities in accordance with § 2800.221 (relating to activities program). (4) Assistance with performing ADLs and IADLs in accordance with §§	provision of certain assisted living services as provided for in subsection (c). The ALR must permit a resident to optout of services – meals, housekeeping, and/or laundry as outlined in subsection (d). The ALR must provide or arrange for supplemental health care services as provided for in subsection (e). The ALR may contract with other providers to provide these services to their residents. The ALR may develop a network of supplemental providers that the resident

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	activities; and personal hygiene). (5) Assistance with self-administration of medication or medication administration as indicated in the resident's assessment and support plan in accordance with §§ 2800.181 and 2800.182 (relating to self administration; and medication administration). (6) Housekeeping services essential for the health, safety and comfort of the resident based upon the resident's needs and preferences. (7) Transportation in accordance with § 2800.171 (relating to transportation). (8) Financial management in accordance with § 2800.20 (relating to financial management). (9) 24-hour supervision, monitoring and emergency response. (10) Activities and socialization. (11) Basic cognitive support services as defined in § 2800.4 (relating to definitions).	
	(c) Core service packages. The residence shall, at a minimum, provide the following core service packages:	The ALR must provide the Independent Core Package to residents that do not require ADL assistance or that may have a

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(1) Independent Core Package. This core	need for assistance with unanticipated
	package shall be provided to residents	ADLs for a defined recovery period. See
	who do not require assistance with	Paragraph (c)(1) for the list of services.
	ADLs. The services must include the	
	following:	
	(i) 24-hour supervision, monitoring and	
	emergency response.	
	(ii) Nutritious meals and snacks in	
	accordance with §§ 2800.161 and	
	<u>2800.162.</u>	
	(iii) Housekeeping services essential for	
	the health, safety and comfort of the	
	resident based upon the resident's needs	
	and preferences.	
	(iv) Laundry services in accordance with	
	<u>§ 2800.105.</u>	
	(v) Assistance with unanticipated ADLs	
	for a defined recovery period.	
	(vi) A daily program of social and	
	recreational activities in accordance with	
	<u>§ 2800.221.</u>	
	(vii) Basic cognitive support services as	
	<u>defined in § 2800.4.</u>	
	(2) Enhanced Core Package. This core	The ALR must provide the Enhanced
	package shall be available to residents	Core Package to residents that require
	who require assistance with ADLs. The	assistance with ADLs. See Paragraph
	services must include the following:	(c)(2) for the list of services.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(i) The services provided in the basic core package under paragraph (c)(1)(i)—(vii). (ii) Assistance with ADLs and unanticipated ADLs for an undefined period of time. (iii) Transportation in accordance with § 2800.171. (iv) Assistance with self-administration of medication or medication administration as indicated in the resident's assessment and support plan in accordance with §§ 2800.181 and 2800.182.	
	(d) Opt-out. If a resident wishes not to have the residence provide a service under subsection (c)(1)(ii)—(iv), the resident-residence contract must state the following: (1) The service not being provided. (2) The corresponding fee schedule charge adjustment that takes into account the reduction in service.	The ALR must allow the resident to opt- out of receiving meals, housekeeping services and/or laundry services. The requirements for the opt-out provisions are found in Subsection (d). Note: A Statement of Policy is to be published to address when it is inappropriate for the ALR to allow the resident to opt-out of any or all of the three services.
	(e) Supplemental health care services. The residence shall provide or arrange for the provision of supplemental health	In the ALR setting, the ALR must provide OR arrange for the provision of supplemental health care services. See

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	care services, including, but not limited to, the following: (1) Hospice services. (2) Occupational therapy. (3) Skilled nursing services. (4) Physical therapy. (5) Behavioral health services. (6) Home health services. (7) Escort service if indicated in the resident's support plan or requested by the resident to and from medical appointments. (8) Specialized cognitive support services as defined in § 2800.4.	Subsection (e) for a list of the services. Note this list is not all inclusive.
§ 2600.221. Activities program.	§ 2800.221. Activities program.	In the ALD cetting the ALD moved approvide
(a) The administrator shall develop a program of activities designed to promote each resident's active involvement with other residents, the resident's family and the community.	(a) The residence shall develop a program of daily activities designed to promote each resident's active involvement with other residents, the resident's family and the community and provide the necessary space and equipment for the activities in accordance with §§ 2800.98 and 2800.99 (relating to indoor activity space; and recreation space). The residence shall offer the opportunity for the residents'	In the ALR setting, the ALR must provide the necessary space and equipment for resident activities and must offer their residents the opportunity to actively participate in the development of the daily activities calendar. The programs provided must be based on the residents interests and encourage active participation in the community at large. The ALR must provide necessary cueing to residents to remind them of the

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	active participation in the development of the daily activities calendar.	activities and their start times and locations.
(b) The program must provide social, physical, intellectual and recreational activities in a planned, coordinated and structured manner.	(b) The program <u>must be based upon</u> <u>individual and group interests</u> and provide social, physical,intellectual and recreational activities in a planned, coordinated and structured manner <u>and</u> <u>shall encourage active participation in the community at large.</u>	
(c) A current weekly activity calendar shall be posted in a conspicuous and public place in the home.	(c) The week's daily activity calendar shall be posted in advance in a conspicuous and public place in the residence. The residence shall provide verbal cueing and reminders of activities, their start times and locations within the residence.	
§ 2600.222. Community social services.	§ 2800.222. Community social services.	
Residents shall be encouraged and assisted in the access to and use of social services in the community which may benefit the resident, including a county mental health and mental retardation program, a drug and alcohol program, a	Residents shall be encouraged and assisted in the access to and use of social services in the community which may benefit the resident, including a county mental health and mental retardation program, a drug and alcohol program, a	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
senior citizens center, an area agency on aging or a home health care agency.	senior citizens center, an area agency on aging or a home health care agency.	
§ 2600.223. Description of services.	§ 2800.223. Description of services.	
 (a) The home shall have a current written description of services and activities that the home provides including the following: (1) The scope and general description of the services and activities that the home provides. (2) The criteria for admission and discharge. (3) Specific services that the home does not provide, but will arrange or coordinate. 	 (a) The residence shall have a current written description of services and activities that the residence provides including the following: (1) The scope and general description of the services and activities that the residence provides. (2) The criteria for admission and discharge. (3) Specific services that the residence does not provide, but will arrange or coordinate. 	
(b) The home shall develop written procedures for the delivery and management of services from admission to discharge.	(b) The residence shall develop written procedures for the delivery and management of services from admission to discharge.	
§ 2600.224. Preadmission screening.	§ 2800.224. Initial assessment and	In the AID setting much design
	preliminary support plan. (a) Initial assessment.	In the ALR setting, preadmission screening is replaced with the initial assessment and preliminary support plan.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
 (a) A determination shall be made within 30 days prior to admission and documented on the Department's preadmission screening form that the needs of the resident can be met by the services provided by the home. (b) An applicant whose personal care service needs cannot be met by the home shall be referred to a local appropriate assessment agency. (c) The preadmission screening shall be completed by the administrator or designee. If the resident is referred by a State-operated facility, a county mental health and mental retardation program, a drug and alcohol program or an area agency on aging, a representative of the 	(1) The administrator, administrator designee, or LPN, under the supervision of an RN, or an RN shall complete the initial assessment. (2) An individual shall have a written initial assessment that is documented on the Department's assessment form within 30 days prior to admission unless one of the conditions contained in paragraph (3) apply. (3) A resident shall have a written initial assessment that is documented on the Department's assessment form within 15 days after admission if one of the following conditions applies: (i) The resident is being admitted directly to the residence from an acute care hospital. (ii) The resident is being admitted to escape from an abusive situation. (iii) The resident has no alternative living arrangement. (4) A residence may use its own	The initial assessment and the preliminary support plan must be completed by the ALR within 30 days prior to admission unless certain conditions apply as set forth in Paragraph (a)(3). If one of the conditions apply the initial assessment and preliminary support plan must be completed within 15 days after admission.
referral agent may complete the	assessment form if it includes the same	form as long as it includes the same
preadmission screening.	information as the Department's	information as the Department's form.
	assessment form.	
	(5) The written initial assessment must,	The assessment must include the

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	at a minimum include the following: (i) The individual's need for assistance with ADLs and IADLs. (ii) The mobility needs of the individual. (iii) The ability of the individual to self- administer medication. (iv) The individual's medical history, medical conditions, and current medical status and how they impact or interact with the individual's service needs. (v) The individual's need for supplemental health care services. (vi) The individual's need for special diet or meal requirements. (vii) The individual's ability to safely operate key-locking devices. (viii) The individual's ability to evacuate from the residence.	information contained in Paragraph (a)(5).
	(b) An initial assessment will not be required to commence supplemental health care services to a resident of a residence under any of the following circumstances: (1) If the resident was not receiving the services at the time of the resident's admission.	In the ALR setting, an initial assessment is not required to initiate supplemental health care services if the resident was not receiving services at time of admission; OR is being transferred from another part of the ALR; OR is being transferred from a PCH licensed by the same operator.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(2) To transfer a resident from a portion of a residence that does not provide supplemental health care services to a portion of the residence that provides such service. (3) To transfer a resident from a personal care home to a residence licensed by the same operator. (c) Preliminary support plan. (1) An individual requiring services shall have a written preliminary support plan developed within 30 days prior to admission to the residence unless one of the conditions contained in paragraph (2) applies. (2) A resident requiring services shall have a written preliminary support plan developed within 15 days after admission if one of the following conditions applies: (i) The resident is being admitted directly to the residence from an acute care hospital. (ii) The resident is being admitted to	In the ALR setting, the ALR must develop a preliminary support plan for an individual within 30 days prior to admission to the ALR unless certain conditions apply as set forth in Paragraph (c)(2). If one of the conditions apply the preliminary support plan must be completed within 15 days after admission.
	escape from an abusive situation. (iii) Any other situation where the	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	resident has no alternative living arrangement. (3) The written preliminary support plan must document the dietary, medical, dental, vision, hearing, mental health or other behavioral care services that will be made available to the individual, or	In the ALR setting, the contents of the preliminary support plan are similar to the contents of the support plan requirements in the PCH setting with some additions.
	referrals for the individual to outside services if the individual's physician, physician's assistant or certified registered nurse practitioner, determine the necessity of these services. This requirement does not require a residence to pay for the cost of these medical and behavioral care services. The preliminary	In the ALR setting the preliminary
	support plan must document the assisted living services and supplemental health care services, if applicable, that will be provided to the individual. (4) The preliminary support plan shall be documented on the Department's support	support plan must document the assisted living services and supplemental health care services that will be provided to the individual, if any.
	plan form. (5) A residence may use its own support plan form it if includes the same information as the Department's support plan form. An LPN, under the supervision of an RN, or an RN shall	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	review and approve the preliminary support plan. (6) An individual's preliminary support plan must document the ability of the individual to self-administer medications or the need for medication reminders or medication administration and the ability of the resident to safely operate key- locking devices. (7) An individual shall be encouraged to participate in the development of the preliminary support plan. An individual may include a designated person or family member in making decisions about services. (8) Individuals who participate in the development of the preliminary support plan shall sign and date the preliminary support plan. (9) If an individual or designated person is unable or chooses not to sign the preliminary support plan, a notation of inability or refusal to sign shall be documented. (10) The residence shall give a copy of the preliminary support plan to the resident and the resident's designated	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	person.	
§ 2600.225. Initial and annual	§ 2800.225. Additional assessments.	
assessment.		In the ALR regulation the minimum
		components of the resident's assessment
(a) A resident shall have a written initial	(a) The administrator or administrator	are outlined in Subsection (b) below.
assessment that is documented on the	designee, or an LPN, under the	
Department's assessment form within 15	supervision of an RN, or an RN shall	
days of admission. The administrator or designee, or a human service agency may	complete additional written assessments for each resident. A residence may use its	
complete the initial assessment.	own assessment form if it includes the	
(b) A home may use its own assessment	same information as the Department's	
form if it includes the same information as	assessment form.	
the Department's assessment form.		
(c) The resident shall have additional	Additional written assessments shall be	
assessments as follows:	completed as follows:	
(1) Annually.	(1) Annually.	
(2) If the condition of the resident	(2) If the condition of the resident	
significantly changes prior to the annual assessment.	significantly changes prior to the annual	
(3) At the request of the Department upon	assessment. (3) At the request of the Department upon	
cause to believe that an update is required.	cause to believe that an update is required.	
cause to some to that an aparate is required.	transit to content and an aparate is required.	
(d) If the resident's physician or		
appropriate assessment agency determines		
that the resident requires a higher level of		

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
care, a plan for placement shall be made as soon as possible by the administrator in conjunction with the resident or designated person, or both.	(b) The assessment must, at a minimum include the following: (1) The resident's need for assistance with ADLs and IADLs. (2) The mobility needs of the resident. (3) The ability of the resident to selfadminister medication. (4) The resident's medical history, medical conditions, and current medical status and how these impact or interact with the individual's service needs. (5) The resident's need for supplemental health care services. (6) The resident's need for special diet or meal requirements. (7) The resident's ability to safely operate key-locking devices.	
§ 2600.226. Mobility criteria. (a) The resident shall be assessed for mobility needs as part of the resident's assessment.	§ 2800.226. Mobility criteria. (a) The resident shall be assessed for mobility needs as part of the resident's assessment.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) If a resident is determined to have mobility needs as part of the initial or annual assessment, specific requirements relating to the care, health and safety of the resident shall be met immediately.	(b) If a resident is determined to have mobility needs as part of the resident's initial or annual assessment, specific requirements relating to the care, health and safety of the resident shall be met immediately.	
(c) The administrator shall notify the Department's personal care home regional office within 30 days after a resident with mobility needs is admitted to the home or the date when a resident develops mobility needs.	(c) The administrator <u>or the administrator</u> <u>designee</u> shall notify the Department within 30 days after a resident with mobility needs is admitted to the residence <u>and compile a monthly list of</u> <u>when a resident develops mobility needs</u> .	In the ALR setting, the ALR administrator or the administrator must compile a monthly list of when a resident develops mobility needs.
§ 2600.227. Development of the support plan.	§ 2800.227. Development of the final support plan.	
 (a) A resident requiring personal care services shall have a written support plan developed and implemented within 30 days of admission to the home. The support plan shall be documented on the Department's support plan form. (b) A home may use its own support plan 	 (a) Each resident requiring services shall have a written final support plan developed and implemented within 30 days after admission to the residence. The final support plan shall be documented on the Department's support plan form. (b) A residence may use its own support 	In the ALR setting, the ALR must develop a final support plan for a resident within 30 days after admission. The final support plan is subsequent to the preliminary support plan that must be developed within 30 days prior to admission unless certain conditions exist. The final support plan provisions for an ALR are similar to the support plan provisions for a PCH.
form if it includes the same information as the Department's support plan form.	plan form if it includes the same information as the Department's support	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	plan form. An LPN, under the supervision of an RN, shall review and approve the final support plan.	The final support plan in an ALR setting must be reviewed and approved by an LPN, under the supervision of an RN.
(c) The support plan shall be revised within 30 days upon completion of the annual assessment or upon changes in the resident's needs as indicated on the current assessment.	(c) The final support plan shall be revised within 30 days upon completion of the annual assessment or upon changes in the resident's needs as indicated on the current assessment. The residence shall review each resident's final support plan on a quarterly basis and modify as necessary to meet the resident's needs.	In the ALR setting the resident's final support plan must be reviewed on a quarterly basis and modified as necessary.
(d) Each home shall document in the resident's support plan the medical, dental, vision, hearing, mental health or other behavioral care services that will be made available to the resident, or referrals for the resident to outside services if the resident's physician, physician's assistant or certified registered nurse practitioner, determine the necessity of these services. This requirement does not require a home to pay for the cost of these medical and behavioral care services.	(d) Each residence shall document in the resident's final support plan the dietary, medical, dental, vision, hearing, mental health or other behavioral care services that will be made available to the resident, or referrals for the resident to outside services if the resident's physician, physician's assistant or certified registered nurse practitioner, determine the necessity of these services. This requirement does not require a residence to pay for the cost of these medical and behavioral care services. <i>The final</i>	In the ALR setting, the final support plan
	support plan must document the assisted	must include documentation regarding the

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	living services and supplemental health care services, if applicable, that will provided to the resident.	assisted living services and supplemental health care services, if any, the resident will receive.
(e) The resident's support plan must document the ability of the resident to self-administer medications or the need for medication reminders or medication administration.	(e) The resident's final support plan must document the ability of the resident to self-administer medications or the need for medication reminders or medication administration and the ability of the resident to safely operate key-locking devices. Strategies that promote interactive communication on the part of and between direct care staff and individual residents shall also be included in the final support plan.	In the ALR setting, the final support plan must document the ability of the resident to operate key-locking devices. The final support plan must also include strategies that promote interactive communication between the resident and direct care staff.
(f) A resident may participate in the development and implementation of the support plan. A resident may include a designated person in making decisions about services.	(f) A resident shall be encouraged to participate in the development and implementation of the final support plan. A resident may include a designated person or family member in making decisions about services.	In the ALR setting, the resident must be encouraged to participate in the development of their support plan.
(g) Individuals who participate in the development of the support plan shall sign and date the support plan.	(g) Individuals who participate in the development of the final support plan shall sign and date the support plan.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(h) If a resident or designated person is unable or chooses not to sign the support plan, a notation of inability or refusal to sign shall be documented.	(h) If a resident or designated person is unable or chooses not to sign the final support plan, a notation of inability or refusal to sign shall be documented.	
(i) The support plan shall be accessible by direct care staff persons at all times.	(i) The final support plan shall be accessible by direct care staff persons at all times.	In the ALD cation the mediant and in
	(j) A resident or a designated person has a right to request the review and modification of his support plan.	In the ALR setting, the resident or his designated person has the right to request that their support plan be reviewed and modified.
(j) The home shall give a copy of the support plan to the resident and the resident's designated person upon request.	(k) The residence shall give a copy of the final support plan to the resident and the resident's designated person. <u>The final support plan shall be attached to or incorporated into and serve as part of the resident-residence contract.</u>	In the ALR setting, the resident's final support plan must be attached to or incorporated into the resident-residence contract.
§ 2600.228. Notification of termination.	§ 2800.228. Transfer and discharge.	In the ALR setting, the transfer and discharge provisions are expanded.
(a) At the resident's request, the home shall provide assistance in relocating to	(a) The facility shall ensure that a transfer or discharge is safe and orderly and that	discharge provisions are expanded.
the resident's own home or to another residence that meets the needs of the resident.	the transfer or discharge is appropriate to meet the resident's needs. <u>This includes</u> ensuring that a resident is transferred or	If a resident is transferred or discharged the ALR must allow the resident to participate in the decision related to their

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	discharged with all his medications, durable medical equipment and personal property. The residence shall permit the resident to participate in the decision relating to the relocation.	relocation and must ensure that the resident has all his/her medications, durable medical equipment and personal property.
(b) If the home initiates a discharge or transfer of a resident, or if the legal entity chooses to close the home, the home shall provide a 30-day advance written notice to the resident, the resident's designated person and the referral agent citing the reasons for the discharge or transfer. This shall be stipulated in the resident-home contract.	(b) If the residence initiates a transfer or discharge of a resident, or if the legal entity chooses to close the residence, the residence shall provide a 30-day advance written notice to the resident, the resident's family or designated person and the referral agent citing the reasons for the transfer or discharge. This shall be stipulated in the resident-residence contract. (1) The 30-day advance written notice must be written in language in which the resident understands, or performed in American Sign Language or presented orally in a language the resident understands if the resident does not speak standard English. The notice must include the following: (i) The specific reason for the transfer or discharge. (ii) The effective date of the transfer or	In the ALR setting, a 30-day notice must be provided in language the resident understands and must include the information set forth in Paragraph (b)(1).

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	discharge. (iii) The location to which the resident will be transferred or discharged. (iv) An explanation of the measures the resident or the resident's designated person can take if they disagree with the residence decision to transfer or discharge which includes the name, mailing address, and telephone number of the State and local long-term care ombudsman. (v) The resident's transfer or discharge rights, as applicable. (2) Prior to initiating a transfer or discharge of a resident, the residence shall make reasonable accommodation for aging in place that may include services from outside providers. The residence shall demonstrate through support plan modification and documentation the attempts to resolve the reason for the transfer or discharge. Supplemental services may be provided by the resident's family, residence staff or private duty staff as agreed to by the resident and the residence. This shall be	In the ALR setting, before the ALR discharges a resident the ALR must make reasonable accommodations to allow the resident to age in place. This must be demonstrated through support plan modification and documentation.

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	stipulated in the resident-residence contract.	
A 30-day advance written notice is not required if a delay in discharge or transfer would jeopardize the health, safety or well-being of the resident or others in the home, as certified by a physician or the Department. This may occur when the resident needs psychiatric or long-term care or is abused in the home, or the Department initiates closure of the home. (c) A home shall give the Department written notice of its intent to close the home, at least 60 days prior to the anticipated date of closing.	(3) Practicable notice, rather than a 30-day advance written notice is required if a delay in transfer or discharge would jeopardize the health, safety or well-being of the resident or others in the residence, as certified by a physician or the Department. This may occur when the resident needs psychiatric services or is abused in the residence, or the Department initiates closure of the residence. (c) A residence shall give the Department written notice of its intent to close the residence, at least 60 days prior to the anticipated date of closing.	In the ALR setting, if a delay in transfer or discharge would jeopardize the health or well being of the resident or others in the ALR, practicable notice rather than a 30-day notice is required.
(d) A home may not require a resident to leave the home prior to 30 days following the resident's receipt of a written notice from the home regarding the intended closure of the home, except when the Department determines that removal of the resident at an earlier time is necessary for the protection of the health, safety and well-being of the resident.	(d) A residence may not require a resident to leave the residence prior to 30 days following the resident's receipt of a written notice from the residence regarding the intended closure of the residence, except when the Department determines that removal of the resident at an earlier time is necessary for the protection of the health, safety and well-	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	being of the resident.	
(e) The date and reason for the discharge or transfer, and the destination of the resident, if known, shall be recorded in the resident record.	(e) The date and reason for the transfer or discharge, and the destination of the resident, if known, shall be recorded in the resident record <u>and tracked in a transfer and discharge tracking chart that the residence shall maintain and make available to the Department.</u>	In the ALR setting, the ALR must maintain a transfer and discharge tracking chart and make it available to the Department. The information the ALR must maintain includes the following: Date of transfer or discharge; reason for transfer or discharge; and destination of the resident.
(f) If the legal entity chooses to voluntarily close the home or if the Department has initiated legal action to close the home, the Department working in conjunction with appropriate local authorities, will offer relocation assistance to the residents. Except in the case of an emergency, each resident may participate in planning the transfer, and shall have the right to choose among the available alternatives after an opportunity to visit the alternative homes. These procedures shall apply even if the resident is placed in a temporary living situation. (g) Within 30 days of the home's closure, the legal entity shall return the license to	(f) If the legal entity chooses to voluntarily close the residence or if the Department has initiated legal action to close the residence, the Department working in conjunction with appropriate local authorities, will offer relocation assistance to the residents. Except in the case of an emergency, each resident may participate in planning the transfer, and shall have the right to choose among the available alternatives after an opportunity to visit the alternative residences. These procedures apply even if the resident is placed in a temporary living situation. (g) Within 30 days of the residence's closure, the legal entity shall return the	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
the Department's personal care home regional office.	license to the Department.	
(h) The only grounds for discharge or transfer of a resident from a home are for the following conditions:(1) If a resident is a danger to himself or others.	 (h) The only grounds for transfer or discharge of a resident from a residence are for the following conditions: (1) If a resident is a danger to himself or others and the behavior cannot be managed through interventions, services planning or informed consent 	In the ALR setting, the provisions related to grounds for transfer and discharges have been amended to allow the resident to age in place. With the exceptions found in paragraphs (h)(1) and (3). If transfer or discharge is a result of (h)(1) or (h)(3), the ALR must obtain certification
 (2) If the legal entity chooses to voluntarily close the home, or a portion of the home. (3) If a home determines that a resident's functional level has advanced or declined so that the resident's needs cannot be met in the home. If a resident or the resident's designated person disagrees with the home's decision to discharge or transfer, consultation with an appropriate 	agreements. (2) If the legal entity chooses to voluntarily close the residence, or a portion of the residence. (3) If a residence determines that a resident's functional level has advanced or declined so that the resident's needs cannot be met in the residence under § 2800.229 (relating to excludable conditions; exceptions) or within the	from any of the following individuals that the resident can no longer be retained in the residence: (1) The administrator acting in consultation with supplemental health care providers. (2) The resident's physician or certified registered nurse practitioner. (3) The medical director of the residence. See Subsection (i).
assessment agency or the resident's physician shall be made to determine if the resident needs a higher level of care. A plan for other placement shall be made as soon as possible by the administrator in conjunction with the resident and the	scope of licensure for a residence. In that case, the residence shall notify the resident and the resident's designated person. The residence shall provide justification for the residence's determination that the needs of the resident cannot be met. In the event that	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
resident's designated person, if any. If assistance with relocation is needed, the administrator shall contact appropriate local agencies, such as the area agency on aging, county mental health/mental retardation program or drug and alcohol program, for assistance. The administrator shall also contact the Department's personal care home regional office.	there is no disagreement related to the transfer or discharge, a plan for other placement shall be made as soon as possible by the administrator in conjunction with the resident and the resident's designated person, if any. If assistance with relocation is needed, the administrator shall contact appropriate local agencies, such as the area agency on aging, county mental health/mental retardation program or drug and alcohol program, for assistance. The administrator shall also contact the Department.	
 (4) If meeting the resident's needs would require a fundamental alteration in the home's program or building site, or would create an undue financial or programmatic burden on the home. (5) If the resident has failed to pay after reasonable documented efforts by the home to obtain payment. (6) If closure of the home is initiated by the Department. (7) Documented, repeated violation of the home rules. 	 (4) If meeting the resident's needs would require a fundamental alteration in the residence's program or building site, or would create an undue financial or programmatic burden on the residence. (5) If the resident has failed to pay after reasonable documented efforts by the residence to obtain payment. (6) If closure of the residence is initiated by the Department. (7) Documented, repeated violation of the residence rules. (8) A court has ordered the transfer or 	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(i) If grounds for transfer or discharge is based upon subsection (h)(1) or (3), a certification from one of the following individuals shall be required to certify in writing that the resident can no longer be retained in the residence: (1) The administrator acting in consultation with supplemental health care providers. (2) The resident's physician or certified registered nurse practitioner. (3) The medical director of the residence.	
Chapter 2600 – No corresponding provisions	§ 2800.229. Excludable conditions; exceptions. (a) Excludable conditions. Except as provided in subsection (b), a residence may not admit, retain or serve an individual with any of the following conditions or health care needs: (1) Ventilator dependency. (2) Stage III and IV decubiti and vascular ulcers that are not in a healing stage.	Excludable conditions; exceptions is a new concept that only applies to the ALR setting. An ALR may not admit, retain or serve an individual with any of the conditions listed in Subsection (a), unless the ALR has received approval from the Department through the exceptions process outlined in Subsection (c).

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(3) Continuous intravenous fluids. (4) Reportable infectious diseases, such as tuberculosis, in a communicable state that requires isolation of the individual or requires special precautions by a caretaker to prevent transmission of the disease unless the Department of Health directs that isolation be established within the residence. (5) Nasogastric tubes. (6) Physical restraints. (7) Continuous skilled nursing care 24 hours a day.	
	(b) Exception. The residence may submit a written request to the Department on a form provided by the Department for an exception related to any of the conditions or health care needs listed in subsection (a) or (e) to allow the residence to admit, retain or serve an individual with one of those conditions or health care needs, unless a determination is unnecessary as set forth in subsection (e). (c) Submission, review and determination of an exception request.	

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shall submit the e exception request affirmed by an in- subsection (d) and support plan whice	d accompanied by a
the excludable conexception request accommodations provisions contain residence contract (2) The Department exception request certified registere a physician, with the elderly and disliving settings. (3) The Department exception request business days of reconditions are measured.	nodations for treating ndition requiring the Proposed must conform with the med within the resident- t. ent will review the in consultation with a d nurse practitioner or experience caring for sabled in long-term ent will respond to the in writing within 5 receipt. ent may approve the if the following et: request is desired by the

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

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	from the approval of the exception request. (iii) The residence demonstrates to the Department's satisfaction that the residence has the staff, skills and expertise necessary to care for the resident's needs related to the excludable condition. (iv) The residence demonstrates to the Department's satisfaction that any necessary supplemental health care provider has the staff, skills and expertise necessary to care for the resident's needs related to the excludable condition. (v) The residence provides a written alternate care plan that ensures the availability of staff with the skills and expertise necessary to care for the resident's needs related to the excludable condition in the event the supplemental health care provider is unavailable. (5) The Department will render decisions on exception requests on a case-by-case basis and not provide for facility-wide exceptions.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(d) Certification providers. The following persons may certify that an individual with an excludable condition may not be admitted or retained in a residence: (1) The administrator acting in consultation with supplemental health care providers. (2) The individual's physician or certified registered nurse practitioner. (3) The medical director of the residence.	
	(e) Departmental exceptions. A residence may admit, retain or serve an individual for whom a determination is made by the Department, upon the written request of the residence, that the individual's specific health care needs can be met by a provider of assisted living services or	
	within a residence, including an individual requiring: (1) Gastric tubes, except that a determination will not be required if the individual is capable of self-care of the gastric tube or a licensed health care professional or other qualified individual cares for the gastric tube.	

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	determination will not be required if the individual is independently capable of self-care of the tracheostomy. (3) Skilled nursing care 24 hours a day, except that a determination will not be required if the skilled nursing care is provided on a temporary or intermittent basis. (4) A sliding scale insulin administration, except that a determination will not be required if the individual is capable of self-administration or a licensed health care professional or other qualified individual administers the insulin. (5) Intermittent intravenous therapy, except that a determination will not be required if a licensed health care professional manages the therapy. (6) Insertions, sterile irrigation and replacement of a catheter, except that a determination will not be required for routine maintenance of a urinary catheter, if the individual is capable of self-administration or a licensed health care professional administers the catheter.	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(7) Oxygen, except that a determination will not be required if the individual is capable of self-administration or a licensed health care professional or other qualified individual administers the oxygen. (8) Inhalation therapy, except that a determination will not be required if the individual is capable of self-administration or a licensed health care professional or other qualified individual administers the therapy. (9) Other types of supplemental health care services that the administrator, acting in consultation with supplemental health care provided in a safe and effective manner by the residence. (10) For purposes of paragraphs (1), (4), (7) and (8), a "qualified individual" means an individual who has been determined by a certification provider listed under subsection (d) to be capable of care or administration under paragraphs (1), (4), (7) and (8).	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(f) Request for exception by resident. Nothing herein prevents an individual seeking admission to a residence or a resident from requesting that the residence apply for an exception from the Department for a condition listed in this section for which an exception must be granted by the Department. The residence's determination on whether or not to seek such an exception shall be documented on a form supplied by the Department.	
	(g) Record. A written record of the exception request, the supporting documentation to justify the exception request and the determination related to the exception request shall be kept in the records of the residence. The information required by this subsection shall also be kept in the resident's record. (h) Decisions. The residence shall record the following decisions made on the basis of this section. (1) Admission denials. (2) Transfer or discharge decisions that	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	are made on the basis of this section.	
Secured Dementia Care Units	Special Care Units	
Secured Dementia Care Units § 2600.231. Admission. (a) This section and § § 2600.232— 2600.239 apply to secured dementia care units. These provisions are in addition to the other provisions of this chapter. A secured dementia care unit is a home or portion of a home that provides specialized care and services for residents with Alzheimer's disease or other dementia.	\$ 2800.231. Admission. (a) Special care units. This section and §§ 2800.232—2800.239 apply to special care units. These provisions are in addition to the other provisions of this chapter. A special care unit is a residence or portion of a residence that provides one or both of the following: (1) Specialized care and services for residents with Alzheimer's disease or dementia in the least restrictive manner consistent with the resident's support plan to ensure the safety of the resident and others in the residence while maintaining the resident's ability to age in place. (i) Admission of a resident shall be in consultation with the resident's family or	In the ALR setting Secured Dementia Care Units provided for in the PCH setting has been expanded to include Special Care Units for residents with Alzheimer's disease or dementia and residents with severely disruptive and potentially dangerous behaviors as a result of brain injury. Admission to the special care unit must be in consultation with the resident's family or designated person. Prior to admission to the special care unit other options available to the resident must be considered.
	designated person. (ii) Prior to admission other service options that may be available to a resident shall be considered. (2) Intense neurobehavioral	Specific provisions have been added

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	rehabilitation for residents with severely disruptive and potentially dangerous behaviors as a result of brain injury in the least restrictive manner consistent with the resident's rehabilitation and support plan to ensure the safety of the resident and others in the residence. (i) Each resident of a special care unit for INRBI shall have a rehabilitation and support plan that supports independence and promotes recovery and thereby discharge to a less restrictive setting. (ii) Special care units for INRBI shall provide for each resident to age in place. (iii) Admission of a resident shall be in consultation with the resident or potential resident and, when appropriate, the resident's designated person or the resident's family, or both. (iv) Prior to admission other less restrictive service options that may be available to a resident or potential resident shall be considered.	throughout the Special Care Unit Sections for Intense Neurobehavioral Rehabilitation after Brain Injury (INRBI).
(b) A resident shall have a medical evaluation by a physician, physician's	(b) <i>Medical evaluation</i> . A resident <u>or</u> <u>potential resident</u> shall have a medical	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
assistant or certified registered nurse practitioner, documented on a form provided by the Department, within 60 days prior to admission. Documentation shall include the resident's diagnosis of Alzheimer's disease or other dementia and the need for the resident to be served in a secured dementia care unit. (c) A written cognitive preadmission screening completed in collaboration with a physician or a geriatric assessment team and documented on the Department's	evaluation by a physician, physician's assistant or certified registered nurse practitioner, documented on a form provided by the Department, within 60 days prior to admission. (1) Documentation for a special care unit for residents with Alzheimer's disease or dementia must include the resident's diagnosis of Alzheimer's disease or dementia and the need for the resident to be served in a special care unit. (2) Documentation for a special care unit for INRBI must include the resident's or potential resident's diagnosis of brain injury and need for residential services to be provided in a special care unit for INRBI. The evaluation must include visual function, hearing, swallowing, mobility and hand function. (c) Preadmission screening. (1) Special care unit for residents with Alzheimer's disease or dementia. (i) A written cognitive preadmission screening completed in collaboration with a physician or a geriatric assessment team and documented on the Department's	Provisions related to special care unit for INRBI.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
preadmission screening form shall be completed for each resident within 72 hours prior to admission to a secured dementia care unit.	cognitive preadmission screening form shall be completed for each resident within 72 hours prior to admission to a special care unit.	
(d) A geriatric assessment team is a group of multidisciplinary specialists in the care of adults who are older that conducts a multidimensional evaluation of a resident and assists in developing a support plan by working with the resident's physician, designated person and family to coordinate the resident's care.	(ii) A geriatric assessment team is a group of multidisciplinary specialists in the care of adults who are older that conducts a multidimensional evaluation of a resident and assists in developing a support plan by working with the resident's physician, designated person and the resident's family to coordinate the resident's care.	
	(2) Special care unit for INRBI. (i) A written CPB preadmission screening completed in collaboration with a physician, neuropsychologist or cognitive, physical, behavioral assessment team and documented on the Department's CPB preadmission screening form shall be completed for each resident or potential resident within 72 hours prior to admission to a special care unit for INRBI. (ii) A cognitive, physical, behavioral specialist with brain injury experience	Provisions related to special care unit for INRBI.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(e) Each resident record must have documentation that the resident and the resident's designated person have not objected to the resident's admission or	shall assist in developing a rehabilitation and support plan by working with the resident's physician, neuropsychologist and, when appropriate, the resident's designated person or the resident's family, or both to develop the resident's rehabilitation and support plan. This plan must include a high level of nursing and behavioral supervision, medication management, occupational therapy, cognitive therapy, behavioral therapy, vocational services, support for social reentry, and a personalized treatment plan. (d) Resident admission to special care unit. Each resident record must have documentation that the resident or potential resident and, when appropriate,	In the ALR setting, the resident record must include documentation that the resident, the resident's designated person or the resident's family have AGREED to
transfer to the secured dementia care unit. (f) In addition to the requirements in \$ 2600.225 (relating to initial and annual	the resident's designated person <u>or the</u> <u>resident's family have agreed</u> to the resident's admission or transfer to the special care unit. (e) Additional assessments. (1) In addition to the requirements in § 2800.225 (relating to additional	the resident's admission or transfer to the special care unit.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
assessment), the resident shall also be assessed annually for the continuing need for the secured dementia care unit.	assessments), residents of a special care unit for Alzheimer's disease or dementia shall also be assessed <i>quarterly</i> for the continuing need for the special care unit for Alzheimer's disease or dementia.	In the ALR setting, the ALR is required to assess residents of a special care unit for Alzheimer's disease or dementia on a quarterly basis.
	(2) In addition to the requirements in § 2800.225, residents of a special care unit for INRBI shall also be assessed at least semiannually or more frequently as necessary to assure the continuing need for residence in the special care unit for INRBI.	In the ALR setting, the ALR is required to assess residents of a special care unit for INRBI at least semiannually.
(g) An individual who does not have a primary diagnosis of Alzheimer's disease or other dementia may reside in the secured dementia care unit if desired by the resident.	(f) Additional resident in special care unit. A spouse, friend or family member who does not have a primary diagnosis of Alzheimer's disease or dementia or brain injury may reside in the special care unit if desired by the resident or his designated person.	The provisions included in the ALR regulation specifically identifies the individuals that may reside in a special care unit without the primary diagnosis of Alzheimer's disease or dementia or brain injury.
(1) The individual shall have a medical evaluation by a physician, physician's assistant or certified registered nurse practitioner, documented on a form provided by the Department, within 60 days prior to residence or 30 days after	(1) The spouse, friend or family member shall have a medical evaluation by a physician, physician's assistant or certified registered nurse practitioner, documented on a form provided by the Department within 60 days prior to admission to the	In the ALR setting, the individual without the primary diagnosis of Alzheimer's disease or dementia or brain injury must have a medical evaluation within 60 days prior to admission or 15 days after admission.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
residence. (2) If the medical evaluation shows that personal care services are needed, the	residence or <u>15 days after admission</u> to the residence.	
requirements of this chapter apply. (3) The individual shall have access to and be able to follow directions for the operation of the key pads or other lock-releasing devices to exit the secured dementia care unit.	(2) The <u>spouse, friend or family member</u> shall have access to and be able to follow directions for the operation of the key pads or other lock-releasing devices to exit the special care unit.	
(h) The resident-home contract specified in § 2600.25 (relating to resident-home contract) must also include a disclosure of services, admission and discharge criteria, change in condition policies, special programming and costs and fees.	(g) Disclosure of services. The resident-residence contract specified in § 2800.25 (relating to resident-residence contract) must also include a disclosure of services, admission and discharge criteria, change in condition policies, special programming and costs and fees.	
	(h) Alzheimer's disease or dementia. When the residence holds itself out to the public as providing services or housing for individuals with Alzheimer's disease or dementia, the residence shall disclose to individuals and provide materials that include the following: (1) The residence's written statement of its philosophy and mission which reflects	In the ALR setting, an ALR that maintains a special care unit must disclose to individuals and provide information on the materials listed in Subsections (h) and (i).

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	the needs of individuals with Alzheimer's disease or dementia. (2) A description of the residence's physical environment and design features to support the functioning of individuals with Alzheimer's disease or dementia. (3) A description of the frequency and types of individual and group activities designed specifically to meet the needs of individuals with Alzheimer's disease or dementia. (4) A description of the security measures provided by the residence. (5) A description of the training provided to staff regarding provision of care to individuals with Alzheimer's disease or dementia. (6) A description of availability of family support programs and family involvement. (7) The process used for assessment and establishment of a plan of services for the individual, including methods by which the plan of services will remain responsive to changes in the individual's condition.	

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(i) Special care unit for INRBI. When an assisted living residence holds itself out to the public as a special care unit for INRBI, the residence shall disclose and provide materials to individuals and, when appropriate, the individual's designated person or the individual's family, or both, that include the following information: (I) The residence's written statement of its philosophy and mission which reflects the needs of individuals with brain injury	Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
rehabilitation and support. (2) A description of the residence's physical environment and design features that support and promote the functioning and rehabilitation of individuals who need INRBI. (3) A description of the types of individual and group activities that have been designed specifically to meet the requirements of the rehabilitation and support plans of specific residents with brain injury. (4) A description of the security measures provided by the residence.		(i) Special care unit for INRBI. When an assisted living residence holds itself out to the public as a special care unit for INRBI, the residence shall disclose and provide materials to individuals and, when appropriate, the individual's designated person or the individual's family, or both, that include the following information: (1) The residence's written statement of its philosophy and mission which reflects the needs of individuals with brain injury for intense neurobehavioral rehabilitation and support. (2) A description of the residence's physical environment and design features that support and promote the functioning and rehabilitation of individuals who need INRBI. (3) A description of the types of individual and group activities that have been designed specifically to meet the requirements of the rehabilitation and support plans of specific residents with brain injury. (4) A description of the security	

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(5) A description of the credentials and experience required and the training provided to staff regarding the provision of rehabilitation and support for individuals who require INRBI. (6) A description of availability of family support programs, family education programs, and family involvement. (7) The process used for assessment and establishment of a plan of services for the resident, including methods by which the plan of services will remain responsive to progress in the resident's recovery.	
	(j) Residents who wander. The residence shall identify measures to address individuals with Alzheimer's disease or dementia or with INRBI who have tendencies to wander.	In the ALR setting, in a special care unit the ALR must identify measures to address residents who wander.
	(k) Individuals with INRBI. The residence with a special care unit for INRBI shall identify measures to address individuals who require INRBI who have problems that may actually impede rehabilitation such as:	In the ALR setting, in the special care unit for INRBI the ALR must identify measures to address residents who have problems that may impede rehabilitation.

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Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(1) Anger. (2) Self-control. (3) Aggression toward others. (4) Self-injury. (5) Deficient judgment and problem solving due to cognitive deficits. (6) Frequent agitation. (7) Prolonged confusional state. (8) Seizure disorders and related behavioral problems. (9) Significant memory and learning problems. (10) Disruption of sleep and wake cycles. (11) Problems with attention. (12) Filtering and focusing. (13) Emergence of mental health problems or exacerbation of preexisting mental health issues. (14) Emergence of substance abuse problems or exacerbation of preexisting substance abuse issues. (15) Other cognitive and behavioral problems which have or would prevent successful completion of traditional rehabilitation programs.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(1) Professionals caring for individuals requiring INRBI. The residence with a special care unit for INRBI shall identify at a minimum the following professionals with expertise in providing care for individuals requiring INRBI. (1) Onsite behavioral specialist. (2) Onsite cognitive rehabilitation therapist. (3) A consulting physiatrist; a consulting neuro- psychologist. (4) A consulting neuropsychiatrist or psychiatrist for prescribing and monitoring the psychiatric medications that may be needed for residents with behavioral health issues.	Provisions related to special care unit for INRBI in the ALR setting.
§ 2600.232. Environmental protection.	§ 2800.232. Environmental protection.	
(a) The home shall provide exercise space, both indoor and outdoor.	(a) The residence shall provide exercise space, both indoor and outdoor.	
(b) No more than two residents may occupy a bedroom regardless of its size. A bedroom shall meet the requirement in § 2600.101(a), (b) or (c) (relating to resident bedrooms), as applicable. Section	(b) No more than two residents may occupy a living unit regardless of its size. A living unit must meet the requirement in § 2800.101 (relating to resident living units), as applicable. <i>Kitchen facilities</i>	In the ALR setting, kitchen facilities may not be included in a living unit for INRBI resident.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
2600.101(d) does not apply to a secured dementia care unit. (c) The home shall provide space for	may not be included in a living unit located in a special care unit for INRBI. (c) The residence shall provide space for	
dining, group and individual activities and visits.	dining, group and individual activities and visits.	
(d) The home shall provide a full description of the measures taken to enhance environmental awareness and maximize independence of the residents. The measures to enhance environmental awareness and maximize independence of the residents shall be implemented.	(d) The residence shall provide a full description of the measures implemented to enhance environmental awareness, minimize environmental stimulation and maximize independence of the residents in public and private spaces based on the needs of the individuals being served.	
	(e) The residence with a special care unit for INRBI shall identify the process used to assure conformity of the individual resident's living unit to the ongoing rehabilitation recommendations of the neuropsychologist and the cognitive physical, emotional behavioral assessment team as expressed in the	Provisions related to special care unit for INRBI in the ALR setting.
	current rehabilitation and support plan.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.233. Doors, locks and alarms.	§ 2800.233. Doors, locks and alarms.	
(a) Doors equipped with key-locking devices, electronic card operated systems or other devices that prevent immediate egress are permitted only if there is written approval from the Department of Labor and Industry, Department of Health or appropriate local building authority permitting the use of the specific locking system.	(a) Doors equipped with key-locking devices, electronic card operated systems or other devices that prevent immediate egress are permitted only if there is written approval from the Department of Labor and Industry, Department of Health or appropriate local building authority permitting the use of the specific locking system.	
 (b) A home shall have a statement from the manufacturer, specific to that home, verifying that the electronic or magnetic locking system will shut down, and that all doors will open easily and immediately when one of more of the following occurs: (1) Upon a signal from an activated fire alarm system, heat or smoke detector. (2) Power failure to the home. (3) Overriding the electronic or magnetic locking system by use of a key pad or other lock-releasing device. 	(b) A residence shall have a statement from the manufacturer, specific to that residence, verifying that the electronic or magnetic locking system will shut down, and that all doors will open easily and immediately when one or more of the following occurs: (1) Upon a signal from an activated fire alarm system, heat or smoke detector. (2) Power failure to the residence. (3) Overriding the electronic or magnetic locking system by use of a key pad or other lock-releasing device.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(c) If key-locking devices, electronic cards systems or other devices that prevent immediate egress are used to lock and unlock exits, directions for their operation shall be conspicuously posted near the device.	(c) If key-locking devices, electronic card systems or other devices that prevent immediate egress are used to lock and unlock exits, directions for their operation shall be conspicuously posted near the device.	
(d) Doors that open onto areas such as parking lots, or other potentially unsafe areas, shall be locked by an electronic or magnetic system.	(d) Doors that open onto areas such as parking lots, or other potentially unsafe areas, shall be locked by an electronic or magnetic system.	
(e) Fire alarm systems shall be interconnected to the local fire department, when available, or a 24-hour monitoring service approved by the local fire department.	(e) Fire alarm systems must be interconnected to the local fire department, when available, or a 24-hour monitoring service approved by the local fire department.	
§ 2600.234. Resident care.	§ 2800.234. Resident care.	
(a) Within 72 hours of the admission, or within 72 hours prior to the resident's admission to the secured dementia care unit, a support plan shall be developed, implemented and documented in the resident record.	(a) Support or rehabilitation plan. (1) Within 72 hours of the admission, or within 72 hours prior to the resident's admission to the special care unit, a support plan shall be developed, implemented and documented in each resident's record.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(2) For individuals being admitted into a special care unit for INRBI, a rehabilitation plan shall be developed, implemented and documented in the resident record. This rehabilitation plan and the individual's support plan shall be based on the PB preadmission assessment and other available records and information.	Provisions related to special care unit for INRBI in the ALR setting.
(b) The support plan must identify the resident's physical, medical, social, cognitive and safety needs.	(b) Plan requirements. (1) The support plan and if applicable, the rehabilitation plan must identify the resident's physical, medical, social, cognitive and safety needs. (2) The rehabilitation and support plan for residents of a special care unit for INRBI must identify the residents' emotional and behavioral needs.	Provisions related to special care unit for INRBI in the ALR setting.
(c) The support plan must identify the individual responsible to address the resident's needs.	(c) Responsible individual. The support plan and if applicable, the rehabilitation plan must identify the individual responsible to address the resident's needs.	
(d) The support plan shall be revised at	(d) <i>Review of plans</i> . (1) The support plan for a resident of a	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
least annually and as the resident's condition changes.	special care unit for residents with Alzheimer's disease or dementia shall be reviewed, and if necessary, revised at least quarterly and as the resident's condition changes. (2) The support plan and rehabilitation plan for a resident of a special care unit for INRBI shall be reviewed, and if necessary, revised at least monthly and as the resident's condition changes.	In a special care unit for residents with Alzheimer's disease or dementia, the resident's support plan must be reviewed, and if necessary revised at least quarterly. Provisions related to special care unit for INRBI. The resident's support plan must be reviewed, and if necessary revised at least monthly.
(e) The resident or the resident's designated person shall be involved in the development and the revisions of the support plan.	(e) Resident involvement in development of plan. The resident, the resident's designated person or the resident's family shall be involved in the development and the revisions of the support plan and if applicable, the rehabilitation plan.	
§ 2600.235. Discharge. If the home initiates a discharge or transfer of a resident, or the legal entity chooses to close the home, the administrator shall give a 30-day advance written notice to the resident, the resident's designated person and the referral agent citing the reasons for the	§ 2800.235. Discharge. (a) If the residence initiates a discharge or transfer of a resident, or the legal entity chooses to close the residence, the administrator shall give a 30-day advance written notice to the resident, the resident's designated person and the referral agent citing the reasons for the	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
discharge or transfer. This requirement shall be stipulated in the resident-home contract signed prior to admission to the secured dementia care unit.	discharge or transfer. This requirement shall be stipulated in the resident-residence contract signed prior to admission to the special care unit. (b) If a resident of a special care unit for INRBI, or when appropriate, the resident's designated person or the resident's family, request discharge to another facility, another assisted living residence or an independent living arrangement, transition services shall be provided by the special care unit.	Provisions related to special care unit for INRBI in the ALR setting.
§ 2600.236. Training. Each direct care staff person working in a secured dementia care unit shall have 6 hours of annual training related to dementia care and services, in addition to the 12 hours of annual training specified in § 2600.65 (relating to direct care staff person training and orientation).	§ 2800.236. Training. (a) Each direct care staff person working in a special care unit for residents with Alzheimer's disease or dementia shall have 8 hours of initial training within the first 30 days of the date of hire and a minimum of 8 hours of annual training related to dementia care and services, in addition to the 16 hours of annual training specified in § 2800.65 (relating to staff orientation and direct care staff person training and orientation).	In the ALR setting, direct care staff working in a special care unit for residents with Alzheimer's or dementia must have 8 hours of initial training within the first 30 days of hire and a minimum of 8 hours of training annually related to dementia care and services. This training is in addition to the 16 hours of annual training.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(b) The training for each direct care staff person working in a special care unit for residents with Alzheimer's disease or dementia at a minimum must include the following topics: (1) An overview of Alzheimer's disease and related dementias. (2) Managing challenging behaviors. (3) Effective communications. (4) Assistance with ADLs. (5) Creating a safe environment.	In the ALR setting, the dementia related training must include the topics set forth in Subsection (b).
	(c) Each direct care staff person working in a special care unit for INRBI shall have 8 hours of initial training within the first 30 days of the date of hire and a minimum of 8 hours of annual training related to brain injury, in addition to the 16 hours of annual training specified in § 2800.65 and any continuing education required for professional licensing.	In the ALR setting, direct care staff working in a special care unit for INRBI must have 8 hours of initial training within the first 30 days of hire and a minimum of 8 hours of training annually related to brain injury. This training is in addition to the 16 hours of annual training.
	(d) The training for each direct care staff person working in a special care unit for INRBI in addition to subsection (b)(3), (4) and (5), must at a minimum include the following topics:	In the ALR setting, the training for direct care staff working in a special care unit for INRBI must include the topics set forth in Subsection (d)

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
	(1) An overview of brain injury including the common cognitive, physical and behavioral effects. (2) Understanding and managing challenging behaviors which follow from the cognitive, physical and behavioral effects of brain injury. (3) Tailoring activities and interactions to provide individualized rehabilitation and support in accordance with the resident's rehabilitation and support plan. (4) Coaching and cueing, interactive problem solving, promoting the initiation of self-soothing activities, and timing the fading of supports.	
§ 2600.237. Program.	§ 2800.237. Program.	
(a) The following types of activities shall be offered at least weekly:	(a) The following types of activities shall be offered at least weekly <u>to residents of a special care unit for residents with Alzheimer's disease or dementia</u> :	
(1) Gross motor activities, such as	(1) Gross motor activities, such as	
dancing, stretching and other exercise. (2) Self-care activities, such as personal	dancing, stretching and other exercise. (2) Self-care activities, such as personal	
hygiene.	hygiene.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
 (3) Social activities, such as games, music and holiday and seasonal celebrations. (4) Crafts, such as sewing, decorations and pictures. (5) Sensory and memory enhancement activities, such as review of current events, movies, story telling, picture albums, cooking, pet therapy and reminiscing. (6) Outdoor activities, as weather permits, such as walking, gardening and field trips. (b) Resident participation in general activity programming shall: 	 (3) Social activities, such as games, music and holiday and seasonal celebrations. (4) Crafts, such as sewing, decorations and pictures. (5) Sensory and memory enhancement activities, such as review of current events, movies, story telling, picture albums, cooking, pet therapy and reminiscing. (6) Outdoor activities, as weather permits, such as walking, gardening and field trips. (b) Resident participation <u>for residents of a special care unit for residents with</u> 	
(1) Be voluntary.(2) Respect the resident's age and cognitive abilities.(3) Support the retention of the resident's abilities.	Alzheimer's disease or dementia in general activity programming shall: (1) Be voluntary. (2) Respect the resident's age and cognitive abilities. (3) Support the retention of the resident's abilities. (c) The rehabilitation and support plans of the residents in a special care unit for INRBI will determine the types and frequency of the individual and group activities to be offered.	Provisions related to special care unit for INRBI.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.238. Staffing.	§ 2800.238. Staffing.	
Each resident in a secured dementia care unit shall be considered to be a resident with mobility needs under § 2600.57(c) (relating to direct care staffing).	Each resident in a special care unit shall be considered to be a resident with mobility needs under § 2800.57(c) (relating to direct care staffing).	
§ 2600.239. Notification to Department.	§ 2800.239. Application to Department.	
 (a) The legal entity shall submit a written request to the Department's personal care home regional office at least 60 days prior to the following: (1) Opening a secured care dementia unit. (2) Adding a secured dementia care unit to an existing home. (3) Increasing the maximum capacity in an existing unit. (4) Changing the locking system, exit doors or floor plan of an existing unit. (b) The Department will inspect and approve the secured care dementia unit prior to operation or change. The requirements of this chapter shall be met prior to operation. 	 (a) The legal entity shall submit an application to the Department at least 60 days prior to the following: (1) Opening a special care unit. (2) Adding a special care unit to an existing residence. (3) Increasing the maximum capacity in an existing unit. (4) Changing the locking system, exit doors or floor plan of an existing unit. (b) The Department will inspect and approve the special care unit prior to operation or change. The requirements of this chapter shall be met prior to operation. 	If an entity wants to operate a special care unit the entity must submit an application to the Department as indicated in this section. There is an additional fee of \$150 for entities that are seeking special care unit designation. See § 2800.11(f).

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(c) The following documents shall be	(c) The following documents shall be	
included in the written request specified in	included in the <i>application</i> specified in	
subsection (a):	subsection (a):	
(1) The name, address and legal entity of	(1) The name, address and legal entity of	
the home.	the residence.	
(2) The name of the administrator of the	(2) The name of the administrator of the	
home.	residence.	
(3) The maximum capacity of the home.	(3) The maximum capacity of the	
	residence.	
(4) The requested resident population of	(4) The requested resident population of	
the secured dementia care unit.	the special care unit.	
(5) A building description.	(5) A building description.	
(6) A unit description.	(6) A unit description.	
(7) The type of locking system.	(7) The type of locking system.	
(8) Policy and procedures to be	(8) Policy and procedures to be	
implemented for emergency egress and	implemented for emergency egress and	
resident elopement.	resident elopement.	
(9) A sample of a 2-week staffing	(9) A sample of a 2-week staffing	
schedule.	schedule.	
(10) Verification of completion of	(10) Verification of completion of	
additional training requirements.	additional training requirements.	
(11) The operational description of the	(11) The operational description of the	
secured dementia care unit locking system	special care unit locking system of the	
of the doors.	doors.	
(12) The manufacturer's statement	(12) The manufacturer's statement	
regarding the secured dementia care unit	regarding the special care unit locking	
locking system.	system.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(13) A written approval or a variance	(13) A written approval or a variance	
permitting locked exit doors from the	permitting locked exit doors from the	
Department of Labor and Industry, the	Department of Labor and Industry, the	
Department of Health or the appropriate	Department of Health or the appropriate	
local building authority.	local building authority.	
(14) The name of the municipality or 24-	(14) The name of the municipality or 24-	
hour monitoring service maintaining the	hour monitoring service maintaining the	
interconnection with the home's fire alarm	interconnection with the residence's fire	
system.	alarm system.	
(15) A sample plan of care and service for	(15) A sample plan of care and service for	
the resident addressing the resident's	the resident addressing the resident's	
physical, medical, social, cognitive and	physical, medical, social, cognitive and	
safety needs for the residents.	safety needs for the residents.	
(16) The activity standards.	(16) The activity standards.	
(17) The complete medical and cognitive	(17) The complete medical and cognitive	
preadmission assessment, that is	preadmission assessment that is	
completed upon admission and reviewed	completed upon admission and reviewed	
and updated annually.	and updated annually.	
(18) A consent form agreeing to the	(18) A consent form agreeing to the	
resident's placement in the secured unit,	resident's placement in the special care	
to be signed by the resident or the	unit, to be signed by the resident or the	
resident's designated person.	resident's designated person.	
(19) A written agreement containing full	(19) A written agreement containing full	
disclosure of services, admission and	disclosure of services, admission and	
discharge criteria, change in condition	discharge criteria, change in condition	
policies, services, special programming,	policies, services, special programming,	
costs and fees.	costs and fees.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(20) A description of environmental cues	(20) A description of environmental cues	
being utilized.	being utilized.	
(21) A general floor plan of the entire	(21) A general floor plan of the entire	
home.	residence.	
(22) A specific floor plan of the secured	(22) A specific floor plan of the special	
dementia care unit, outside enclosed area	care unit, outside enclosed area and	
and exercise space.	exercise space.	
	Resident Records	
§ 2600.251. Resident records.	§ 2800.251. Resident records.	
(a) A separate record shall be kept for each resident.	(a) A separate record shall be kept for each resident.	
(b) The entries in a resident's record must be permanent, legible, dated and signed by the staff person making the entry.	(b) The entries in a resident's record must be permanent, legible, dated and signed by the staff person making the entry.	
(c) The home shall use standardized forms to record information in the resident's record.	(c) The residence shall use standardized forms to record information in the resident's record.	
(d) Separate resident records shall be kept on the premises where the resident lives.	(d) Separate resident records shall be kept on the premises where the resident lives.	
(e) Resident records shall be made	(e) Resident records shall be made	
available to the resident and the resident's	available to the resident and the resident's	
designated person during normal working	designated person during normal working	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
hours.	hours. Resident records shall be made available upon request to the resident and the resident's designated person.	In the ALR setting, resident records must be made available upon request to the resident or the resident's designated person.
§ 2600.252. Content of resident records.	§ 2800.252. Content of resident records.	
Each resident's record must include the following information: (1) Name, gender, admission date, birth date and Social Security number. (2) Race, height, weight, color of hair, color of eyes, religious affiliation, if any, and identifying marks. (3) A photograph of the resident that is no more than 2 years old. (4) Language or means of communication spoken or used by the resident.	Each resident's record must include the following information: (1) Name, gender, admission date, birth date and Social Security number. (2) Race, height, weight at time of admission, color of hair, color of eyes, religious affiliation, if any, and identifying marks. (3) A photograph of the resident that is no more than 2 years old. (4) A language, speech, hearing or vision need which requires accommodation or awareness of during oral or written communication.	In the ALR setting, the resident record must include information regarding the resident's speech, hearing or vision need requiring accommodation or awareness during oral or written communication.
(5) The name, address, telephone number and relationship of a designated person to be contacted in case of an emergency.(6) The name, address and telephone number of the resident's physician or source of health care.	(5) The name, address, telephone number and relationship of a designated person to be contacted in case of an emergency.(6) The name, address and telephone number of the resident's physician or source of health care.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(7) The current and previous 2 years'	(7) The current and previous 2 years'	
physician's examination reports, including	physician's examination reports, including	
copies of the medical evaluation forms.	copies of the medical evaluation forms.	
(8) A list of prescribed medications, OTC	(8) A list of prescribed medications, OTC	
medications and CAM.	medications and CAM.	
(9) Dietary restrictions.	(9) Dietary restrictions.	
(10) A record of incident reports for the	(10) A record of incident reports for the	
individual resident.	individual resident.	
(11) A list of allergies.	(11) A list of allergies.	
(12) The documentation of health care	(12) Documentation of health care	
services and orders, including orders for	services and orders, including orders for	
the services of visiting nurse or home	the services of visiting nurse or home	
health agencies.	health agencies.	
(13) The preadmission screening, initial	(13) The initial assessment, the	
intake assessment and the most current	preliminary support plan and the most	
version of the annual assessment.	current version of the annual assessment.	
(14) A support plan.	(14) A final support plan.	
(15) Applicable court order, if any.	(15) Applicable court order, if any.	
(16) The resident's medical insurance	(16) The resident's medical insurance	
information.	information.	
(17) The date of entrance into the home,	(17) The date of entrance into the	
relocations and discharges, including the	residence, relocations and discharges,	
transfer of the resident to other homes	including the transfer of the resident to	
owned by the same legal entity.	other residences owned by the same legal	
	entity.	
(18) An inventory of the resident's	(18) An inventory of the resident's	
personal property as voluntarily declared	personal property as voluntarily declared	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
by the resident upon admission and	by the resident upon admission and	
voluntarily updated.	voluntarily updated.	
(19) An inventory of the resident's	(19) An inventory of the resident's	
property entrusted to the administrator for	property entrusted to the administrator for	
safekeeping.	safekeeping.	
(20) The financial records of residents	(20) The financial records of residents	
receiving assistance with financial	receiving assistance with financial	
management.	management.	
(21) The reason for termination of	(21) The reason for termination of	
services or transfer of the resident, the	services or transfer of the resident, the	
date of transfer and the destination.	date of transfer and the destination.	
(22) Copies of transfer and discharge	(22) Copies of transfer and discharge	
summaries from hospitals, if available.	summaries from hospitals, if available.	
(23) If the resident dies in the home, a	(23) If the resident dies in the residence, a	
copy of the official death certificate.	copy of the official death certificate.	
(24) Signed notification of rights,	(24) Signed notification of rights,	
grievance procedures and applicable	grievance procedures and applicable	
consent to treatment protections specified	consent to treatment protections specified	
in § 2600.41 (relating to notification of	in § 2800.41 (relating to notification of	
rights and complaint procedures).	rights and complaint procedures).	
(25) A copy of the resident-home contract.	(25) A copy of the resident-residence	
	contract.	In the ALR setting, the resident's record
(26) A termination notice, if any.	(26) A termination notice, if any.	must include information regarding any
	(27) A record relating to any exception	exception request as a result of an
	request under § 2800.229 (relating to	excludable condition and ongoing
	excludable conditions; exceptions).	progress notes regarding the resident's
	(28) Ongoing resident progress notes.	condition.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.253. Record retention and disposal.	§ 2800.253. Record retention and disposal.	
(a) The resident's entire record shall be maintained for a minimum of 3 years following the resident's discharge from the home or until any audit or litigation is resolved.	(a) The resident's entire record shall be maintained for a minimum of 3 years following the resident's death, discharge from the residence or until any audit or litigation is resolved.	
(b) Records shall be destroyed in a manner that protects confidentiality.	(b) Records shall be destroyed in a manner that protects confidentiality.	
(c) The home shall keep a log of resident records destroyed on or after October 24, 2005. This log must include the resident's name, record number, birth date, admission date and discharge date.	(c) The residence shall keep a log of resident records destroyed <i>on or after January 18, 2011.</i> This log must include the resident's name, record number, birth date, admission date and discharge date.	
(d) Records required under this chapter that are not part of the resident records shall be kept for a minimum of 3 years or until any audit or litigation is resolved.	(d) Records required under this chapter that are not part of the resident records shall be kept for a minimum of 3 years or until any audit or litigation is resolved.	
§ 2600.254. Record access and security.	§ 2800.254. Record access and security.	
(a) Records of active and discharged residents shall be maintained in a	(a) Records of active and discharged residents shall be maintained in a	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
confidential manner, which prevents unauthorized access. (b) Each home shall develop and	confidential manner, which prevents unauthorized access. (b) Each residence shall develop and	
implement policy and procedures addressing record accessibility, security, storage, authorized use and release and who is responsible for the records. (c) Resident records shall be stored in	implement policy and procedures addressing record accessibility, security, storage, authorized use and release and who is responsible for the records. (c) Resident records shall be stored in	
locked containers or a secured, enclosed area used solely for record storage and be accessible at all times to the administrator or the administrator's designee, and upon	locked containers or a secured, enclosed area used solely for record storage and be accessible at all times to the administrator, the administrator's designee, <i>or the nurse</i>	In the ALR setting, the resident record must be accessible at all times by the
request, to the Department or representatives of the area agency on aging.	involved in assessment and support plan development and upon request, to the Department or representatives of the area agency on aging.	nurse involved in the development of the resident's assessment and support plan.
	Enforcement	
§ 2600.261. Classification of violations.	§ 2800.261. Classification of violations.	
(a) The Department will classify each violation of this chapter into one of three categories as described in paragraphs (1)—(3). A violation identified may be classified as Class I, Class II or Class III,	(a) The Department will classify each violation of this chapter into one of three categories as described in paragraphs (1)—(3). A violation identified may be classified as Class I, Class II or Class III,	
depending upon the severity, duration and	depending upon the severity, duration and	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
the adverse effect on the health and safety of residents. (1) Class I. Class I violations have a substantial probability of resulting in death or serious mental or physical harm to a resident. (2) Class II. Class II violations have a substantial adverse effect upon the health, safety or well-being of a resident. (3) Class III. Class III violations are minor violations, which have an adverse effect upon the health, safety or well-being of a resident.	the adverse effect on the health and safety of residents. (1) Class I. Class I violations have resulted in or have a substantial probability of resulting in death or serious mental or physical harm to a resident. (2) Class II. Class II violations have a substantial adverse effect upon the health, safety or well-being of a resident. (3) Class III. Class III violations are minor violations, which have an adverse effect upon the health, safety or well-being of a resident.	
(b) The Department's guidelines for determining the classification of violations are available from the Department's personal care home regional office.	(b) The Department's guidelines for determining the classification of violations are available from the Department.	
§ 2600.262. Penalties.	§ 2800.262. Penalties <u>and corrective</u> <u>action.</u>	
(a) The Department will assess a penalty for each violation of this chapter.	(a) The Department will assess a penalty for each violation of this chapter.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
(b) Penalties will be assessed on a daily basis from the date on which the citation was issued until the date the violation is corrected, except in the case of Class II and Class III violations.	(b) Penalties will be assessed on a daily basis from the date on which the citation was issued until the date the violation is corrected, except in the case of Class II and Class III violations.	
(c) In the case of a Class II violation, assessment of the penalty will be suspended for 5 days from the date of citation to permit sufficient time for the home to correct the violation. If the home fails to provide proof of correction of the violation to the Department within the 5-day period, the fine will be retroactive to the date of citation. The Department may extend the time period for good cause.	(c) In the case of a Class II violation, assessment of the penalty will be suspended for 5 days from the date of citation to permit sufficient time for the residence to correct the violation. If the residence fails to provide proof of correction of the violation to the Department within the 5-day period, the fine will be retroactive to the date of citation. The Department may extend the time period for good cause.	
(d) The Department will assess a penalty of \$20 per resident per day for each Class I violation. Each Class I violation shall be corrected within 24 hours.	(d) The Department will assess a penalty of \$20 per resident per day for each Class I violation. Each Class I violation shall be corrected within 24 hours.	
(e) The Department will assess a minimum penalty of \$5 per resident per day, up to a maximum penalty of \$15 per resident per day, for each Class II	(e) The Department will assess a minimum penalty of \$5 per resident per day, up to a maximum penalty of \$15 per resident per day, for each Class II	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
violation.	violation.	
(f) There is no monetary penalty for Class III violations unless the home fails to correct the violation within 15 days. Failure to correct a Class III violation within the 15-day period may result in a penalty assessment of up to \$3 per resident per day for each Class III violation retroactive to the date of the citation.	(f) There is no monetary penalty for Class III violations unless the residence fails to correct the violation within 15 days. Failure to correct a Class III violation within the 15-day period may result in a penalty assessment of up to \$3 per resident per day for each Class III violation retroactive to the date of the citation.	
(g) If a home is found to be operating without a license, a penalty of \$500 will be assessed. After 14 days, if the home operator cited for operating without a license fails to file an application for a license, the Department will assess an additional \$20 for each resident for each day during which the home operator fails to apply.	(g) If a residence is found to be operating without a license, a penalty of \$500 will be assessed. After 14 days, if the residence operator cited for operating without a license fails to file an application for a license, the Department will assess an additional \$20 for each resident for each day during which the residence operator fails to apply.	
(h) A home charged with a violation of this chapter or Chapter 20 (relating to licensure or approval of facilities and agencies) has 30 days to pay the assessed penalty in full.	(h) A residence charged with a violation of this chapter or Chapter 20 (relating to licensure or approval of facilities and agencies) has 30 days to pay the assessed penalty in full.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.263. Appeals of penalty.	§ 2800.263. Appeals of penalty.	
(a) If the home that is fined intends to appeal the amount of the penalty or the fact of the violation, the home shall forward the assessed penalty, not to exceed \$500, to the Secretary for placement in an escrow account with the State Treasurer. A letter appealing the penalty shall be submitted with the assessed penalty. This process constitutes an appeal.	(a) If the residence that is fined intends to appeal the amount of the penalty or the fact of the violation, the residence shall forward the assessed penalty, not to exceed \$500, to the Secretary for placement in an escrow account with the State Treasurer. A letter appealing the penalty shall be submitted with the assessed penalty. This process constitutes an appeal.	
(b) If, through an administrative hearing or judicial review of the proposed penalty, it is determined that no violation occurred or that the amount of the penalty shall be reduced, the Secretary will, within 30 days, remit the appropriate amount to the legal entity together with interest accumulated on these funds in the escrow deposit.	(b) If, through an administrative hearing or judicial review of the proposed penalty, it is determined that no violation occurred or that the amount of the penalty shall be reduced, the Secretary will, within 30 days, remit the appropriate amount to the legal entity together with interest accumulated on these funds in the escrow deposit.	
(c) Failure to forward payment of the assessed penalty to the Secretary within 30 days will result in a waiver of the right to contest the fact of the violation or the	(c) Failure to forward payment of the assessed penalty to the Secretary within 30 days will result in a waiver of the right to contest the fact of the violation or the	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

amount of the penalty.	
(d) After an administrative hearing decision that is adverse to the legal entity, or a waiver of the administrative hearing, the assessed penalty amount will be made payable to the "Commonwealth of Pennsylvania." It will be collectible in a manner provided by law for the collection of debts.	
(e) If a residence liable to pay the penalty neglects or refuses to pay the penalty upon demand, the failure to pay will constitute a judgment in favor of the Commonwealth in the amount of the penalty, together with the interest and costs that may accrue on these funds.	
§ 2800.264. Use of fines.	
(a) Money collected by the Department under this section will be placed in a special restricted receipt account.(b) Money collected will be used first to	
	(d) After an administrative hearing decision that is adverse to the legal entity, or a waiver of the administrative hearing, the assessed penalty amount will be made payable to the "Commonwealth of Pennsylvania." It will be collectible in a manner provided by law for the collection of debts. (e) If a residence liable to pay the penalty neglects or refuses to pay the penalty upon demand, the failure to pay will constitute a judgment in favor of the Commonwealth in the amount of the penalty, together with the interest and costs that may accrue on these funds. § 2800.264. Use of fines. (a) Money collected by the Department under this section will be placed in a special restricted receipt account.

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
relocated under this chapter.	relocated under this chapter.	
(c) The Department will use money remaining in this account to assist with paying for enforcement of this chapter. Fines collected will not be subject to 42 Pa.C.S. § 3733 (relating to deposits into account).	(c) The Department will use money remaining in this account to assist with paying for enforcement of this chapter. Fines collected will not be subject to 42 Pa.C.S. § 3733 (relating to deposits into account).	
§ 2600.265. Review of classifications.	§ 2800.265. Review of classifications.	
(a) The Department will review the determinations of Class I, Class II and Class III violations made by the Department's personal care home regional offices.		
(b) Semiannually, the Department will review the standard guidelines for the classification of violations and evaluate the use of these guidelines. This review is to ensure the uniformity and consistency of the classification process.	Semiannually, the Department will review the standard guidelines for the classification of violations and evaluate the use of these guidelines. This review is to ensure the uniformity and consistency of the classification process.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.266. Revocation or nonrenewal of licenses.	§ 2800.266. Revocation or nonrenewal of licenses.	
(a) The Department will temporarily revoke the license of a home if, without good cause, one or more Class I violations remain uncorrected 24 hours after the home has been cited for the violation.	(a) The Department will temporarily revoke the license of a residence if, without good cause, one or more Class I violations remain uncorrected 24 hours after the residence has been cited for the violation.	
(b) The Department will temporarily revoke the license of a home if, without good cause, one or more Class II violations remain uncorrected 15 days after the citation.	(b) The Department will temporarily revoke the license of a residence if, without good cause, one or more Class II violations remain uncorrected 15 days after the citation.	
(c) Upon the revocation of a license in the instances described in subsections (a) and (b), or if the home continues to operate without applying for a license as described in § 2600.262(h) (relating to penalties), residents shall be relocated.	(c) Upon the revocation of a license in the instances described in subsections (a) and (b), or if the residence continues to operate without applying for a license as described in § 2800.262(h) (relating to penalties and corrective action), residents shall be relocated.	
(d) The revocation of a license may terminate upon the Department's determination that its violation is	(d) The revocation of a license may terminate upon the Department's determination that its violation is	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
corrected.	corrected.	
 (e) If, after 3 months, the Department does not issue a new license for a home, the prior license is revoked under section 1087 of the Public Welfare Code (62 P. S. § 1087). (1) Revocation or nonrenewal under this section will be for a minimum of 5 years. (2) A home, which has had a license revoked or not renewed under this section, will not be allowed to operate, staff or hold an interest in a home which applies for a license for 5 years after the revocation or nonrenewal. 	(e) If, after 3 months, the Department does not issue a new license for a residence, the prior license is revoked under section 1087 of the Public Welfare Code (62 P.S. § 1087). (1) Revocation or nonrenewal under this section will be for a minimum of 5 years. (2) A residence, which has had a license revoked or not renewed under this section, will not be allowed to operate, staff or hold an interest in a residence which applies for a license for 5 years after the revocation or nonrenewal.	
(f) If a home has been found to have Class I violations on two or more separate occasions during a 2-year period without justification, the Department will revoke or refuse to renew the license of the home.	(f) If a residence has been found to have Class I violations on two or more separate occasions during a 2- year period without justification, the Department will revoke or refuse to renew the license of the residence.	
(g) The power of the Department to revoke or refuse to renew or issue a license under this section is in addition to the powers and duties of the Department	(g) The power of the Department to revoke or refuse to renew or issue a license under this section is in addition to the powers and duties of the Department	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
under section 1026 of the Public Welfare	under section 1026 of the Public Welfare	
Code (62 P. S. § 1026).	Code (62 P.S. § 1026).	
§ 2600.267. Relocation of residents.	§ 2800.267. Relocation of residents.	
(a) If the relocation of residents is due to	(a) If the relocation of residents is due to	
the failure of the home to apply for a	the failure of the residence to apply for a	
license, the Department will offer	license, the Department will offer	
relocation assistance to the residents. This	relocation assistance to the residents. This	
assistance will include each resident's	assistance will include each resident's	
involvement in planning the relocation,	involvement in planning the relocation,	
except in the case of an emergency. Each	except in the case of an emergency. Each	
resident shall have the right to choose among the available alternatives after an	resident shall have the right to choose among the available alternatives after an	
opportunity to visit the alternative homes.	opportunity to visit the alternative	
These procedures will occur even if the	residences. These procedures will occur	
residents are placed in a temporary living	even if the residents are placed in a	
situation.	temporary living situation.	
(b) A resident will not be relocated if the	(b) A resident will not be relocated if the	
Secretary determines in writing that the	Secretary determines in writing that the	
relocation is not in the best interest of the	relocation is not in the best interest of the	
resident.	resident.	
§ 2600.268. Notice of violations.	§ 2800.268. Notice of violations.	
(a) The administrator shall give each	(a) The administrator shall give each	
resident and the resident's designated	resident and the resident's designated	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
person written notification of a Class I violation within 24 hours of the citation.	person written notification of a Class I violation within 24 hours of the citation.	
 (b) The administrator shall give each resident and the resident's designated person oral or written notification of a Class I or Class II violation, as defined in § 2600.261 (relating to classification of violations), which remains uncorrected for 5 days after the date of citation. (c) If a Class II violation remains uncorrected within 5 days following the citation, the administrator shall give written notice of the violation to each resident and the resident's designated person on the 6th day from the date of the citation. 	 (b) The administrator shall give each resident and the resident's designated person oral or written notification of a Class I or Class II violation, as defined in § 2800.261 (relating to classification of violations), which remains uncorrected for 5 days after the date of citation. (c) If a Class II violation remains uncorrected within 5 days following the citation, the administrator shall give written notice of the violation to each resident and the resident's designated person on the 6th day from the date of the citation. 	
(d) The Department will provide immediate written notification to the appropriate long-term care ombudsman of Class I violations, and notification of Class II violations which remain uncorrected 5 days after the date of citation.	(d) The Department will provide immediate written notification to the appropriate long-term care ombudsman of Class I violations, and notification of Class II violations which remain uncorrected 5 days after the date of citation.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.269. Ban on admissions.	§ 2800.269. Ban on admissions.	
 (a) The Department will ban new admissions to a home: (1) That has been found to have a Class I violation. (2) That has been found to have a Class II violation that remains uncorrected without good cause 5 days after being cited for the violation. (3) Whose license has been revoked or nonrenewed. 	 (a) The Department will ban new admissions to a residence: (1) That has been found to have a Class I violation. (2) That has been found to have a Class II violation that remains uncorrected without good cause 5 days after being cited for the violation. (3) Whose license has been revoked or nonrenewed. 	
(b) The Department may ban new admissions to a home that has been found to have a repeated Class II violation within the past 2 years.	(b) The Department may ban new admissions to a residence that has been found to have a repeated Class II violation within the past 2 years.	
(c) A ban on admissions will remain in effect until the Department determines that the home has corrected the violation, and after the correction has been made, has maintained regulatory compliance for a period of time sufficient to permit a conclusion that the compliance will be maintained for a prolonged period.	(c) A ban on admissions will remain in effect until the Department determines that the residence has corrected the violation, and after the correction has been made, has maintained regulatory compliance for a period of time sufficient to permit a conclusion that the compliance will be maintained for a prolonged period.	

Comparison of Personal Care Home Regulation (55 Pa. Code Chapter 2600) and Assisted Living Residence Regulation (55 Pa. Code Chapter 2800)

Personal Care Home Regulation	Assisted Living Residence Regulation	Differences/Notes
§ 2600.270. Correction of violations.	§ 2800.270. Correction of violations.	
The correction of a violation cited under section 1086 of the Public Welfare Code (62 P. S. § 1086) does not preclude the Department from issuing a provisional license based upon the same violation.	The correction of a violation cited under section 1086 of the Public Welfare Code (62 P.S. § 1086) does not preclude the Department from issuing a provisional license based upon the same violation.	

The following are assisted living resident rights, including the notificat	ion of a resident's designated person:	•
General		
1. The resident, or a designated person, has the right to rescind the contract for up to 72 hours after the initial dated signature of the contract.	§ 2800.25(h) Resident-residence contract	
	<i>§2600.25 (e)</i> Resident-home contract	
2. Either party has a right to rescind the informed consent agreement within 30 days of execution of the agreement.	§ 2800.30(k) Informed consent process	New to ALR setting
Resident Rig	hts	
3. Upon admission, each resident and, if applicable, the resident's designated person, shall be informed of resident rights and the right to lodge complaints without intimidation, retaliation or threats of retaliation by the residence or its staff persons against the reporter. Retaliation includes transfer or discharge from the residence. 4. Notification of rights and complaint procedures shall be communicated in an easily understood manner and in a language understood by or mode of communication used by the resident, and if applicable, the resident's designated person.	§ 2800.41(a) Notification of rights and complaint procedures § 2600.41(a) Notification of rights and complaint procedures § 2800.41(b) Notification of rights and complaint procedures § 2600.41(b) Notification of rights and complaint procedures	
 5. The Department's poster of the list of resident's rights shall be posted in a conspicuous and public place in the residence. 6. A copy of the resident's rights and complaint procedures shall be given to the resident and, if applicable, the resident's designated person upon admission. 	§ 2800.41(c) Notification of rights and complaint procedures § 2600.41(c) Notification of rights and complaint procedures § 2800.41(d) Notification of rights and complaint procedures	

	<i>§2600.41(d)</i> Notification	
	of rights and complaint procedures	
7. A statement signed by the resident and, if applicable, the resident's	§ 2800.41(e) Notification	
designated person acknowledging receipt of a copy of the information	of rights and complaint procedures	
specified in subsection (d), or documentation of efforts made to obtain		
signature, shall be kept in the resident's record.	§ 2600.41(e) Notification	
	of rights and complaint procedures	
8. A resident may not be discriminated against because of race, color,	§ 2800.42(a) Specific rights	
religious creed, disability, ancestry, sexual orientation, national origin,		
age or sex.	§ 2600.42(a) Specific rights	
9. A resident may not be neglected, intimidated, physically or verbally	§ 2800.42(b) Specific rights	Last sentence new to
abused, mistreated, subjected to corporal punishment or disciplined in		ALR setting
any way. A resident must be free from mental, physical, and sexual	§ 2600.42(b) Specific rights	
abuse and exploitation, neglect, financial exploitation and		
<u>involuntary seclusion.</u>		
10. A resident shall be treated with dignity and respect.	§ 2800.42(c) Specific rights	
	§ 2600.42(c) Specific rights	
11. A resident shall be informed of the rules of the residence and given	§ 2800.42(d) Specific rights	
30 days written notice prior to the effective date of a new residence		
rule.	§ 2600.42(d) Specific rights	
12. A resident shall have access to a telephone in the residence to make	§ 2800.42(e) Specific rights	
calls in privacy. Nontoll calls must be without charge to the resident.		
	§ 2600.42(e) Specific rights	
13. A resident has the right to receive and send mail.	§ 2800.42(f)(1) & (2) Specific	
1. Outgoing mail may not be opened or read by staff persons	rights	
unless the resident requests.		
2. Incoming mail may not be opened or read by staff persons	§ 2600.42(f)(1) & (2) Specific	
unless the resident requests.	rights	

14. A resident has the right to communicate privately with and access the local ombudsman.	§ 2800.42(g) Specific rights	
	§ 2600.42(g) Specific rights	
15. A resident has the right to practice the religion or faith of the resident's choice, or not to practice any religion or faith.	§ 2800.42(h) Specific rights	
, I	§ 2600.42(h) Specific rights	
16. A resident shall receive assistance in accessing health <i>care</i> services,	§ 2800.42(i) Specific rights	Bold/italic/underlined
including supplemental health care services.		language new to
	§ 2600.42(i) Specific rights	ALR setting.
17. A resident shall receive assistance in obtaining and keeping clean, seasonal clothing. A resident's clothing may not be shared with other	<i>§ 2800.42(j)</i> Specific rights	
residents.	§ 2600.42(j) Specific rights	
18. A resident and the resident's designated person, and other individuals upon the resident's written approval shall have the right to	§ 2800.42(k) Specific rights	
access, review and request corrections to the resident's record.	§ 2600.42(k) Specific rights	
19. A resident has the right to furnish his living unit and purchase, receive, use and retain personal clothing and possessions.	§ 2800.42(1) Specific rights	
reconstruction of the property	§ 2600.42(1) Specific rights	
20. A resident has the right to leave and return to the residence at times consistent with the residence rules and the resident's support plan.	§ 2800.42(m) Specific rights	
	§ 2600.42(m) Specific rights	
21. A resident has the right to relocate and to request and receive assistance, from the residence, in relocating to another facility. The	§ 2800.42(n) Specific rights	
assistance must include helping the resident get information about living arrangements, making telephone calls and transferring records.	§ 2600.42(n) Specific rights	
22. A resident has the right to freely associate, organize and communicate privately <i>with his friends, family, physician, attorney</i>	§ 2800.42(o) Specific rights	Bold/italic/underlined language new to
and other persons.	§ 2600.42(o) Specific rights	ALR setting.

23. A resident shall be free from restraints.	§ 2800.42(p) Specific rights	
	§ 2600.42(p) Specific rights	
24. A resident shall be compensated in accordance with State and Federal labor laws for labor performed on behalf of the residence.	§ 2800.42(q) Specific rights	
Residents may voluntarily and without coercion perform tasks related directly to the resident's personal space or common areas of the residence.	§ 2600.42(q) Specific rights	
25. A resident has the right to receive visitors <i>at any time provided that</i>	§ 2800.42(r) Specific rights	Bold/italic/underlined
the visits do not adversely affect other residents. A residence may		language new to
adopt reasonable policies and procedures related to visits and access.	§ 2600.42(r) Specific rights	ALR setting.
If the residence adopts those policies and procedures, they will be		
binding on the residence.		
26. A resident has the right to privacy of self and possessions. Privacy	§ 2800.42(s) Specific rights	
shall be provided to the resident during bathing, dressing, changing and		
medical procedures.	§ 2600.42(s) Specific rights	
27. A resident has the right to file complaints, <i>grievances or appeals</i>	<i>§ 2800.42(t)</i> Specific rights	Bold/italic/underlined
with any individual or agency and recommend changes in policies,		language in new to
residence rules and services of the residence without intimidation, retaliation or threat of discharge.	§ 2600.42(t) Specific rights	ALR setting.
28. A resident has the right to remain in the residence, as long as it is	§ 2800.42(u) Specific rights	
operating with a license, except as specified in § 2800.228 (relating to		
transfer and discharge).	§ 2600.42(u) Specific rights	
29. A resident has the right to receive services contracted for in the resident-residence contract.	§ 2800.42(v) Specific rights	
	§ 2600.42(v) Specific rights	

30. A resident has the right to use both the residence's procedures and external procedures to appeal involuntary discharge.	<i>§ 2800.42(w)</i> Specific rights	
	§ 2600.42(w) Specific rights	
31. A resident has the right to a system to safeguard a resident's money and property.	§ 2800.42(x) Specific rights	
	§ 2600.42(x) Specific rights	
32. To the extent prominently displayed in the written resident-	§ 2800.42(y) Specific rights	New to ALR setting
residence contract, a residence may require residents to use providers		
of supplemental health care services as provided in § 2800.142		
(relating to assistance with medical care and supplemental health		
care services). When the residence does not designate, the resident		
may choose the supplemental health care services provider. The		
actions and procedures utilized by a supplemental health care service		
provider chosen by a resident must be consistent with the residence's		
systems for caring for residents. This includes the handling and		
assisting with the administration of resident's medications, and shall		
not conflict with Federal laws governing residents.		
33. The resident has the right to choose his primary care physician.	<i>§</i> 2800.42(<i>z</i>) Specific rights	
	§ 2600.42(y) Specific rights	
34. A resident may not be deprived of his rights.	§ 2800.43(a) Prohibition against	
	deprivation of rights	
	§ 2600.43(a) Prohibition against	
	deprivation of rights	
35. A resident's rights may not be used as a reward or sanction.	§ 2800.43(b) Prohibition against	
	deprivation of rights	
	§ 2600.43(b) Prohibition against	
	deprivation of rights	

36. Waiver of any resident right shall be void.	§ 2800.43(c) Prohibition against	New to ALR setting
	deprivation of rights	
37. Prior to admission, the residence shall inform the resident and the	§ 2800.44(a) Complaint procedures	
resident's designated person of the right to file and the procedure for		
filing a complaint with the Department's Assisted Living Residence	§ 2600.44(a) Complaint procedures	
Licensing Office, local ombudsman or protective services unit in the		
area agency on aging, the Disability Rights Network or law		
enforcement agency.		
38. The residence shall permit and respond to oral and written	§ 2800.44(b) Complaint procedures	
complaints from any source regarding an alleged violation of resident		
rights, quality of care or other matter without retaliation or the threat of	§ 2600.44(b) Complaint procedures	
retaliation.		
39. If a resident indicates that he wishes to make a written complaint,	§ $2800.44(c)$ Complaint procedures	
but needs assistance in reducing the complaint to writing, the residence		
shall assist the resident in writing the complaint.	§ 2600.44(c) Complaint procedures	
40. The residence shall ensure investigation and resolution of	§ 2800.44(d) Complaint procedures	Last sentence new to
complaints. The residence shall designate the staff person responsible		ALR setting
for receiving complaints and determining the outcome of the complaint.	§ 2600.44(d) Complaint procedures	
The residence shall keep a log of all complaints and the outcomes of		
the complaints.		
41. Within 2 business days after the submission of a written complaint,	§ 2800.44(e) Complaint procedures	
a status report shall be provided by the residence to the complainant. If		
the resident is not the complainant, the resident and the resident's	§ 2600.44(e) Complaint procedures	
designated person shall receive the status report unless contraindicated		
by the support plan. The status report must indicate the steps that the		
residence is taking to investigate and address the complaint.		
42. Within 7 days after the submission of a written complaint, the	<i>§ 2800.44(f)</i> Complaint procedures	
residence shall give the complainant and, if applicable, the designated		
person, a written decision explaining the residence's investigation	§ 2600.44(f) Complaint procedures	
findings and the action the residence plans to take to resolve the		

	T	T	
complaint. If the resident is not the complainant, the affected resident			
shall receive a copy of the decision unless contraindicated by the			
support plan. If the residence's investigation validates the complaint			
allegations, a resident who could potentially be harmed or his			
designated person shall receive a copy of the decision, with the name of			
the affected resident removed, unless contraindicated by the support			
plan.			
43. The telephone number of the Department's Assisted Living	§ 2800.44(g) Complaint procedures		
Residence Licensing Office, the local ombudsman or protective			
services unit in the area agency on aging, the Disability Rights	§ 2600.44(g) Complaint procedures		
Network, the local law enforcement agency, the Commonwealth			
Information Center and the assisted living residence complaint hotline			
shall be posted in large print in a conspicuous and public place in the			
residence.			
44. Nothing in this § 2800.44 (relating to complaint procedures) shall	§ 2800.44(h) Complaint procedures	New to ALR setting	
affect in any way the right of the resident to file suit or claim for			
damages.			
Nutrition			
45. Residents have the right to purchase groceries and prepare their	§ 2800.161(h) Nutritional	New to ALR setting	
own food in addition to the three meal plan required in § 2800.220 (b)	Adequacy		
(relating to service provision) in their living units unless it would be			
unsafe for them to do so consistent with their support plan.			
Medications			
46. The residence shall educate the resident of the right to question or	§ 2800.191 Resident		
refuse a medication if the resident believes there may be a medication	Education		
error. Documentation of this resident education shall be kept.			
	§ 2600.191 Resident		
	Education		

Services			
47. A resident or a designated person has a right to request the review	§ 2800.227(j) Development of the		
and modification of his support plan.	final		
	support plan		
In the PCH the home shall provide a copy of the support plan to the resident and the resident's designated person upon request. 48. If the legal entity chooses to voluntarily close the residence or if the Department has initiated legal action to close the residence, the Department working in conjunction with appropriate local authorities, will offer relocation assistance to the residents. Except in the case of an emergency, each resident may participate in planning the transfer, and shall have the right to choose among the available alternatives after an opportunity to visit the alternative residences. These procedures apply even if the resident is placed in a temporary living situation.	§ 2600.227(j) Development of the support plan § 2800.228(f) Transfer and discharge § 2600.228(f) Transfer and discharge		
Enforcement	nt		
49. If the relocation of residents is due to the failure of the residence to	§ 2800.267(a)		
apply for a license, the Department will offer relocation assistance to	Relocation		
the residents. This assistance will include each resident's involvement	of residents		
in planning the relocation, except in the case of an emergency. Each			
resident shall have the right to choose among the available alternatives	§ 2600.267(a)		
after an opportunity to visit the alternative residences. These	Relocation		
procedures will occur even if the residents are placed in a temporary	of residents		
living situation.			

APPENDIX B:

INFORMED CONSENT PROCESS

Section 2800.30

Informed Consent Process

Licensee determines that a competent resident's decision, behavior or action creates a dangerous situation and places the competent resident, other residents or staff members at risk.

Competent resident wishes to exercise independence in directing the manner in which the competent resident receives care.

Or

Or

Incompetent resident only eligible if the resident's legal representative is included in the negotiation of the informed consent agreement and executes the agreement.

Initiator starts an informed consent process to address the identified risk or to modify support plan and to reach a mutually agreed-upon plan of action with the competent resident or the resident's designated person.

Licensee notifies the competent resident/ resident's designated person in writing and orally; for incompetent residents, the licensee shall automatically notify the ombudsman.

Competent resident notifies the licensee in writing and orally.

Legal representative notifies licensee in writing and orally.

Licensee discusses the competent resident's wish to exercise independence in directing the manner in which he receives care. An incompetent resident's legal representative shall participate in the discussion.

Or

Successful Negotiation
The informed consent agreement
shall be reduced to writing and
signed by all parties

An informed consent agreement must be updated following a significant change in the resident's condition that affects the potential risk to the resident, other residents or staff members

Unsuccessful Negotiation

The licensee shall notify the resident, the resident's legal representative and the individuals engaged in the negotiation at the request of the resident; notification to include contact information on the local ombudsman or the appropriate advocacy organization and whether the licensee will issue a notice of discharge

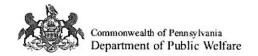
Or

Either party has a right to rescind the informed consent agreement within 30 days of execution of the agreement

Process Phase	Licensee-initiated	Competent Resident-	Incompetent
		initiated	Resident-initiated
Initiation	Licensee determines that a competent resident's decision, behavior or action creates a dangerous situation and places the competent resident, other residents or staff members at risk. Licensee initiates informed consent process to address the identified risk and to reach a mutually agreed-upon plan of action with the competent resident's	Competent resident wishes to exercise independence in directing the manner in which the competent resident receives care. Competent resident initiates informed consent process to modify support plan and attempt to reach a mutually agreedupon plan of action with the licensee.	Resident- initiated Incompetent resident only eligible if the resident's legal representative is included in the negotiation of the informed consent agreement and executes the agreement.
Notification Informed Consent	designated person. Licensee notifies the competent resident/ resident's designated person in writing and orally; for incompetent residents, the licensee shall automatically notify the ombudsman. Licensee discusses the	Competent resident notifies the licensee in writing and orally. Licensee discusses the	Legal representative notifies licensee in writing and orally.
Meeting	competent resident's wish to exercise independence in directing the manner in which he receives care.	competent resident's wish to exercise independence in directing the manner in which he receives care.	An incompetent resident's legal representative shall participate in the discussion.
Successful	The informed consent	The informed consent	The informed consent

	reduced to writing	reduced to writing	reduced to writing	
	and signed by all	and signed by all	and signed by all	
	parties	parties	parties	
Unsuccessful	The licensee shall	The licensee shall	The licensee shall	
Negotiation	notify the resident,	notify the resident,	notify the resident,	
	the resident's legal	the resident's legal	the resident's legal	
	representative and	representative and	representative and	
	the individuals	the individuals	the individuals	
	engaged in the	engaged in the	engaged in the	
	negotiation at the	negotiation at the	negotiation at the	
	request of the	request of the	request of the	
	resident; notification	resident; notification	resident; notification	
	to include contact	to include contact	to include contact	
	information on the	information on the	information on the	
	local ombudsman or	local ombudsman or	local ombudsman or	
	the appropriate	the appropriate	the appropriate	
96	advocacy organization	advocacy organization	advocacy organization	
	and whether the	and whether the	and whether the	
	licensee will issue a	licensee will issue a	licensee will issue a	
	notice of discharge	notice of discharge	notice of discharge	
Change in resident's	An informed consent	An informed consent	An informed consent	
condition	agreement must be	agreement must be	agreement must be	
	updated following a	updated following a	updated following a	
	significant change in	significant change in	significant change in	
	the resident's	the resident's	the resident's	
	condition that affects	condition that affects	condition that affects	
	the potential risk to	the potential risk to	the potential risk to	
	the resident, other	the resident, other	the resident, other	
382	residents or staff	residents or staff	residents or staff	
	members	members	members	
Rescinding the	Either party has a	Either party has a	Either party has a	
agreement	right to rescind the	right to rescind the	right to rescind the	
	informed consent	informed consent	informed consent	
	agreement within 30	agreement within 30	agreement within 30	
	days of execution of	days of execution of	days of execution of	
	the agreement	the agreement	the agreement	

APPENDIX C: APPLICATION FOR LICENSURE



APPLICATION FOR CERTIFICATE OF COMPLIANCE

(For instructions, see reverse side of the last page.)

P	URPOSE OF AP	PLICATION New Fac	cility / Agency Renewal	Cert	ificate #		
I	DENTIFICATION	1					
1. NAM	ME and ADDRESS OF AG	ENCY/FACILITY	2. NAME and ADDRESS OF	LEGAL I	ENTITY		
NAME	NAME		NAME				
P.O BOX	P.O BOX or NUMBER and STREET		P.O. BOX or NUMBER and STREET				
CITY		ZIP CODE	CITY (and State) ZIP CODE				
E-Mail Ad	dress (if available)	PHONE NUMBER	E-mail Address (if available)	e) PHONE NUMBER			
3. COU	NTY and MUNICIPALITY	(CITY TOWNSHIP/BOROUGH)	4. RESPONSIBLE PERSON		***		
			NAME	TITLE			
5. TYP	E OF AGENCY/FACILITY	SERVICE	6. REQUESTED/LICENSED C.	APACITY	Personal Care H	omes ONLY)	
7.	FEDERAL EMPLOYER ID	ENTIFICATION NUMBER or		8. TY	PE OF OPERA	ΓΙΟΝ	
	SOCIAL SECURITY NUMI		are a second to the second to	PR	OFIT	☐ NON PROFIT	
COL	OF OWNERSHIP/CONTI DIVIDUAL JNTY GOVERNMENT	☐ PARTNERSHIP ☐ STATE GOVERNMENT	ASSOCIATION OTHER		CORPORATIO	ON	
10. CO	NVICTION or NAME A P	ERPETRATOR (If YES to any of the items 1.	2 through 14 inclusive, explain on a separate sh	eet of paper)	YES	NO	
Has the agency/facility (item 1), or Legal Entity (item 2), or the Person Responsible (Operator) (item 4), or the person signing the application ever been denied a Certificate or license, had a Certificate of Compliance or license revoked, or had a Certificate of Compliance or license non-renewed in Pennsylvania or any other state?							
ever been convicted of a felony; convicted of a crime involving child abuse, child neglect, moral turpitude, or physical violence; named a perpetrator in an indicated or founded report of child abuse in accordance with the Child Protective Service Law (23 Pa. C.S.Ch. 63) or the Care - Dependent Services Act (18 Pa.C.S. § 2713)?							
12. IS THE LEGAL ENTITY , OWNER OR OPERATOR CURRENTLY CHARGED WITH A FELONY OR MISDEMEANOR?							
AT	TACHMENTS						
If this is	an Initial Application fo	r a new facility / agency or change of na	ame of legal entity, submit copies of	he followin	ng documents wi	th this Application.	
- Artic	les of Incorporation (if the	ned from Department of Health, Depar the facility or agency is operated by a c ral (if the facility or agency is operated	orporation)				
DEC	LARATION (Any for	alse information or statement knowingly given in t	his application is punishable under Section 490.	of the Penns	lvania Crimes Code)		
with the Civil Rig America Specific sex in ar	e laws of the Commonwealth ghts Act of 1964; the Age Dis an With Disabilities Act of 19 ally, the above named facilit ny aspect of service delivery	y will not permit discrimination of the l	d regulations of the Department of Pution Act of 1973 and the Pennsylvani basis of color, race, religious creed, d	ıblic Welfa a Human l	re; Title VI and T Relations Act of t	Fitle VII of the 1955, and the	
		or type - NAME/ITTLE oration, the individual must be a corporate officer)		ADDRESS			
	SIGNATURE OF THE	LEGAL ENTITY / REPRESENTATIVE		DATE (mm/dd/	(ענעע)		

INSTRUCTIONS FOR COMPLETION OF APPLICATION FOR CERTIFICATE OF COMPLIANCE FOR A FACILITY OR AGENCY, PW 633

PURPOSE OF APPLICATION:

New Facility: A new agency or an agency that has had an agency/facility name change, agency/facility address change or a change in the legal entity name. Renewal: Any agency/facility applying to renew their existing Certificate of Compliance. The name and address of the Agency/Facility and the name of the legal entity should be the same as it is on the existing Certificate of Compliance. If it is a renewal application supply the Certificate of Compliance number.

- 1. NAME, ADDRESS, EMAIL ADDRESS AND TELEPHONE NUMBER OF PHYSICAL SITE OF AGENCY/FACILITY: Indicate name, address, email address and telephone number of the physical facility or agency where the services will be provided. If the application is for renewal, the name and address of the facility or agency should be the same as on previous application unless there is a change in name or address.
- 2. NAME, MAILING ADDRESS, EMAIL ADDRESS AND TELEPHONE NUMBER OF LEGAL ENTITY: Indicate name of legal entity, for example, the person, partnership, association, organization, corporation or governmental body responsible for the operation of the facility or agency and mailing address, email address and telephone number of legal entity where the mail for the facility is to be delivered.
- 3. COUNTY AND MUNICIPALITY/TOWNSHIP/BOROUGH: Indicate the name of the County in which facility or agency is located. Indicate the municipality/township/borough in which the facility or agency is located.
- 4. RESPONSIBLE PERSON: Indicate the full name and title of the person who is responsible for the daily operation of the facility or agency.
- 5. TYPE OF AGENCY/FACILITY/SERVICE: Use the most specific type applicable -

Mental Health Facilities: Community Residential Rehabilitation Service, Crisis Intervention Programs, Family Based Services, Long Term Structured Residence, Partial Hospitalization, Private Psychiatric Hospital, Psychiatric Outpatient Clinic, Psychiatric Rehabilitation Facility, Residential Treatment Facilities Adults.

Mental Retardation Facilities: Adult Training Facilities, Center, Community Residential MR Agency, Community Residential MR Large Facility; Family Living Agency, Intermediate Care Facility/Mental Retardation (ICF/MR), Vocational Facility.

Children, Youth and Families Facilities: Adoption Services, County Children and Youth Agency, Day Care Center, Day Treatment Program, Foster Family Care Agency Services, Group Day Care Home, Mobile Programs, Non-Secure Residential Services, Outdoor Program, Private Children and Youth Agency, Residential Child Care Facility, Secure Care Program, Secure Detention Facilities, Secure Residential Services, Supervised Independent Living Program, Transitional Living Program.

Social Programs: Intermediate Care Facility for Other Related Conditions (ICF/ORC), Personal Care Home.

- 6. REQUESTED/LICENSED CAPACITY: This column applies only to Personal Care Homes. If this is an application for a new facility or renewal fill in requested capacity.
- 7. FEDERAL EMPLOYER IDENTIFICATION NUMBER OR SOCIAL SECURITY NUMBER OF LEGAL ENTITY: Indicate the social security number or FEIN of the person, partnership, association, organization, corporation or governmental body responsible for the operation of the facility or agency.
- **8. PROFIT:** Operating with the expectation of providing a financial benefit to someone or something other than the facility or agency itself. The focus is upon the ultimate aim of the enterprise, not the financial results of any particular period of operation. The focus is also upon the particular premises involved and not the legal entity which operates the facility or agency. A non-profit legal entity may be considered as operating a facility or agency for profit if the particular premises involved provides a financial benefit to the parent legal entity. Any legal entity not possessing a certificate of tax exempt status form the Internal Revenue Service will be considered operating for profit unless it provides satisfactory proof otherwise.

NONPROFIT: Operating other than for profit. Copy of tax exempt certificate should be submitted with the initial application.

- 9. TYPE OF OWNERSHIP/CONTROL: Fill in type of ownership.
- 10. Please answer YES OR NO and explain any YES response on a separate sheet of paper.
- 11. Please answer YES OR NO and explain any YES response on a separate sheet of paper.
- 12. Please answer YES OR NO and explain any YES response on a separate sheet of paper.

ATTACHMENTS: Attach Current Certificate of Occupancy, Articles of Incorporation, State Fictitious Name Approval.

DECLARATION: The declaration must be signed by the legal entity. If the legal entity is a partnership, association, or organization, the person authorized to sign such documents must sign. Where the legal entity is a corporation, the signature must be of a corporate officer. Type or print name and title of person signing.



Department of Public Welfare Checklist for the Initial Application for a Certificate of Compliance for a Human Service Setting

This checklist is designed to assist you in completing the initial application for a Certificate of Compliance to operate a human service setting. If the application packet is not complete when submitted, it will be returned to you to be completed and resubmitted. Each entry may not be necessary for each applicant. If you have questions about any required document, you may call the Bureau of Human Services Licensing at (717) 705-6954 for clarification.

Items necessary to be considered a **<u>complete</u>** application are as follows:

□ Corpora	Application for Certificate of Compliance (PW 633) - Application must be signed by the owner or if a tion, by a Corporate officer.
□ of State	Articles of Incorporation* – If the facility or agency is operated by a Corporation, a copy of the Department is <i>approved</i> corporation papers must be included.
	Fictitious Name Approval* - if the legal entity is for profit and wishes to name the home something other cowner's or corporation's name, then a copy of the Department of State's <i>approved</i> fictitious name papers included.
□ copy of	Foreign Business* - If the legal entity is a Corporation formed in a state other than Pennsylvania, then a the Department of State's <i>approved</i> authorization to do business in Pennsylvania must be included.
	Occupancy Permit* – A copy of the <i>final or approved</i> Occupancy Permit issued from the Department of Department of Labor and Industry, or the local municipality must be included. (<i>This is not applicable for Living Homes, 55 Pa. Code Chapter 6500</i>)
□ only ap _l Chapter	Application fee as follows for the number of beds you are requesting to be licensed (<i>The application fee plies to Personal Care Homes, 55 Pa. Code Chapter 2600, and Assisted Living Residences, 55 Pa. Code (2800):</i>
onapio.	For Personal Care Homes: For Assisted Living Residences:
	(1) 20 beds of less - \$15.00 - \$300 License Application Fee
	 (2) 21 - 50 beds - \$20.00 (3) 51 - 100 beds - \$30.00 (4) 101 beds and over - \$50.00 - \$75 per bed Fee (collected when license is issued) - \$150 Application Fee for Special Care Designation
	Please enclose a check or money order made payable to Department of Public Welfare.
□ non-pro	Proof of non-profit status* , if applicable, in the form of a copy of The Department of Treasury's approval of fit, § 501(c)(3), status.
	Department of Public Welfare's Bureau of Equal Opportunity - Civil Rights Compliance Questionnaire - ghts approval is required prior to the issuance of a Certificate of Compliance. The Civil Rights Compliance nnaire must be sent to the BEO regional office which serves the county in which the facility is located.
*Pleas	se note that a copy of an application is not acceptable. It must be a copy of the approved document.

The completed Application Packet should be submitted to:

Via First Class Mail:

Department of Public Welfare Bureau of Human Services Licensing P.O. Box 2675 Harrisburg, PA. 17105-2675 Via Courier:

Department of Public Welfare Bureau of Human Services Licensing Room 623, Health & Welfare Building 625 Forster Street Harrisburg, PA. 17120

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF HUMAN SERVICES BUREAU OF HUMAN SERVICES LICENSING POLICIES AND PROCEDURES 55 Pa.Code Chapter 2800 – Assisted Living Residences

NEW RESIDENCE INSPECTIONS SALE or CHANGE OF LEGAL ENTITY INSPECTIONS

The following documentation shall be submitted by the human services licensing applicant to the Bureau of Human Services Licensing Headquarters Office prior to scheduling an initial inspection of a proposed new facility or for a sale or change of a legal entity.

- 1. Education and training qualifications of the Administrator [§ 2800.53(a)-(b), § 2800.64(a)].
- 2. Criminal history background checks in accordance with the OAPSA for the owner/operator (applicable if the owner/operator plans on going into the residence; or send documentation stating that the owner/operator will never go into the residence), Administrator and each already hired/identified staff persons and the applicant's policies and procedures for conducting criminal history clearances for all future staff to be hired. For owners/operators who are not residents of Pennsylvania and plan on going into the residence, an FBI criminal history background check must also be provided [§ 2800.51, 52].
- 3. The planned staffing patterns/shifts/duties of staff hired/to be hired [§ 2800.56(a), § 2800.57(a)-(d)].
- 4. The educational and training qualifications of staff to be hired [§ 2800.54(a), § 2800.63(a)-(b), § 2800.65(a)-(j), § 2800.182(b), § 2800.190(a)-(b)].
- 5. A staff training plan for the first 12 months of operation [§ 2800.66(a)-(b), § 2800.65(i)].
- 6. The floor plan/layout of the building, including all floors/levels of the residence and clearly indicating all internal and external exit paths and exit doors. The floor plan shall indicate the size of each resident living unit (including ceiling height) and each living/activity room in the residence. The floor plan shall indicate the location of each resident and staff bathroom including the number of sinks, toilets and showers/tubs. The floor plan shall indicate the location of kitchens and dining rooms. [§ 2800.98(a)-(b)(1), § 2800.101(b)(1)-(2), (e), § 2800.101(e), § 2800.102(a)-(c), (m), § 2800.122]. The Elevator Certificate of Operation for any elevators in the residence (if applicable) [§ 2800.97]. The Special Care Unit physical site regulations (if applicable) [§ 2800.232(a)-(c)]. Note: The floor play/layout is not required for sales or changes in legal entity unless there is a change in use of the physical space.
- 7. The residence's policies and procedures for the following: Reportable Incident Policy [§ 2800.16(b)], Confidentiality Policy [§ 2800.17], Quality Management Plan [§ 2800.26(a)-(c)], Resident Rights [§ 2800.41(a)], Complaint Procedures [§ 2800.44(a)], Pools (if applicable) [§ 2800.106], Emergency Preparedness Plan [§ 2800.107(b)], Firearms and Weapons Policy (if applicable) [§ 2800.108(a)-(b), (d)], Inoperable Fire Alarm/Smoke Detector Policy [§ 2800.130(g)], Emergency Medical Plan [§ 2800.143(a)], Smoking Policy (if applicable) [§ 2800.144(b)-(c)], Medication Administration Policy [§ 2800.185(a)-(b), § 2800.188(d)], Description of Services Policy [§ 2800.223(a)-(b)], Discharge/Transfer Policy [§ 2800.228(b)], and Records Policy [§ 2800.254(b)].

- 8. For Special Care Units (if applicable), the following documentation: Environmental Protection Policy [§ 2800.232(d)-(e)], Lock Approvals [§ 2800.233(a)-(b)], Training [§ 2800.236(a)-(d)], Program Information [§ 2800.237(a)(1)-(6)], Staffing [§ 2800.238], and General SCU Information [§ 2800.239(a), (c)(1)-(22)].
- 9. The residence's forms for the following: Resident-Residence Contract [§ 2800.25(c)(1)-(13), § 2800.25(e)-(g), § 2800.25(h)-(i), (I), § 2800.109(a), (d)], Resident Rights Poster [§ 2800.41], Fire Drill Record [§ 2800.132(c)], Initial Assessment & Preliminary Support Plan Form [§ 2800.224(a)(4)-(5), (c)(4)-(5)], Assessment Form [§ 2800.225(a)-(b)], Support Plan Form [§ 2800.227(a)-(b)] and Medication Administration Record [§ 2800.187(a)].
- 10. Compliance with fire retardant mattress requirements [§ 2800.101(j)(1)].
- 11. Civil Rights Compliance Letter [From Bureau of Equal Opportunity].
- 12. Documentation showing the residence's water source. If the residence uses public water, the residence should submit a current water bill. If the residence uses private water (well), the residence should submit a permit from the Department of Environmental Protection (DEP) for its water source [§ 2800.18, § 2800.89(c)].
- 13. Documentation showing the residence's sewer source. If the residence uses public sewer, the residence should submit a current sewage bill. If the residence is not connected to a public sewer system and serves 9 or more residents, the residence should submit written sanitation approval for its sewage system from the local sewage enforcement official of the municipality in which the residence is located [§ 2800.85(f)].
- 14. A statement from the residence's legal entity listing all licensed human service facilities with which it is affiliated [Including but not limited to parent companies, subsidiaries, partnerships, management agreements; etc.].
- 15. Affidavit for the sale of a legal entity that is current operating pending approval of a Departmental enforcement action, or previous operator was deemed "not a responsible person" by the Department [An affidavit will be sent to the applicant if applicable].

Prepared by: T. Pride, 09/2012



315 N. Second St. Harrisburg, PA 17101 Phone: (717) 221-1800

www.phca.org // www.findPACare.org

Green book available for download for Members Only on PHCA website.

